



1    Could the mesoscale eddies be reproduced and predicted in the  
2    northern south China sea: case studies

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## Abstract

25 Great progress has been made in understanding the mesoscale eddies and their  
26 role on the large-scale structure and circulation of the oceans. However, many  
27 questions still remain to be resolved, especially with regard to the reproduction and  
28 predictability of mesoscale eddies. In this study, the reproduction and predictability of  
29 mesoscale eddies in the northern South China Sea (NSCS), a region with strong eddy  
30 activity, are investigated with a focus on two typical anticyclonic eddies (AE1 and  
31 AE2) based on a HYCOM-EnOI Assimilation System. The comparisons of  
32 assimilated results and observations suggest that generation, evolution and  
33 propagation paths of AE1 and AE2 can be well reproduced and forecasted when their  
34 amplitude  $>8$  cm, although their forcing mechanisms are quite different. However,  
35 when their intensities are less than 8 cm, the generation and decay of these two  
36 mesoscale eddies cannot be well reproduced and predicted by the system. This result  
37 suggests, in addition to dynamical mechanisms, the spatial resolution of assimilation  
38 observation data and numerical models must be taken into account in reproducing and  
39 predicting mesoscale eddies in the NSCS.

40

41 **Keywords:** HYCOM; EnOI; Northern South China Sea; Mesoscale eddy;  
42 Predictability



43      **1. Introduction**

44      Equivalent to the synoptic variability of the atmosphere, oceanic mesoscale  
45      eddies are often described as the “weather” of the ocean, with typical spatial scales of  
46      ~100 km and time scales of a month (Chelton et al., 2011; Liu et al., 2001; Wang et al.,  
47      1996). The mesoscale eddy is characterized by temperature and salinity anomalies  
48      with associated flow anomalies, exhibiting different properties to their surroundings,  
49      thus allowing them to control the strength of mean currents and to transport heat, salt,  
50      and biogeochemical tracers around the ocean. Although today, the beauty and  
51      complexity of these mesoscale features can be seen by viewing high resolution  
52      satellite images or numerical model simulations (Yang et al., 2000), the operational  
53      forecasts of the mesoscale eddy still poses a big challenge because of its complicated  
54      dynamical mechanisms and high nonlinearity (Yuan and Wang, 1986; Li et al., 1998).  
55      A recent example is the explosion of the Deepwater Horizon drilling platform in the  
56      northern Gulf of Mexico in 2010 where an accurate prediction of the position and  
57      propagation of the Loop Current eddy was essential in determining if the spilled oil  
58      would be advected to the Atlantic Ocean or still remain within the Gulf (Treguier et  
59      al., 2017).

60      Similar to Gulf of Mexico, the South China Sea (SCS) is also a large semi-closed  
61      marginal sea in the northwest Pacific, connecting to the western Pacific mainly  
62      through the Luzon Strait (Fig. 1). Forcing by seasonal monsoon winds, the intrusion  
63      of Kuroshio Current (KC), the Rossby waves and the complex topography, the SCS,  
64      especially the Northern SCS (NSCS) exhibits a significantly high mesoscale eddy



65 activity (Fig. 2). Many studies have tried to investigate mesoscale eddies in the NSCS  
66 (Wang et al., 2003; Jia et al., 2005; Wang et al., 2008). For instance, based on the  
67 potential vorticity conservation equation and in-situ survey data, Yuan and Wang  
68 (1986) pointed out that the bottom topography forcing might be the primary factor for  
69 the formation of anticyclonic eddies in northeast of Dongsha Islands (DIs). Using  
70 survey CTD data in September 1994, Li et al. (1998) recorded the evidence of  
71 anticyclonic eddies in the NSCS and suggested these anticyclonic eddies are probably  
72 shed from the KC. Using the sea surface height anomaly from satellites, Wang et al.  
73 (2008) found a high frequent occurrence of mesoscale eddies in the NSCS and  
74 indicated that the interaction between strong ocean currents and the local topography  
75 can generate anticyclonic eddies there. Investigations by Wu et al. (2007) showed that  
76 westward propagating eddies in the NSCS originate near the Luzon Strait rather than  
77 coming from the western Pacific. These studies improved our understanding of  
78 activities of mesoscale eddy and its possible dynamical mechanisms in the NSCS.

79 Although the occurrence and possible dynamical mechanisms of mesoscale eddies  
80 in the NSCS have received much attention in past decades, studies on the  
81 reproduction and predictability of mesoscale eddies in the NSCS are still rare. Since  
82 mesoscale eddies are related not only to complicated dynamical mechanisms but also  
83 involve strong nonlinear processes (Oey et al., 2005), thus they are not a deterministic  
84 response to atmospheric forcing. The quality of mesoscale eddies forecasting will  
85 depend primarily on the quality of the initial conditions. Ocean data assimilation,  
86 which combines observations with the numerical model, can provide more realistic



87 initial conditions and thus is essential for the prediction of mesoscale eddies. In this  
88 study, we assessed the reproduction and predictability of two typical anticyclonic  
89 eddies in the NSCS with focus on their generation, evolution and decay processes by  
90 a series of numerical experiments based on a Chinese Shelf/Coastal Seas Assimilation  
91 System (CSCASS; Li, 2009; Li et al., 2010; Zhu, 2011), along with the observations  
92 from surface drifter trajectory and satellite remote sensing.

93 **2. Datasets and Methodologies**

94 **2.1 Datasets**

95 In this study, the altimetric data between 2003-2004, which includes along-track  
96 SLA, totally 29 passes (about 9300 points) over the NSCS was selected. Considering  
97 the noise of SLA measurement in the shallow seas, data for the shallow areas with  
98 depth<400 m was excluded. In order to verify assimilation results, the merged SLA  
99 based on Jason-1, TOPEX/Poseidon, ERS-2 and ENVISAT (Ducet et al., 2000)  
100 provided by Archiving, Validation and Interpretation of Satellites Oceanographic data  
101 (AVISO) at Centre Localization Satellite (CLS,  
102 <ftp://ftp.aviso.oceanobs.com/global/nrt/>) with 1/4° x 1/4° resolution and weekly  
103 average are used. In addition, because the SLA present only the anomalies relative to  
104 a time-mean sea level field, a new mean dynamic topography (nMDT), which has  
105 been corrected using iterative method by Xu et al. (2012) was used to calculate the  
106 realistic sea level in this study.

107 In addition to SLA datasets, we also used the daily OISST from the National



108      Oceanic and Atmospheric Administration's (NOAA) National Climatic Data Center  
109      (<ftp://eclipse.ncdc.noaa.gov/pub/OI-daily-v2/NetCDF/>), which was merged by an  
110      optimum interpolation method (Reynolds et al., 2007) based on the Infrared SST  
111      collected by the Advanced Very High Resolution Radiometer sensors on the NOAA  
112      Polar Orbiting Environmental Satellite and SST from Advanced Microwave Scanning  
113      Radiometer for the Earth Observing System. The daily OISST's biases were fixed  
114      using in situ data from ships and buoys. The dataset between 2003 and 2004 was used  
115      in this study, with a spatial resolution of  $1/4^\circ \times 1/4^\circ$ . In addition, the surface drifting  
116      buoy data from the World Ocean Circulation Experiment (WOCE,  
117      <ftp://ftp.aoml.noaa.gov/pub/phod/buoydata/>) are also used. A total of 3 drifters  
118      designed to drift at the surface within the upper 15 m were tracked by the ARGOS  
119      satellite system. Positions of the drifters were smoothed using a Gaussian-filter scale  
120      of 24 h to eliminate tidal and inertial currents, and were subsampled at 6-h intervals  
121      (Hamilton et al., 1999).

