



1 **Skill assessment of global, regional and coastal circulation forecast models:**
2 **evaluating the benefits of dynamical downscaling in IBI surface waters.**

3 **Pablo Lorente¹, Marcos García-Sotillo¹, Arancha Amo-Baladrón¹, Roland Aznar¹,**
4 **Bruno Levier², José Carlos Sánchez-Garrido³, Simone Sammartino³, Álvaro De**
5 **Pascual¹, Guillaume Reffray², Cristina Toledano¹ and Enrique Álvarez-Fanjul¹**

6

7 [1]{Puertos del Estado, Madrid, Spain}

8 [2]{Mercator Ocean, Toulouse, France}

9 [3]{Physical Oceanography Group of University of Málaga (GOFIMA), Málaga, Spain}

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11 Correspondence to: P. Lorente (plorente@puertos.es)

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13 **Abstract**

14 In this work, a multi-parameter inter-comparison of diverse ocean forecast models was
15 conducted at the sea surface, ranging from global to local scales in a two-phase strategy.
16 Firstly, a comparison of CMEMS-GLOBAL and the nested CMEMS-IBI regional system was
17 performed against satellite-derived and in situ observations. Results highlighted the overall
18 benefits of both the GLOBAL data assimilation in open-waters and the increased horizontal
19 resolution of IBI in coastal areas, respectively. Besides, IBI proved to capture shelf dynamics
20 by better representing the horizontal extent and strength of a river freshwater plume,
21 according to the results derived from the validation against in situ observations from a buoy
22 moored in NW Spain. Secondly, a multi-model inter-comparison exercise for 2017 was
23 performed in the Strait of Gibraltar among GLOBAL, IBI and the nested SAMPA high-
24 resolution coastal forecast system in order to elucidate the accuracy of each system to
25 characterize the Atlantic Jet (AJ) inflow dynamic. A quantitative validation against High
26 Frequency radar (HFR) hourly currents highlighted both the steady improvement in AJ
27 representation in terms of speed and direction when zooming from global to coastal scales
28 though a multi-nesting model approach and also the relevance of a variety of factors at local
29 scale such as a refined horizontal resolution, a tailored bathymetry and a higher spatio-
30 temporal resolution of the atmospheric forcing. The ability of each model to reproduce a 2-
31 day quasi-permanent full reversal of the AJ surface inflow was examined in terms of wind-
32 induced circulation patterns. SAMPA appeared to better reproduce the reversal events
33 detected with HFR estimations, demonstrating the potential added value of coastal models
34 with respect to coarser parent regional systems. Finally, SAMPA coastal model outputs were
35 also qualitatively analysed in the Western Alboran Sea to put in a broader perspective the
36 context of the onset, development and end of such flow reversal episodes.

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38 **Keywords:** forecasting; model; inter-comparison; validation, downscaling; HF radar; skill
39 assessment;

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1 **1 Introduction**

2 Over the last three decades, significant progresses have been made in the discipline of
3 operational oceanography thanks to the substantial increase in high-performance
4 computational resources, which has fostered the seamless evolution in ocean modelling
5 techniques and numerical efficiency (Cotelo et al., 2017) and given rise to an inventory of
6 operational ocean forecasting systems (OOFSSs) running in overlapping regions in order to
7 reliably portray and predict the ocean state and its variability at diverse spatio-temporal
8 scales.

9 Global circulation models have been steadily evolving in terms of complexity, horizontal
10 resolution refinement and process parameterisation (Holt et al., 2017). Notwithstanding, such
11 development involves compromises of scale and is subject to practical limits on the feasible
12 spatial resolution (Greenberg et al., 2007). Although large-scale processes and physical and
13 biogeochemical cycles are properly resolved by the current state-of-the-art global models
14 resolution (e.g. nominal $1/12^\circ$), coastal and shelf phenomena are still poorly replicated or even
15 misrepresented as the grid mesh is too coarse, especially for complex-geometry regions such
16 as sea straits, archipelagos or semi-enclosed seas where the coastline, seamounts and bottom
17 topography are not well resolved. In this context, tides, vertical coordinates, mixing schemes,
18 river inflows and atmospheric forcings have been traditionally identified as five areas of
19 further research in global ocean modelling (Holt et al., 2017).