122      **2.2 Method to identify the mesoscale eddies**

123      Similar to the standard of Chelton et al., (2011) and Cheng et al., (2005), we  
124      identify the mesoscale eddies in this study as follows: 1) there must be a closed  
125      contour on the merged SLA; 2) there must be one maximum or minimum inside the  
126      area of closure contour for anticyclonic or cyclonic eddy; 3) the difference between  
127      the extremum and the outermost closure of SLA, that is, the intensity of the mesoscale  
128      eddy must be greater than 2 cm; and 4) the spatial scale of the eddy should be 45-500  
129      km. In addition, the amplitude (A) of an eddy is defined here to be the magnitude of



130 the difference between the estimated basal height of the eddy boundary and the  
 131 extremum value of SSH within the eddy interior:  $A = |h_{\text{ext}} - h_0|$ .

132

133 **2.3 Ocean model**

134 We here used a three-dimensional hybrid coordinate ocean model (HYCOM;  
 135 Bleck, 2002; Halliwell et al., 1998; 2000; Halliwell, 2004; Chassignet et al., 2007) to  
 136 provide a dynamical interpolator of observation data in the assimilation system.  
 137 HYCOM is a primitive equation general ocean circulation model with vertical  
 138 coordinates: isopycnic coordinate in the open stratified ocean, the geopotential (or  $z$ )  
 139 coordinate in the weak stratified upper ocean, and the terrain following  
 140 sigma-coordinate in shallow coastal regions. The general equations and numerical  
 141 algorithms of model in terms of three dimensions velocity field  $\bar{u}(u, v, w)$ , pressure  $p$ ,  
 142 in situ density  $\rho$  and the conservation of temperature ( $\theta$ ) and salinity ( $S$ ) are  
 143 follows:

$$144 \quad \frac{\partial}{\partial t_s} \left( \frac{\partial p}{\partial s} \right) + \nabla_s \cdot \left( \bar{V} \frac{\partial p}{\partial s} \right) + \frac{\partial}{\partial s} \left( \frac{\partial s}{\partial t} \frac{\partial p}{\partial s} \right) = 0 \quad (1)$$

$$145 \quad \frac{\partial \bar{V}}{\partial t_s} + \nabla_s \frac{\bar{V}^2}{2} + (\xi + f) \bar{k} \times \bar{V} + \left( \frac{\partial s}{\partial t} \frac{\partial p}{\partial s} \right) \frac{\partial \bar{V}}{\partial p} + \nabla_s M - p \nabla_s \alpha = \\ -g \frac{\partial \bar{\tau}}{\partial p} + \left( \frac{\partial p}{\partial s} \right)^{-1} \nabla_s \cdot \left( \vartheta \frac{\partial p}{\partial s} \nabla_s \bar{V} \right) \quad (2)$$

$$146 \quad \frac{\partial}{\partial t_s} \left( \frac{\partial p}{\partial s} \theta \right) + \nabla_s \cdot \left( \bar{V} \frac{\partial p}{\partial s} \theta \right) + \frac{\partial}{\partial s} \left( \frac{\partial s}{\partial t} \frac{\partial p}{\partial s} \theta \right) = \\ \nabla_s \cdot \left( \vartheta \frac{\partial p}{\partial s} \nabla_s \theta \right) + \hbar_\theta \quad (3)$$

147 where  $p$  is pressure,  $s$  is the vertical coordinate,  $\bar{V} = (u, v)$  is the horizontal velocity,



148      $\xi = \partial v / \partial x_s - \partial u / \partial y_s$  is relative vorticity,  $M = gz + p\alpha$  is Montgomery function,  
149      $\theta = gz$  is the gravitational potential,  $\varrho$  is the specific volume;  $f$  is the Coriolis  
150     parameter,  $\vec{k}$  is the unit vector in the vertical direction,  $\vartheta$  is viscosity coefficient,  
151      $\tau$  is the wind stress.

152       In this study, HYCOM was implemented in the Chinese shelf/coastal seas with a  
153       horizontal resolution of  $1/12^\circ \times 1/12^\circ$ , and in the remaining regions with  $1/8^\circ \times 1/8^\circ$ , the  
154       model domain is from  $0^\circ\text{N}$  to  $53^\circ\text{N}$  and from  $99^\circ\text{E}$  to  $143^\circ\text{E}$ , the detail model domain  
155       and grid are shown in the inset panel of Fig.1. The vertical water column from the sea  
156       surface to the bottom was divided into 22 levels. The K-Profile Parameterization  
157       (KPP; Large et al., 1994), which has proved to be an efficient mixing  
158       parameterization in many oceanic circulation models, was used here. The bathymetry  
159       data of the model domain were taken from the 2-Minute Gridded Global Relief Data  
160       (ETOPO2).

161       To adjust the model dynamics and achieve a perpetually repeating seasonal cycle  
162       before applying the interannual atmospheric forcing, the model was initialized with  
163       climatological temperature and salinity from the World Ocean Atlas 2001 (WOA01;  
164       Boyer et al., 2005) and was driven by the Comprehensive Ocean-Atmosphere Data  
165       Set (COADS; Woodruff et al., 1987) in the spin-up stage. After integrating ten model  
166       years with climatological forcing, the model was forced by the European Center for  
167       Medium-Range Weather Forecasts (ECMWF) 6-hourly reanalysis dataset (Uppala et  
168       al., 2005) from 1997 to 2003. The wind velocity (10-m) components were converted  
169       to stresses using a stability dependent drag coefficient from Kara et al. (2002).



170 Thermal forcing included air temperature, relative humidity and radiation (shortwave  
171 and longwave) fluxes. Precipitation was also used as a surface forcing from Legates et  
172 al. (1990). Surface latent and sensible heat fluxes were calculated using bulk formulae  
173 (Han, 1984). Monthly river runoff was parameterized as a surface precipitation flux in  
174 the ECS, the SCS and Luzon Strait (LS) from the river discharge stations of the  
175 Global Runoff Data Centre (GRDC) (<http://www.bafg.de>), and scaled as in Dai et al.  
176 (2002). Temperature, salinity and currents at the open boundaries were provided by an  
177 India-Pacific domain HYCOM simulation at 1/4° spatial resolution (Yan et al., 2007).  
178 Surface temperature and salinity were relaxed to climate on a time scale of 100 days.  
179 Both two-dimensional barotropic fields such as Sea Surface Height and barotropic  
180 velocities, and three-dimensional baroclinic fields such as currents, temperature,  
181 salinity and density were stored daily.