20 Since the continental shelf is affected not only by natural agents (land-sea breezes, riverine
21 discharges, bottom topography, coastline shape, etc.) but also by human-induced factors, an
22 increased understanding of coastal circulation is essential for decision- and policy-making in
23 the socioeconomically vital and often environmentally stressed coastal regions. Therefore,
24 small-scale ocean features must be explicitly computed and accurately reproduced by means
25 of regional models with finer horizontal grid spacing but for a particular delimited area. The
26 success of this approach requires the seamless progress in several aspects, as previously
27 identified by Kourafalou et al. (2015): i) a deep comprehension of the primary mechanisms
28 driving coastal circulation; ii) downscaling methods to adequately represent air-sea and land-
29 sea interactions; iii) robust methods to embed high-resolution models in coarser-scale
30 systems. Therefore, this approach implies the transfer of large-scale information from the
31 global model to the interior of the nested regional domain by means of diverse methodologies.
32 One of them is the so-called ‘spectral nudging’ technique, adopted to ensure that the
33 prevailing global conditions are not degraded in the open-ocean, while allowing sub-
34 mesoscale processes to be resolved exclusively by the embedded model in the continental
35 shelf and coastal areas (Herbert et al., 2014). Additionally, the regional modelling strategy can
36 include some fine-tuning of physical parameters, individually tailored to each chosen area,
37 instead of the universally valid parameterizations associated with global OOFSSs.

38 The benefits of regional modelling over the driving global OOFSS are generally assumed,
39 but to date only few studies have explored and quantified the potential added value of such
40 approach (Katavouta and Thomson, 2016; Rockel, 2015; Greenberg et al., 2007). The ‘parent-
41 son’ model inter-comparison is mandatory during both implementation and operational stages
42 since it aids to: i) verify the most adequate nesting strategy; ii) check the consistency of the
43 nested model solution; and iii) identify any potential problem that might be inherited from the
44 coarser system.

45 In the framework of the Copernicus Marine Environment Monitoring Service (CMEMS), a
46 global ocean model together with a wealth of nested regional OOFSSs are currently running in
47 different areas of the European seas and providing paramount oceanographic forecast



1 products (Le Traon et al., 2018). Since the validation of OOFs against independent
2 measurements constitutes a core activity in oceanographic operational centres, the skill of
3 Iberia-Biscay-Ireland (IBI) regional OOFs is routinely assessed by means of the NARVAL
4 (North Atlantic Regional VALidation) system (Sotillo et al. 2015), a web-based toolbox that
5 provides a series of skill metrics automatically computed and delivered in the QUality
6 Information Document - QUID - (Sotillo et al., 2014). In this context, the first goal of this
7 paper is to conduct a multi-parameter model inter-comparison between IBI regional OOFs
8 and the coarser parent system, the CMEMS GLOBAL (Lellouche et al., 2018), with the aim
9 of assessing their performance at the upper-layer. Their predictive skills to properly represent
10 the surface temperature (SST) over IBI coverage domain and diverse sub-regions were
11 evaluated by means of comparisons against remote-sensed and in situ observations. On the
12 other hand, their prognostic capabilities to accurately reproduce the coastal surface circulation
13 were assessed through the analysis of a single impulsive-type river outflow episode that took
14 place in March 2018 in the Galician coast (NW Spain), a region of freshwater influence -
15 ROFI- (Simpson, 1997).

16 Despite the recent advances in the development of CMEMS global and regional core
17 products, many downstream services for user uptake require information on even smaller
18 spatial scale, such as ocean forecasting for small island chains (Caldeira et al., 2016), intricate
19 bights (Stanev et al., 2016) or port approach areas where sharp topo-bathymetric gradients
20 pose special difficulties for accurate local predictions (Sotillo et al. 2018, under review;
21 Hlevca et al., 2018; Federico et al., 2017; Sánchez-Arcilla et al., 2016; Sammartino et al.,
22 2014; Grifoll et al., 2012). A variety of operational products for harbours have been recently
23 developed, although most of these coastal applications are wave and water-level forecasting
24 systems (Lin et al., 2008; Pérez et al., 2013). By contrast, lower attention has been devoted to
25 harbour hydrodynamic conditions since its reduced dimensions and intricate layout confer
26 upon harbour restrictions, which are not present in the open sea. Besides, derivative products
27 based on current forecasts, such as float trajectories, residence time maps, flushing patterns
28 and risk assessment of water quality degradation can constitute additional assets for efficient
29 harbour management (Álvarez-Fanjul et al., 2018; Sammartino et al., 2018). In order to
30 overcome the existing gap between the scales effectively solved by the regional OOFs and
31 the coastal scales required to meet strong societal needs in support of blue and green growth,
32 a number of downstream services are currently adopting different downscaling approaches.
33 Dynamical downscaling takes regional boundary conditions to drive a high-resolution limited-
34 area model in which coastal processes are calculated on a finer grid by resolving well-known
35 hydrodynamic equations. However, uncertainties in the downscaling process are hard to
36 quantify since coastal solutions are still exchanging poorly controlled information with larger-
37 scale at their boundaries (Hernández et al., 2018).