182 **2.4 The assimilation scheme**

183 The ensemble optimal interpolation scheme (EnOI; Oke et al., 2002), which is  
184 regarded as a simplified implementation of the EnKF, aims at alleviating the  
185 computational burden of the EnKF by using stationary ensembles to propagate the  
186 observed information to the model space. The data assimilation schemes can be  
187 briefly written as (Oke et al., 2010):

$$188 \quad \bar{\psi}^a = \bar{\psi}^b + K(\bar{d} - H\bar{\psi}^b) \quad (4)$$

$$189 \quad K = P^b H^T [H P^b H^T + R]^{-1} \quad (5)$$

190 where  $\bar{\psi}$  is the model state vectors including model temperature, layer thickness and



191 velocity; Superscripts  $a$  and  $b$  denote analysis and background, respectively;  $\bar{d}$  is  
192 the measurement vector that consists of SST and SLA observations;  $K$  is the gain  
193 matrix; and  $H$  is the measurement operator that transforms the model state to  
194 observation space;  $R$  is the measurement error covariance. In EnOI, Eq. 5 can be  
195 expressed as:

196 
$$K = \varphi(\sigma \circ P^b) H^T [\varphi H(\sigma \circ P^b) H^T + R]^{-1} \quad (6)$$

197 where  $j$  is a scalar that can tune the magnitude of the analysis increment;  $\sigma$  is a  
198 correlation function for localization;  $P^b$  is the background error covariance, which  
199 can be estimated by

200 
$$P^b = A' A^T / (n - 1) \quad (7)$$

201 In Eq. 7,  $n$  is the ensemble size,  $A'$  is the anomaly of the ensemble matrix,  
202  $A = (\psi_1, \psi_2, \dots, \psi_N) \in \mathbb{R}^{n \times N}$  ( $\psi_i \in \mathbb{R}^N$  ( $i = 1, \dots, n$ )) is the ensemble members,  $N$  is the  
203 dimension of the model state, representing usually the model variability at certain  
204 scales by using a long-term model run or spin-up run. More detailed description and  
205 evaluation of the CSCASS are in Li et al., (2010) and Xu et al., (2012).

206

207 **3. Results**

208 **3.1 Observations of two anticyclonic eddies in the NSCS**

209 In this study, we investigated two representative anticyclonic eddies in the NSCS,  
210 one generated in the interior (named AE1) and another shed from the Kuroshio loop  
211 (named AE2). The AE1 generated by interaction of the unstable rotating fluid with the  
212 sharp topography of DIs (Wang et al., 2008) firstly appeared near DIs on the 10<sup>th</sup> of



213 December 2003 (see Fig. 3). Then it began to move southwestward with its amplitude  
214 decreasing gradually. During the movement of AE1, another anticyclonic eddy (AE2)  
215 was shed and developed from the loop current of Kuroshio near the Luzon Strait. The  
216 amplitude of AE2 was then increased when it propagated southwestward (Fig. 3d-3f).  
217 About five weeks later, AE2 reached its maximum in amplitude and then lasted  
218 around three weeks in its mature state. During its decay phase, AE2 moved  
219 southwestward quickly with its amplitude decreasing, and finally disappeared at the  
220 location of 114°E, 18°N. In the meanwhile, AE1 continued moving to southwest and  
221 eventually disappeared in southeastern of Hainan.

222 **3.2 The reproduction of these anticyclonic eddies in the NSCS**

223 In order to investigate whether the evolution and migration features of these two  
224 eddies can be reproduced by the CSCASS or not, we firstly set up an assimilation  
225 experiment named As\_exp (see Table 1) for AE1 and AE2. In this experiment, the  
226 observed SST and SLA are both assimilated into CSCASS at 3 days interval. To  
227 enable dynamic adjustment, the first assimilation was performed on the 27<sup>th</sup> of  
228 September 2003, two months prior to the generation of AE1. Figure 4 compares the  
229 assimilating results of AE1 with the satellite remote sensing and trajectories of drifter  
230 buoys number 22517, 22918 and 22610 between December 3<sup>rd</sup> 2003 and February  
231 18<sup>th</sup> 2004. From Fig. 4 and Table 2, we can see that the generation and movement of  
232 AE1 can be well reproduced by the CSCASS, with the pink curves (assimilation)  
233 match well with those of black (satellite observations) and dotted lines (the  
234 trajectories of drifter buoys). In addition, the spatial pattern of AE1 can also be well



235 revealed by the CSCASS: the meridional and zonal radii of AE1 detected by the  
236 assimilation are 163 km and 93 km, which are almost equal to that of observations  
237 (148 km and 79 km). The migration path of AE1 can also be well reproduced by the  
238 CSCASS (see Fig. 4, black and pink line) until its amplitude decays to less than 8 cm.  
239 In addition to AE1, the generation and evolution of AE2 are also evaluated. As shown  
240 in Fig. 5, the evolution and propagation pathway of AE2 (Fig. 5b-5j), e.g., moving  
241 firstly northwestward and then southwestward, can generally be reproduced by the  
242 CSCASS, although its initial location shows a slight southward bias in the simulation  
243 (Fig. 5a). Similar to the results of AE1, discrepancies between model and observations  
244 become larger again during the decay phase of AE2.

245 In general, the comparison of assimilation SLA with that of satellite observation  
246 and the trajectories of drifter buoys suggested that the generation, development and  
247 the propagation of AE1 and AE2 can be reproduced by the CSCASS when their  
248 amplitude greater than 8 cm. However, when their intensity is relatively weak, with  
249 amplitudes less than 8 cm, the features of these two mesoscale eddies are not well  
250 reproduced by the CSCASS. This may be related to the value setting of parameter  $\alpha$ ,  
251 the localization length scale, and insufficient spatial resolution of assimilating SSH or  
252 the numerical model (Counillon and Bertino, 2009).

### 253 **3.3 The predictability of these anticyclonic eddies in the NSCS**

254 Since the generation, development and the propagation of AE1 and AE2 can be  
255 well reproduced by the CSCASS when their amplitude > 8 cm, as mentioned above, in  
256 this section we further use the CSCASS to investigate the predictability of these two



eddies. According to the generation, evolution and migration of these two eddies, we designed six forecast experiments, hereafter referred to as Exp1 to Exp6 (see Table 1) to investigate their predictability. The model's initial state prior to each of the six forecast experiments is constrained by assimilating satellite SLA and SST beforehand. Based on the initial state, each experiment is run forward 30 days with the forcing of 6-hourly wind, surface heat flux, and monthly mean river runoff, etc. The first experiment, named Exp1, is applied on the 29<sup>th</sup> of November 2003, which tends to study whether the generation of AE1 can be forecasted or not. Exp2 is implemented on the 10<sup>th</sup> of December 2003 and is used to study whether the development and the migration of AE1 can be forecasted. Exp3 is run based on the initial state on the 31<sup>th</sup> of December 2003 and used to show whether the generation of AE2 and the continued migration of AE1 can be forecasted. In order to investigate whether the continued evolution of AE1 and AE2 can be forecasted, Exp4 is applied on the 21<sup>th</sup> of January 2003. Exp5 is set up to reveal whether the attenuation of AE1 and the evolution of AE2 can be forecasted, while Exp6 which is applied on the 29<sup>th</sup> of February 2004 was designed to find out whether the disappearance of AE1 and AE2 can be forecasted.

The prediction results of Exp1 are shown in Fig. 6. In Fig. 6a, we can see that the forecast is almost coincident with the satellite observation and the trajectory of drift buoys, indicating that the generated position of AE1 can be well forecasted by the CSCASS. In addition, the initial migration of AE1 can also be forecasted by the CSCASS (see Fig. 6a and 6f). In order to evaluate the forecasted amplitude of AE1, the intensity, amplitudes of eddy centers between the observation and the forecast are



279 also quantified (Table 3: EXP1). From Table 3: EXP1, we can see that the amplitude  
280 of forecasting matches well with that of observation, although its amplitude is slightly  
281 larger than that of observation. After 4 weeks, the amplitude and intensity of the  
282 forecast are still close to those of the observation, suggesting that the generation of  
283 AE1 can be well predicted by the CSCASS.