38 As a representative example of downstream service developed by Puertos del Estado (PdE)
39 in a hot spot area like the Strait of Gibraltar (GIBST), the operational PdE-SAMPA high-
40 resolution coastal system (Sánchez-Garrido et al., 2013) is dynamically embedded in IBI and
41 nowadays employed by the Port Authority of Algeciras Bay as predictive tool to support
42 maritime policy and assist high-stakes decision-making related to marine safety, port
43 operation optimization and mitigation of both natural disasters and anthropogenic hazards.
44 Previous researches have unequivocally proved the ability of PdE-SAMPA to accurately
45 capture basic circulation features of the GIBST area and Algeciras Bay (Sanchez-Garrido et
46 al., 2014; Sammartino et al., 2014; Soto-Navarro et al., 2016). A preliminary model skill
47 assessment was conducted within the framework of MEDESS-4MS project (Sotillo et al.,
48 2016-a). However, the added value of this coastal OOFs with respect to its parent regional



1 system IBI was only quantified from a lagrangian perspective by using a wealth of drifters.
2 The second goal of this contribution is thus to build up upon previous model inter-comparison
3 exercises, placing special emphasis on the characterization of the Atlantic Jet (AJ) inflow into
4 the Mediterranean Sea in terms of speed and direction. This geostrophically adjusted jet
5 fluctuates in a wide range of temporal scales and drives the main circulation in the Alboran
6 Sea, feeding and surrounding the Western Alboran Gyre -WAG- (Macias et al., 2016). An
7 inter-comparison exercise was conducted for 2017 among a global configuration (CMEMS
8 GLOBAL), a regional application (CMEMS IBI) and a higher resolution coastal system (PdE-
9 SAMPA), in order to characterize the AJ dynamics and their ability to adequately capture an
10 extreme event: the quasi-permanent (up to ~48 h long) full reversal of the AJ surface flow
11 under intense and prolonged easterlies. To this end, a High-Frequency radar (HFR) has been
12 used as benchmark since it regularly provides quality-controlled hourly maps of the surface
13 currents of the Strait (Lorente et al., 2014).

14 In summary, this paper serves one primary purpose: performing a multi-parameter model
15 skill assessment in IBI surface waters, ranging from global to local scales in a two-phase
16 strategy: i) a comparison between GLOBAL and IBI regional systems in the entire
17 overlapping coverage domain, posing special attention on regionalization; and ii) a process-
18 based multi-model inter-comparison for 2017 with a focus on the GIBST. It is worth
19 mentioning that the present model inter-comparison is limited to the surface layer.

20 This paper is organized as follows: Section 2 provides further details about the study areas.
21 Section 3 describes the diverse models configuration. Section 4 outlines the observational
22 data sources and methodology used in this study. Sections 5 and 6 present a detailed
23 discussion of the results. Finally, main conclusions are summarized in Section 7.

24

25 **2 Study Areas**

26 **2.1 IBI area (and subregions)**

27 From a pure physical oceanographic point of view, the IBI geographical domain is a very
28 complex region (Figure 1, a), marked by a generally steep slope separating the deep ocean
29 from the shelf. The western, and deeper, side of the IBI domain is affected by main large-
30 scale currents, mainly the closure of the North Atlantic Drift, here split into two major
31 branches, the major one continuing northwards along the north-western European shelves
32 (NAC and NADC) and the other, the Azores Current (AC), which follows south-eastwards
33 and has continuity in the Canary Current (CaC). On the other hand, along the slope, a
34 poleward slope current flows in the subsurface; it is observed as far north as at Ireland
35 latitudes. Instabilities in this slope current favour the occurrence of slope water oceanic
36 eddies, along the northern Iberian coast (Pingree & Le Cann 1992). On the continental
37 shelves, intense tidal motions provide the dominant source of energy (Álvarez-Fanjul et al.
38 1997): noticeable tidal mixing fronts arise on the most energetic tidal areas of the IBI region
39 (i.e. English Channel, Celtic and Irish Sea). Shelf and coastal areas of the region are also
40 affected by strong storm surges (Pérez et al. 2012). Along the western Iberian and African
41 coasts, strong summer upwelling of bottom cold and enriched waters take place under
42 predominant northerly wind conditions that trigger the Ekman-driven offshore deflection of
43 the surface flux.

44 IBI is also a rather broad and heterogeneous area. In order to gain insight into the model
45 skill assessment (as later exposed in Section 5), IBI service (IBISR) regional domain has been
46 split in nine different subregions (Figure 1-a): the Irish Sea (IRISH), the English Channel



1 (ECHAN), the Gulf of Biscay (GOBIS), the North Iberian Shelf (NIBSH), the West Iberian
2 Shelf (WIBSH), the Western Mediterranean Sea (WSMED), the Gulf of Cadiz (CADIZ), the
3 Strait of Gibraltar (GIBST) and the Canarias Islands (ICANA).

4

5 **2.2 Strait of Gibraltar**

6 The Strait of Gibraltar (GIBST), the only connection between the semi-enclosed
7 Mediterranean basin and the open Atlantic Ocean, is characterized by a two-layer baroclinic
8 exchange which is hydraulically controlled at Camarinal Sill (Figure 1, b). Whilst saltier
9 Mediterranean water flows out at depth, an eastward surface jet of relatively fresh Atlantic
10 water (AJ) flows into the Alboran Sea by surrounding the quasi-permanent Western
11 Anticyclonic Gyre (WAG) and the more elusive Eastern Anticyclonic Gyre (EAG) in a
12 wavelike path. As the WAG owes its existence to the input of new Atlantic waters provided
13 by the AJ, both structures are widely considered to be coupled and usually referred as to the
14 AJ-WAG system. A significant variety of analytical, field and modelling studies have
15 previously attempted to disentangle the AJ-WAG system and properly explain the underlying
16 physical processes (Sánchez-Garrido et al., 2013; Macías et al., 2007-a; Viúdez, 1997).

17 The position, intensity and direction of the AJ fluctuate in a broad range of temporal
18 scales, driving the upper-layer circulation of the Alboran Sea with subsequent physical and
19 biological implications (Solé et al., 2016; Sánchez-Garrido et al. 2015; Ruiz et al., 2013). For
20 instance, the presence of a strong AJ close to the northern shore of the Alboran Sea reinforces
21 the coastal upwelling and therefore increases both the near-shore chlorophyll concentration
22 and the spawning of fish in this region (Ruiz et al., 2013; Macías et al., 2008). By contrast,
23 meteorologically-induced inflow interruptions can trigger the weakening and even the
24 decoupling of the AJ-WAG system (Sánchez-Garrido et al., 2013), the subsequent eastward
25 migration of the WAG and the genesis of a new gyre that coexists with the other two, giving
26 rise to a three-anticyclonic-gyre situation (Viúdez et al., 1998).

27 Within this context, the AJ pattern has been described to oscillate between two main
28 circulation modes at seasonal scale (Vargas-Yáñez et al., 2002): i) a stronger AJ flows north-
29 eastwards during the first half of the year and ii) a weaker AJ flows more southwardly
30 towards the end of the year. Sea level Pressure (SLP) variations over the Western
31 Mediterranean basin and local zonal wind (U) fluctuations in the Alboran Sea have been
32 usually considered as the main factors controlling and modulating the AJ variability (Macías
33 et al., 2007-b; Lafuente et al., 2002). In particular, the second parameter has been largely
34 invoked as the primary driving agent to explain both the intensification of the surface inflow
35 during prevalent westerlies and also extreme AJ collapse events recorded when intense
36 easterlies are predominant (Macías et al., 2016). The zonal wind intensity has been reported to
37 follow an annual cycle with more westerly (easterly) winds during winter (summer) months
38 (Dorman et al., 1995). The seasonal variability and occasional interruptions of the Atlantic
39 inflow due to meteorological forcing have been earlier investigated with in situ data from
40 fixed moorings (García-Lafuente, 2002). More recently, a considerable number of satellite
41 tracked drifters were released on both sides of GIBST within the framework of MEDESS-
42 4MS project, providing hence a complete Lagrangian view of the Atlantic waters inflow into
43 the Alboran Sea (Sotillo et al., 2016-b).