284 In order to find out whether the development and movement path of AE1 can be  
285 predicted after generation, we continue to carry out Exp2. As shown by the  
286 observation (Fig. 7), AE1 moves southwestward along the continental shelf with its  
287 amplitude decreasing and again increasing after its generation. This observed  
288 southwestward movement is also predicted by the CSCASS (see pink closure curve in  
289 Fig. 7a-7d), although a sudden southwestward movement cannot be well predicted  
290 (Fig. 7f). In addition, the first attenuation and then enhancement of AE1 is also  
291 predicted by the CSCASS (see Table 3 and Fig. 7b). On the whole, the development  
292 and movement path of AE1 can be well predicted by CSCASS for the first four weeks  
293 after its generation. After that, the errors between observation and prediction increase  
294 significantly, and by the fifth week, the distance between the center of the prediction  
295 and the observation become larger, more than 100 km (see Fig. 7e).

296 For further analysis, we carry out Exp3, to look at whether the continued  
297 evolution of AE1 and the generation of AE2 can be predicted. This experiment is  
298 carried out based on the initial condition of the assimilation on the 31<sup>st</sup> of December  
299 2003. The development trend of AE1 can be predicted, but with a slightly weak  
300 amplitude, as shown by the prediction (Fig. 8, Table 3). The observed center elevation



301 of AE1 reduced from 18 cm in the first week to 13 cm in the fifth week. Similar trend  
302 was also found for the forecast but with its amplitude decreasing from 13 cm at the  
303 beginning to 10 cm at the end of the forecast period. Although the decreasing trend of  
304 AE1 amplitude is quite similar between the observations and forecast, their intensity  
305 is slightly different. In addition, the movement path of AE1 cannot be accurately  
306 predicted at this period, for instance, the observed AE1 moves directly to southwest  
307 (see red solid line and solid circle in Fig. 8f), but the prediction's movement is firstly  
308 toward northeast, then turns to southwest (see blue solid line and solid circle in Fig.  
309 8f). The generation of AE2 cannot be predicted in Exp3, which may be related to the  
310 lower amplitude (<8 cm) of AE2 at this period.

311 The purpose of Exp4 is to look at whether the evolution of AE1 and AE2 can  
312 both be reasonably predicted. Since this experiment mainly focuses on the evolution  
313 of AE2, thus Fig. 9 shows only the evolution of AE2 from the second week after  
314 generation, that is, from the beginning on the 21<sup>st</sup> of January 2004 to the fifth week.  
315 As shown in Fig. 9, Table 3 and Fig. 12d, the trends of amplitude variation of both  
316 eddies can be well predicted with the decreasing of AE1 and slow increase of AE2.  
317 For AE1, the results of the prediction and observation are very close in the first two  
318 weeks, with the centers of the two almost coinciding. The central position of the  
319 prediction and observation began to deviate after the third week. For AE2, although  
320 the amplitude and movement path are not predicted well at its initial stage, the  
321 prediction is slowly approaching to the observation during third to fifth week, and  
322 distance between the center of the prediction and the observation is reduced from 132



323 km at the beginning to 81 km at the end (see Fig. 12d the black line).

324 As mentioned above, the purpose of Exp5 is to investigate whether the decay of  
325 AE1 and the continued development of AE2 can be predicted. From Fig. 10, Table 3  
326 and Fig. 12e, we can find that the CSCASS cannot predict the movement path of AE1  
327 well in its decay stage: the distance between the center of the prediction and that of  
328 the observation is greater than 188 km, and the direction of movement is not  
329 consistent (see red lines and dots in Fig. 10f). But the evolution and direction of  
330 movement of AE2 can be well predicted at this stage. The amplitude of observation  
331 and prediction of AE2 is almost constant (Fig. 12e), although the speed of movement  
332 of AE2 given by prediction is slower than that of observation (see green lines and dots  
333 in Fig. 10f).

334 The aim of Exp6 is to find whether the disappearance of AE1 and AE2 can be  
335 both predicted. As described in Fig. 11, the disappearance of AE1 cannot be well  
336 predicted since the low amplitude (less than 8 cm) of AE1 at this stage. Similarly, the  
337 disappearance of AE2 is also less accurately predicted by the CSCASS (Fig. 12f). The  
338 amplitude of AE2 from the observation decays continually at this stage, but the  
339 amplitude of the predicted almost keeps constant. In addition, there is large deviation  
340 of the direction of movement between prediction and observation for AE2 (see the red  
341 solid line and dot in Fig. 11f).

342

343 **4. Conclusions and challenges for forecasting of mesoscale eddy**



344 In this paper, the reproduction and predictability of two representative  
345 anticyclonic eddies, which have been observed in the NSCS, are investigated by a  
346 series of assimilation and prediction experiments based on a Chinese Shelf/Coastal  
347 Seas Assimilation System (CSCASS), along with the observations from surface  
348 drifter trajectory and satellite remote sensing.

349 Quantitative and qualitative analyses of assimilation with the observations from  
350 satellite remote sensing and drifter buoys shown that the generation and movement of  
351 AE1 can be well reproduced by the CSCASS. In addition, the spatial pattern of AE1 is  
352 also well reproduced by the CSCASS: the meridional and zonal radii of AE1 detected  
353 by the assimilation (163 km and 93 km) are almost equivalent to that of observations  
354 (148 km and 79 km). At the same time, the migration path of AE1 is well reproduced  
355 by the CSCASS until its amplitude decays to less than 8 cm. In addition to AE1, the  
356 evolution and propagation of AE2: moves firstly northwestward and then  
357 southwestward, are well reproduced by the CSCASS, although large discrepancies  
358 between model and observations are seem during its generation and decaying periods.

359 The comparisons of AE1 and AE2 from six predicted experiments with  
360 observations show that the generation, evolution and movement path of these two  
361 eddies with high amplitude (>8 cm) can be well predicted by the CSCASS, although  
362 their generative mechanisms are quite different. The generated position and initial  
363 migration of AE1 are well forecasted by the CSCASS, with amplitude matching well  
364 with that of observation. The southwestward movement of AE1 along the continental  
365 shelf with its amplitude decreasing and again increasing after its generation are also



366 predicted by the CSCASS. In addition, the first attenuation and then enhancement of  
367 AE1 are well predicted by the CSCASS. On the whole, the development and  
368 movement path of AE1 can be well predicted by CSCASS for the first four weeks  
369 after its generation. After that, the errors between observation and prediction increase  
370 significantly and by the fifth week, the distance between the prediction center and  
371 that of observation become large and more than 100 km. The generation of AE2  
372 cannot be predicted. This may be related to the lower amplitude (<8 cm) at this period.  
373 The slow increase of AE2 from the second week after generation can be predicted,  
374 with the prediction slowly approaching to the observation. During third to fifth week,  
375 the amplitude of prediction of AE2 is almost equivalent to that of observation,  
376 although the movement speed of the prediction is slower than that of observation.

377 In general, analyses of these two representative anticyclonic eddies in the NSCS  
378 shown that generation, development and propagation of AE1 and AE2 can be well  
379 reproduced and predicted by the CSCASS when their amplitude >8 cm. In contrast,  
380 when their intensities are less than 8 cm, the generation and decay of these two  
381 mesoscale eddies cannot be well reproduced and predicted by the system.