44



1 **3 Models description**

2 Whereas basic features of the three OOFs employed in this work are gathered in Table 1,
3 further details are provided in the following devoted sub-sections.

4 **3.1 CMEMS GLOBAL system**

5 The Operational Mercator global ocean analysis and forecast system provides 10 days of
6 3D global ocean forecasts updated daily. This product includes daily mean files of
7 temperature, salinity, currents, sea level, mixed layer depth and ice parameters from the
8 surface to seafloor over the global ocean. It also includes hourly mean surface fields for sea
9 level height, temperature and currents. The global ocean output files are displayed with a 1/12
10 degree horizontal resolution with regular longitude/latitude equirectangular projection. 50
11 vertical levels span from 0 to 5500 meters.

12 The system is based on the Nucleus for European Modelling of the Ocean (NEMO) v3.1
13 ocean model (Madec, 2008). The physical configuration is based on the tripolar ORCA grid
14 type with a horizontal resolution of 9 km at the equator, 7 km at Cape Hatteras (mid-latitudes)
15 and 2 km toward the Ross and Weddell seas. The 50-level vertical discretization retained for
16 this system has 1 m resolution at the surface decreasing to 450 m at the bottom, and 22 levels
17 within the upper 100 m. The bathymetry used in the system is a combination of interpolated
18 ETOPO1 and GEBCO8 databases. The system was initialized on 11 October 2006 based on
19 the temperature and salinity profiles from the EN4 monthly gridded climatology. The
20 atmospheric fields forcing the ocean model are taken from the ECMWF (European Centre for
21 Medium-Range Weather Forecasts) Integrated Forecast System. A 3-h sampling is used to
22 reproduce the diurnal cycle. The system does not include neither tides nor pressure forcing.
23 The monthly runoff climatology is built with data on coastal runoffs and 100 major rivers
24 from the Dai et al (2009) database (Lellouche et al., 2018). Altimeter data, in situ temperature
25 and salinity vertical profiles and satellite sea surface temperature are jointly assimilated to
26 estimate the initial conditions for numerical ocean forecasting. Moreover, satellite sea ice
27 concentration is now assimilated in the system in a monovariate/monodata mode. Further
28 details can be found in Lellouche et al., (2018).

29 **3.2 CMEMS IBI regional system**

30 The IBI OOFs provides a real-time short-term 5-day hydrodynamic 3D forecast (and one
31 day of hindcast as best estimate) of a range of physical parameters (currents, temperature,
32 salinity and sea level) since 2011 (Sotillo et al., 2015). IBI is based on an eddy-resolving
33 NEMO model application (v3.6) that includes high-frequency processes required to
34 characterize regional-scale marine processes. The model application is run at 1/36° horizontal
35 resolution and final products are routinely delivered in a service domain extending between
36 19°W-5°E and 26°N-56°N. The NEMO model (Madec, 2008) solves the three-dimensional
37 finite-difference primitive equations in spherical coordinates discretized on an Arakawa-C
38 grid and 50 geopotential vertical levels (z coordinate), assuming hydrostatic equilibrium and
39 Boussinesq approximation. Partial bottom cell representation of the bathymetry (a composite
40 of ETOPO 2 and GEBCO8) allows an accurate representation of the steep slopes
41 characteristic of the area. The model grid is a subset of the Global 1/12° ORCA tripolar grid
42 used by the parent system (the CMEMS GLOBAL system) that provides initial and lateral
43 boundary conditions, but refined at 1/36° horizontal resolution.

44 The IBI run is forced every 3 hours with up-to-date high-frequency (1/8° horizontal grid
45 resolution) meteorological forecasts (10-m wind, surface pressure, 2-m temperature, relative
46 humidity, precipitations, shortwave and longwave radiative fluxes) provided by ECMWF.



1 CORE empirical bulk formulae (Large and Yeager, 2004) are used to compute latent sensible
2 heat fluxes, evaporation and surface stress. Lateral open boundary data are interpolated from
3 the daily outputs of the GLOBAL system. These are complemented by 11 tidal harmonics
4 built from FES2004 (Lyard et al., 2006) and TPXO7.1 (Egbert and Erofeeva, 2002) tidal
5 models solutions. Fresh water river discharge inputs are implemented as lateral open
6 boundary condition for 33 rivers. Flow rate data imposed is based on a combination of daily
7 observations from PREVIMER, simulated data from E-HYPE hydrological model and
8 monthly climatological data from GRDC and French “Banque Hydro” dataset. Further details
9 can be found in Sotillo et al., (2015).

10 Originally, the operational IBI system was based on a periodic re-initialization from the
11 GLOBAL parent solution. Afterwards, IBI has steadily evolved: by April 2016, an upgrade of
12 the downscaling methodology was implemented, substituting the periodic re-initialization by
13 an spectral nudging technique in order to avoid temporal discontinuity inherent to the periodic
14 re-initialization and minimize dependency from the GLOBAL parent solution on the shelf.
15 The chosen spectral nudging method permits to “nudge” the low frequency IBI system
16 solution towards the large scale GLOBAL solution in those areas where this global solution is
17 supposed to be better (mainly off the shelf and in deep waters) due to the assimilation of
18 lower frequency signals. Thus, the nudging is regionally limited in those areas where the
19 parent system can not improve the regional model (e.g. where there is no data assimilation of
20 altimetry or where the physics is missing, for instance on the shelf) or where the spatial
21 filtering processes are potentially damage (for instance close to the bottom or the open
22 boundaries). This spatial weight function is a 3D mask showing transitions between zones
23 where the IBI system is kept nudged (typically off shore, outside from the shelf area, and off
24 the open boundaries) and the ones where IBI remains free. Finally, a SAM2-based data
25 assimilation scheme (Lellouche et al., 2013; Brasseur et al., 2005) was recently introduced
26 (April 2018) in order to enhance IBI predictive skills but will not be further described here as
27 only outputs from 2017 have been used in the present work.

28 3.3 PdE SAMPA coastal system

29 The PdE-SAMPA operational forecast service started in April 2012 (Sammartino et al.,
30 2014; Sánchez-Garrido et al., 2014). It routinely provides a daily short-term forecast (72-h
31 horizon) of currents and other oceanographic variables in the Gibraltar Strait and its
32 surroundings (Gulf of Cadiz and Alboran Sea). The PdE-SAMPA model application was
33 developed by the University of Malaga in collaboration with PdE and it is based on the
34 Massachusetts Institute of Technology global circulation model -MITgcm- (Marshall et al.,
35 1997). The domain, which extends from the Gulf of Cádiz to the Alboran Sea, is discretized
36 with an orthonormal curvilinear grid of variable horizontal resolution, sparser close to the
37 boundaries (~ 8-10 km) and higher in GIBST (~ 300-500 m). In the vertical dimension,
38 SAMPA has 46 unevenly spaced z levels with maximum resolution of 5 m near the surface,
39 exponentially decaying towards the seafloor. The shallower level is at 2.5 m depth. The
40 bathymetry is derived from a combination of the GEBCO bathymetry data set and fine-
41 resolution bathymetric charts of the Strait of Gibraltar and the continental shelf of the Gulf of
42 Cadiz and northern coast of the Alboran Sea. The bottom topography is represented as partial
43 vertical cells. In the two lateral open boundaries (west and east) the model is forced by daily
44 mean temperature, salinity and velocity fields from CMEMS-IBI regional model (Sotillo et
45 al., 2015). In addition, tidal and meteorologically-driven barotropic velocities are prescribed
46 across the open boundaries, the former extracted from the model described by Carrere and
47 Lyard (2003) and the latter from the storm surge operational system developed by Álvarez-
48 Fanjul et al. (2001), which accounts for the remote effect of the atmospheric forcing in the



1 barotropic flow through GIBST. At the sea surface, the model is forced by hourly values of
2 wind stress, air humidity and temperature, fresh water and heat surface fluxes provided by the
3 Spanish Meteorological Agency through the operational Forecast System based on the
4 HIRLAM model (Cats, G.; Wolters, 1996). Further details on the SAMPA model
5 configuration are provided in Sanchez-Garrido et al. (2013).