382 Since the mesoscale eddies are related to strong nonlinear processes and are not a  
383 deterministic response to atmospheric forcing, the reproduction and predictability of  
384 mesoscale eddies may depend mainly on the initial conditions of predicted system. In  
385 addition, since the dynamical mechanisms of mesoscale eddies are quite different as  
386 mentioned above, thus the ability of the ocean numerical model to represent the  
387 physics and dynamics for mesoscale eddies is also crucial. Although data assimilation,



388 which combines observations with the numerical model, can provide good initial  
389 conditions, it cannot make up the limitations of numerical model in numerical  
390 algorithms and in its resolution. For a high-resolution operational oceanography, the  
391 latter means that the numerical models need to be improved using more accurate  
392 numerical algorithms especially in the weakly stratified regions or on the continental  
393 shelf. So far most of the information about the ocean variability is mainly obtained  
394 from satellites (SSH and SST), the information about the subsurface variability are  
395 very rare. Although a substantial source of subsurface data is provided by the vertical  
396 profiles (i.e., expendable bathy thermographs, conductivity temperature depth, and  
397 Argo floats), the datasets are still not sufficient to determine the state of the ocean. In  
398 addition, in order to accurately assimilate the SSH anomalies from satellite altimeter  
399 into the numerical model, it needs to know the oceanic mean SSH over the time  
400 period of the altimeter observations (Xu et al., 2011; Rio et al., 2014). This is also a  
401 big challenge because the earth's geoid is not presented with sufficient spatial  
402 resolution when assimilating SSH in an eddy-resolving model. The future mission of  
403 surface water and ocean topography (SWOT) launched in 2020 will help to resolve  
404 and forecast the mesoscale features in eddy resolving ocean forecasting systems.

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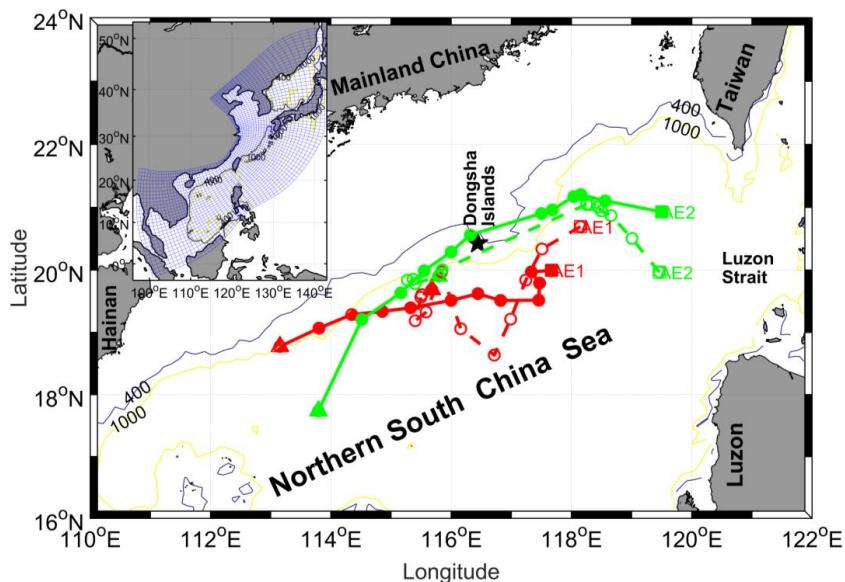


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529 **Figures:**

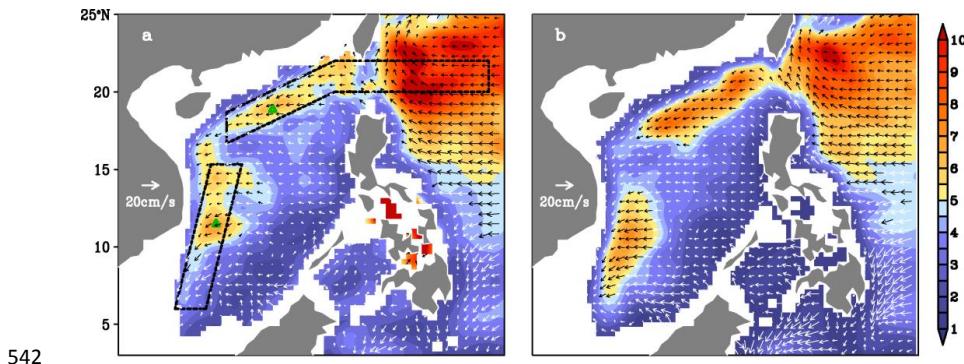


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531 Fig. 1 Bathymetry of the northern South China Sea. The blue and yellow contour lines  
532 are the isolines of 400 m and 1000 m. The solid black Pentagram indicated Dongsha  
533 Islands. Red solid (hollow) circle dots and solid (dash) lines indicated weekly passing  
534 position and migration path of observation (assimilation) AE1. Green solid (hollow)  
535 circle dots and solid (dash) lines indicated weekly passing position and migration path  
536 of observation (assimilation) AE2. The quadrangle and triangle denoted start and end  
537 position, respectively. The model domain of CSCSS (the inset panel), the curvilinear  
538 orthogonal model grid with 1/8-1/12° horizontal resolution (147×430) is denoted by  
539 the blue grid (at intervals of 10 grid cells here).

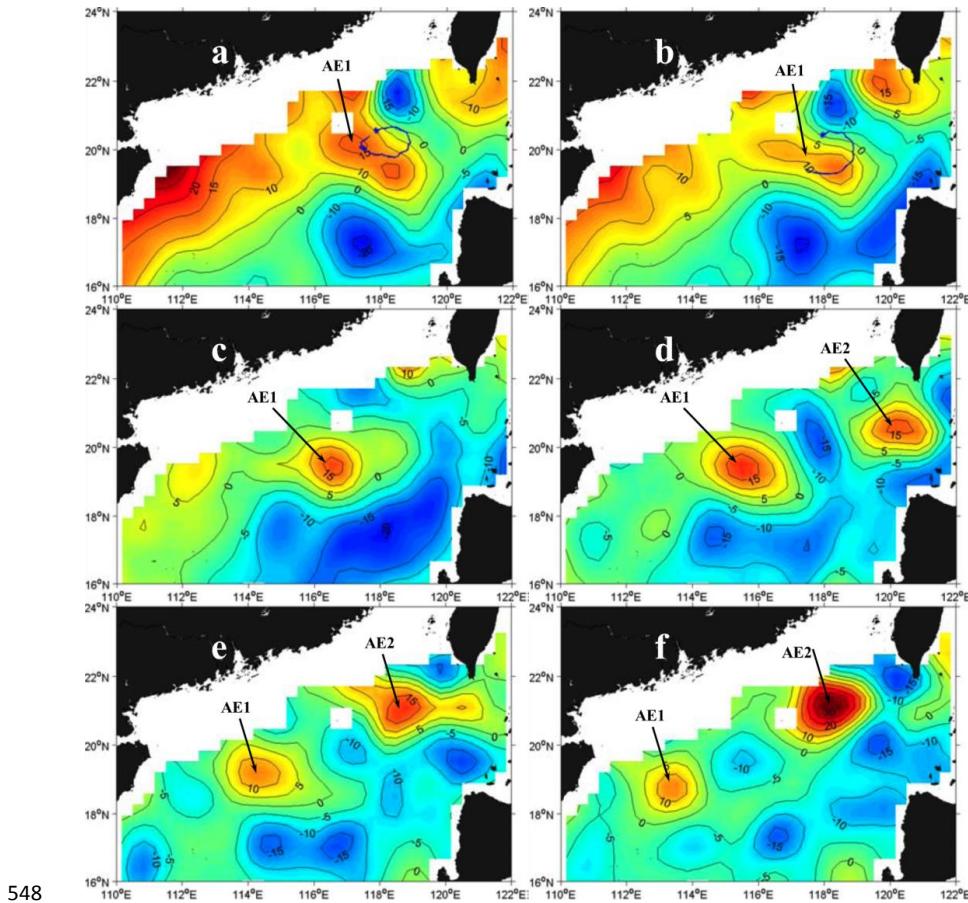
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542 Fig. 2 Annual mean standard deviation of sea level mesoscale signals (color shading,  
543 unit: cm) and propagation velocities of the signals (vectors) derived from (a) altimeter  
544 observations; (b) OFES (OGCM for the Earth Simulator) simulations From Zhuang et  
545 al. (2010).