6

7 **4 Validation of OOFSS**

8 **4.1 Framework**

9 The validation of OOFSS against independent measurements constitutes a core activity in
10 oceanographic operational centres since it aids: i) to infer the relative strengths and
11 weaknesses in the modelling of several key physical processes; ii) to compare different
12 versions of the same OOFSS and evaluate potential improvements and degradations before a
13 new version is transitioned into operational status; iii) to compare coarse resolution ‘father’
14 and nested high-resolution ‘son’ systems to quantify the added value of downscaling.

15 With regards to the third aspect, IBI forecast products are regularly intercompared not only
16 against other CMEMS regional model solutions (e.g. NWS and MED) in the overlapping
17 areas (Lorente et al., 2017) but also against its parent system (GLOBAL) by means of
18 NARVAL (North Atlantic Regional VALidation) login-protected web-based application
19 (Sotillo et al. 2015). This tool has been implemented to routinely monitor IBI performance
20 and to objectively inter-compare models’ reliability and prognostic capabilities. Both real-
21 time validation (‘online mode’) and regular-scheduled ‘delayed-mode’ validation (for longer
22 time periods) are performed using a wealth of observational sources as benchmark, among
23 others: in situ observations from buoys and tide-gauges, SST satellite derived products,
24 temperature and salinity profiles from ARGO floats and HFR. Product quality indicators and
25 skill metrics are automatically computed in order to infer IBI accuracy and the spatiotemporal
26 uncertainty levels. The evaluation metrics regularly generated by NARVAL are online
27 delivered in the QUID, which is periodically updated and freely available in CMEMS website
28 (<http://marine.copernicus.eu/>).

29 Complementarily, opportunistic inter-comparisons are conducted in the frame of diverse
30 EU-funded projects such as MEDESS-4MS (Sotillo et al., 2016-a): 35 satellite tracked drifters
31 were released on both sides of the Strait of Gibraltar and the quality-controlled in situ data of
32 sea surface temperature and currents were collected to build the MEDESS-GIB database
33 (Sotillo et al., 2016-b), providing hence a complete Lagrangian view of the surface inflow of
34 Atlantic waters through the GIBST and the Alboran Sea. Such valuable oceanographic
35 information was subsequently used to intercompare IBI and SAMPA forecast products to
36 identify strengths (realistic simulation of the Atlantic Jet and the Algerian Current) and
37 shortcomings (position and intensity of the Alboran gyres, especially the western one) in both
38 models performance. This exercise reflected the effectiveness of the dynamical downscaling
39 performed through the SAMPA system with respect to the regional solution (in which
40 SAMPA is nested), providing an objective measure of the potential added value introduced by
41 SAMPA.

42 Eventually, ancillary validation approaches have been recently adopted focused on the
43 evaluation of ocean models performance in specific situations and on their ability to
44 accurately reproduce singular oceanographic processes (Hernández et al., 2018). Since the
45 NARVAL tool is devoted to inter-compare model solutions on a monthly, seasonal or annual
46 basis, part of the picture is missing due to traditional time averaging. Hence the quality



1 indicators computed, albeit valid, mask somehow models' capabilities to replicate ocean
2 phenomena of particular interest at shorter timescales. This event-oriented multi-model inter-
3 comparison methodology allows to better infer the ability of each system to capture small-
4 scale coastal processes. In this context, the recurrent question "*Which model is the best one?*"
5 should be reformulated by firstly admitting that one system can outperform the rest of OOFs
6 for a particular event but by contrast can be also beaten when attempting to reproduce and
7 characterize some other distinct ocean phenomenon.

8 Those oceanographic events subject of further insight might encompass, among others: i)
9 coastal upwelling, downwelling and relaxation episodes; ii) submesoscales eddies (Mourre et al.,
10 2018); iii) extreme events; iv) complete flow reversals. Particularly, in the present work the
11 attention has been devoted to the full and permanent reversal of the surface AJ in the GIBST
12 during, at least, 48 hours. This unusual episode has been detected by means of HFR current
13 estimations and further examined with OOFs outcomes. The agreement between both in situ
14 and remote-sensing instruments and the ocean forecasting system has been evaluated by
15 means of computation of a set of statistical metrics traditionally employed in this framework:
16 histograms, bias, root mean squared differences (RMSD), scalar and complex correlation
17 coefficients, current roses, histograms, quantile-quantile (QQ) plots and the best linear fit of
18 scatterplots. In the following sub-section all the in situ and remote-sensed observations
19 employed in the present work are described.