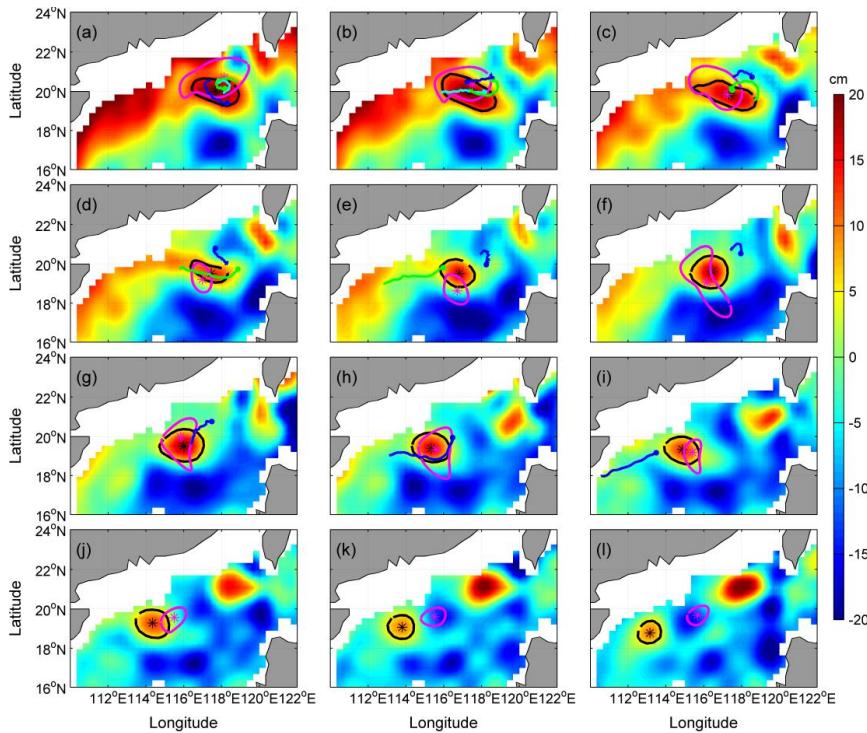
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548 Fig. 3 Snapshots of SLA from satellite remote sensing datasets. Buoy 22918 trajectory  
549 (blue lines, blue asterisk represents the initial position of buoy, as in Fig. 4) (a) from  
550 December 4–15, 2003 superposed on SLA field on December 10, 2003; (b) from  
551 December 16–23, 2003 superposed on SLA field on December 17, 2003; SLA field  
552 on (c) January 7, 2004; (d) January 21, 2004; (e) February 4, 2004; (f) February 18,  
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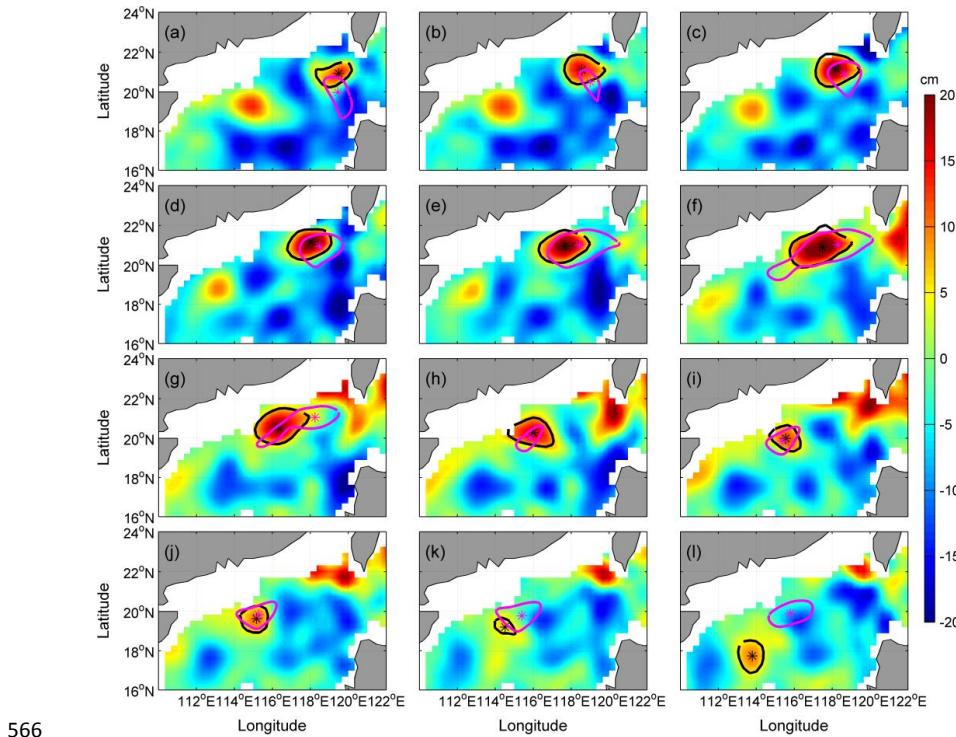
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558 Fig. 4 Comparisons of AE1 derived from weekly SLA of assimilation results and  
559 observation from satellite remote sensing during the period of December  
560 2003~February 2004. Background color is SLA, “\*” mark and closed lines indicated  
561 the center position and the outermost closed isoline of AE1, respectively, the black is  
562 from satellite observation SLA, the pink is from assimilation SLA. The cyan, green  
563 and blue solid circle lines indicated the start positions and trajectories of drifter buoys  
564 numbered 22517, 22918 and 22610, respectively. (a)-(l) is SLA on the 3<sup>rd</sup> of  
565 December 2003 to 18<sup>th</sup> of February 2004, respectively. Unit: cm.



566

567 Fig. 5 The same as figure 4, But for AE2, the corresponding period is January 28<sup>th</sup>,  
 568 2003 to April 14<sup>th</sup>, 2003.

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571

572 **Tables:**

573 Table 1 The settings of assimilation and six forecast experiments, including the start  
 574 and end date, the assimilation strategy of each experiment.

Name	Start Date	End Date	Data Assimilated
As_exp	27/09/2003	02/05/2004	SST+SLA every 3 days
Exp1	29/11/2003	29/12/2003	SST+SLA at first day
Exp2	10/12/2003 (DAY0)	09/01/2004	SST+SLA at first day
Exp3	31/12/2003	30/01/2004	SST+SLA at first day
Exp4	21/01/2004	20/02/2004	SST+SLA at first day
Exp5	08/02/2004	09/03/2004	SST+SLA at first day
Exp6	29/02/2004	30/03/2004	SST+SLA at first day



575

576 Table 2 The intensity and amplitude of AE1 and AE2 derived from observation SLA  
 577 and the assimilation SLA, and distance of eddy centers between the observation  
 578 SLA's and assimilation SLA's.

		Weekly		1	2	3	4	5	6	7	8	9	10	11	12
AE1	Distance (km)	94	4	2	6	9	7	5	3	6	13	19	29		
	Amplitude (cm)	Observed	22	2	1	1	1	1	1	1	13	10	10		
		Assimilate	29	2	2	1	1	1	1	1	10	8	7		
	Intensity(cm)	Observed	8	1	9	4	8	1	1	1	8	8	4	6	
AE2	Assimilate	18	1	1	6	5	4	5	6	2	3	3	2		
	Distance (km)	10	8	6	5	8	9	2	3	2	26	11	32		
	Amplitude (cm)	Observed	14	1	2	2	2	2	2	1	1	11	6	10	
		Assimilate	8	1	1	1	2	1	1	1	1	15	12	11	
Intensity(cm)	Observed	7	1	1	1	1	1	1	1	1	7	6	N/	6	
	Assimilate	3	2	5	6	1	8	4	8	9	4	5	6		

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582

583 Table 3 The intensity of AE1 and AE2 derived from observation SLA and the six  
 584 forecast SLA, and distance of eddy centers between the observation SLA's and  
 585 forecast SLA's.

		Weekly		1	2	3	4	5
Exp1	Intensity (cm)	Observed	8	10	9	8	8	
		Forecasted	14	12	14	11	12	
Exp2	Intensity (cm)	Observed	10	9	4	8	13	
		Forecasted	12	11	6	8	10	
Exp3	Intensity (cm)	Observed	13	13	11	8	8	
		Forecasted	2	3	3	3	N/A	
Exp4	AE1	Intensity (cm)	Observed	11	8	8	4	6
			Forecasted	4	2	2	2	N/A
	AE2	Intensity (cm)	Observed	N/A	N/A	13	18	17
			Forecasted	N/A	N/A	N/A	6	9
Exp5	AE1	Intensity (cm)	Observed	4	6	2	N/A	N/A
			Forecasted	2	2	2	2	2
	AE2	Intensity (cm)	Observed	18	17	17	17	14
			Forecasted	5	7	6	6	9
Exp6	AE2	Intensity (cm)	Observed	16	16	12	7	6
			Forecasted	7	9	6	4	6

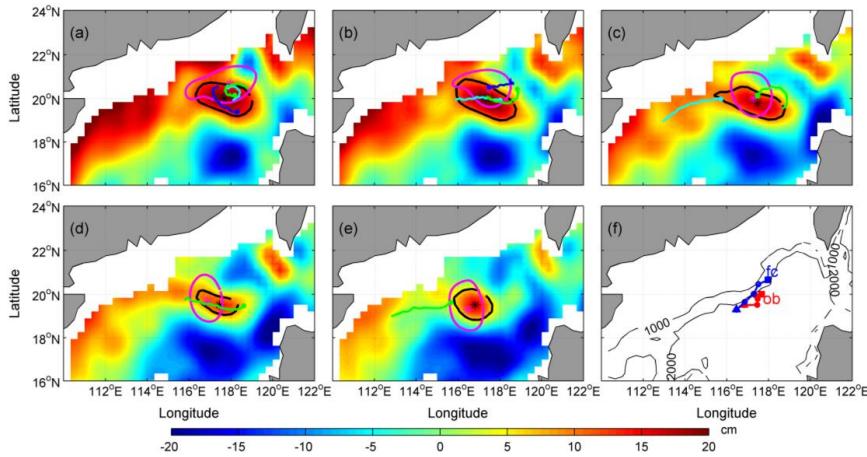
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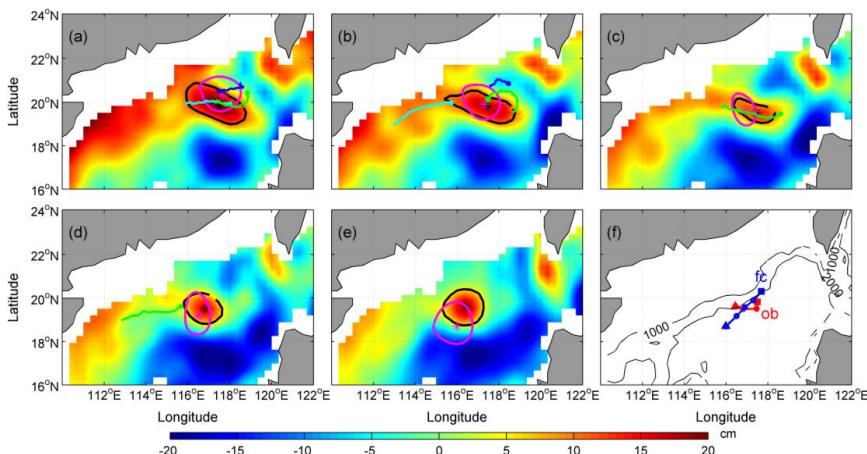
588 Fig. 6 Comparison of AE1 of Exp1 and observation, and trajectories of drifter buoys  
 589 during the 29<sup>th</sup> of November 2003 to 29<sup>th</sup> of December 2004. The cyan, green and  
 590 blue solid circle dots and lines indicated the start positions and trajectories of drifter  
 591 buoys numbered 22917, 22918 and 22610 during the corresponding period,  
 592 respectively. Where, the red (blue) dotted line in (f) is the moving path of AE1 derived  
 593 from observation (forecast) SLA during the experiment period.

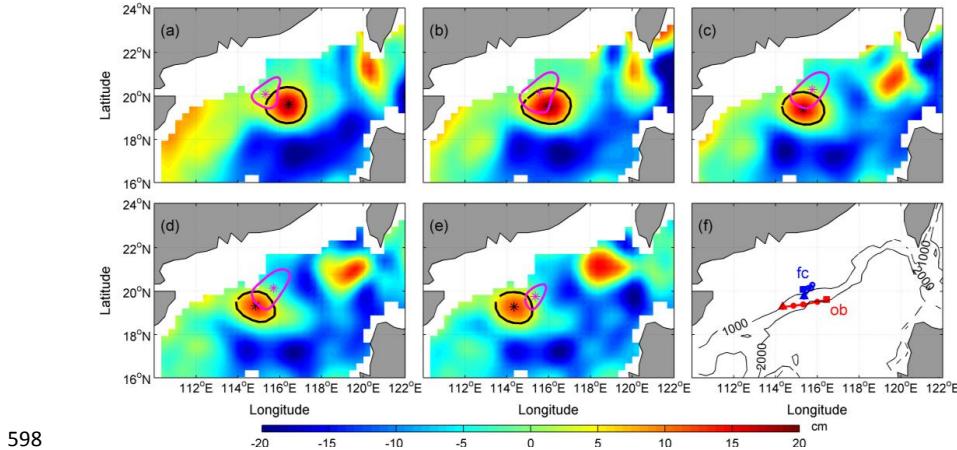
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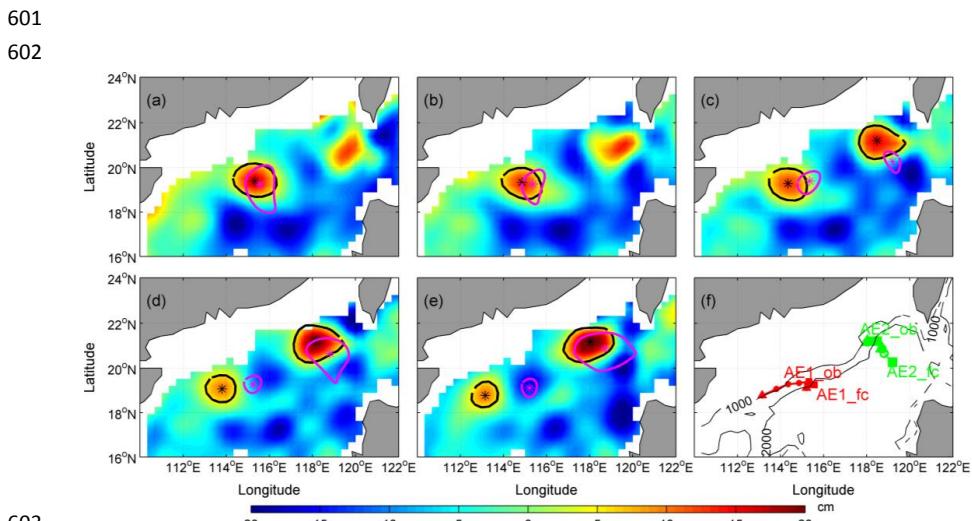
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596 Fig. 7 Same as figure 6, but for Exp2, the experiment period is the 10<sup>th</sup> of December  
 597 to the 9<sup>th</sup> of January 2004.

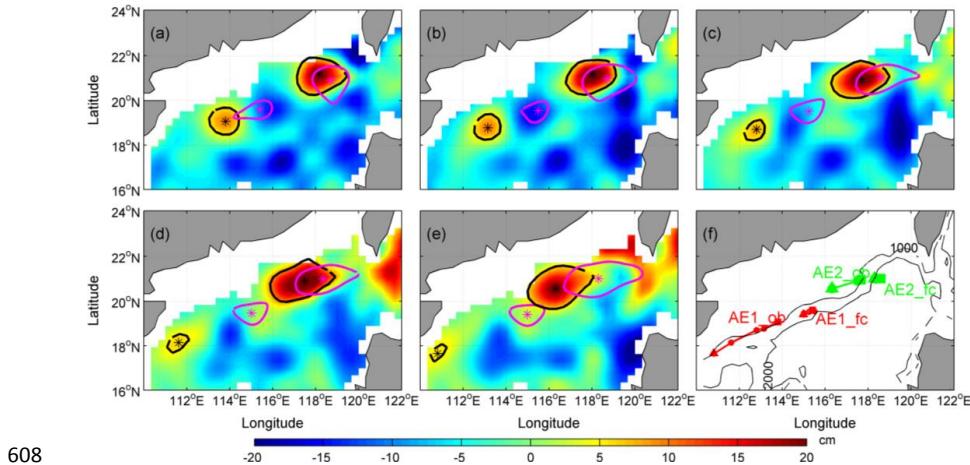




598 Fig. 8 Same as figure 7, but for Exp3, the experiment period is the 31<sup>st</sup> of December  
 599 600 2003 to the 30<sup>th</sup> of January 2004.



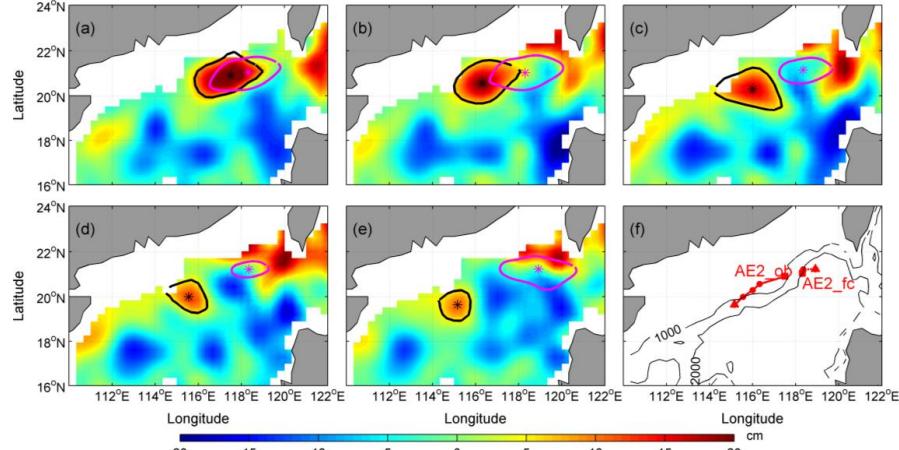
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 603 Fig. 9 Same as figure 8, but for Exp4, where, the red (green) dotted line in (f) is the  
 604 moving path of AE1 (AE2), the red solid lines and circle dots derived from  
 605 observation SLA, the green dash line and hollow circle dots derived from forecast  
 606 SLA during the 21<sup>st</sup> of January 2004 to the 20<sup>th</sup> of February 2004.  
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609 Fig. 10 Same as figure 9, but for Exp5, the experiment period is the 8<sup>th</sup> of February  
610 2004 to the 10<sup>th</sup> of March 2004.

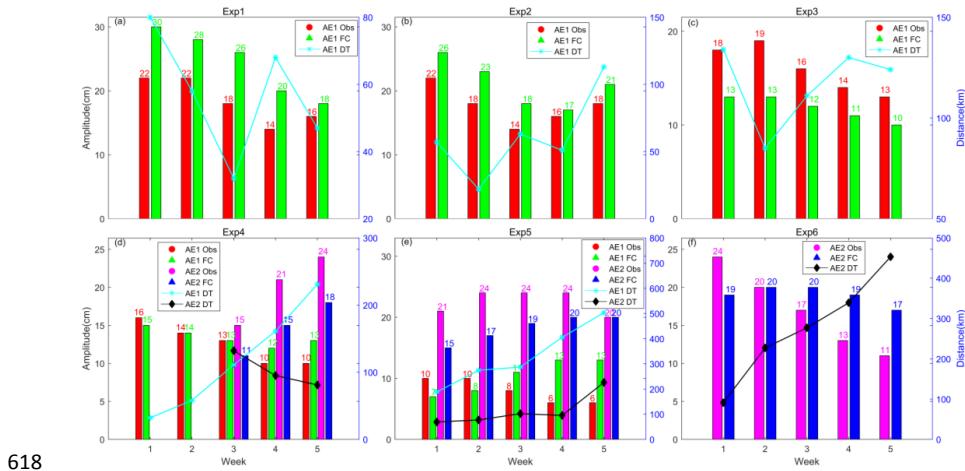
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614 Fig. 11 Same as figure 9, but for Exp6 and AE2, the experiment period is the 29<sup>th</sup> of  
615 February 2004 to the 30<sup>th</sup> of March 2004.

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618 Fig. 12 The amplitude of AE1 and AE2 derived from observation SLA and the six  
 619 forecast SLA, and distance of eddy centers between the observation SLA's and  
 620 forecast SLA's. The red and green histograms indicated the amplitude of observation  
 621 and prediction AE1. The pink and blue histograms expressed the amplitude of  
 622 observation and prediction AE2. The cyan star line shows the distance of the center  
 623 between observation and prediction AE1. The black diamond line shows the distance  
 624 of the center between observation and prediction AE2.

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