20 **4.2 Observational data sources**

21 *In situ observations*

22 The study domain includes an array of buoys operated by Puertos del Estado and the Irish
23 Marine Institute (Figure 1, a), providing quality-controlled hourly-averaged observations of
24 SST, SSS and currents. To ensure the continuity of the data record, occasional gaps detected
25 in time series (not larger than 6 hours) were linearly interpolated. Basic features of each in-
26 situ instrument are described in Table 2.

27 *Satellite-derived observations*

28 The European Ocean Sea Surface Temperature L3 Observations is a CMEMS operational
29 product which provides a daily fusion of SST measurements from multiple satellite sensors
30 over a 0.02° resolution grid. The L3 multi-sensor (supercollated) product is built from bias-
31 corrected L3 mono-sensor (collated) products. If the native collated resolution is N and $N <$
32 0.02 the change (degradation) of resolution is done by averaging the best quality data. If $N >$
33 0.02 the collated data are associated to the nearest neighbour without interpolation nor
34 artificial increase of the resolution. A synthesis of the bias-corrected L3 mono-sensor
35 (collated) files remapped at resolution R is done through a selection of data based on the
36 following hierarchy: AVHRR_METOP_B, SEVIRI, VIIRS_NPP, AVHRR-19, AVHRR-
37 18, MODIS_A, MODIS_T, AMSR2. This hierarchy can be changed in time depending on the
38 health of each sensor. Further details can be found in the Product User Manual (PUM), freely
39 available in CMEMS website ([http://cmems-resources.cls.fr/documents/PUM/CMEMS-SST-
40 PUM-010-009.pdf](http://cmems-resources.cls.fr/documents/PUM/CMEMS-SST-PUM-010-009.pdf))

41 *HFR-derived observations*

42 The HFR system employed in the present study consists of three-site shore-based
43 CODAR Seasonde network, installed in GIBST (Fig 1, b-c). Hereafter the sites will be
44 referred to by their four letter site codes: CEUT, CARN, and TARI, respectively (Figure 1, c).
45 Each site is operating at a central frequency of 26.8 MHz, providing hourly radial current
46 measurements which are representative of the upper 0.5 m of the water column. The



1 maximum horizontal range and angular resolution are 40 km and 5°, respectively. Radial
2 current measurements from the three stations are geometrically combined with an averaging
3 radius set to 3 km, in order to estimate hourly total current vectors on a Cartesian regular grid
4 of 1x1 km horizontal resolution.

5 A source of error to be considered in the computation of the total vectors is the so-called
6 Geometrical Dilution of Precision (GDOP). The GDOP is defined as a dimensionless
7 coefficient of uncertainty that characterizes how radar system geometry may impact on the
8 measurements accuracy and position determination errors, owing to the angle at which radial
9 vectors intersect. Maps of east and north GDOP for this HFR system (not shown) follow a
10 pattern where their values increase with the distance from the radar sites and along the
11 baselines (lines connecting two HFR sites), as the combining radial vectors are increasingly
12 parallel and the orthogonal component tends to zero. Further details can be obtained from
13 Lorente et al. (2018).

14 The accuracy of HFR measurements, which are affected by intrinsic uncertainties (radio
15 frequency interferences, environmental noise, etc.) have been previously assessed by
16 comparing against in situ observations provided by a point-wise current meter (Lorente et al.,
17 2014), yielding correlations above 0.7 and RMSD below 13 cm·s⁻¹. Such results revealed that
18 this HFR network has been operating within tolerance ranges, properly monitoring the surface
19 circulation in near real-time of this geostrategic region.

20 Recent works relying on this HFR system have successfully investigated the water
21 exchange between Algeciras Bay and the Strait of Gibraltar (Chioua et al., 2017), the impact
22 of the atmospheric pressure fluctuations on the mesoscale water dynamics of the Strait of
23 Gibraltar and the Alboran Sea (Dastis et al., 2018), the dominant modes of spatio-temporal
24 variability of the surface circulation (Soto-Navarro et al., 2016) or the characterization of the
25 Atlantic surface inflow into the Mediterranean Sea (Lorente et al., 2018).

26 In the present work, quality-controlled hourly HFR current measurements collected during
27 the entire 2017 were used as benchmark to elucidate the skill of a number of OOFSS. As
28 shown in Figure 1-c, the data availability was significantly high: almost 100% in the selected
29 transect, decreasing in the easternmost sectors. The transect here used to examine the AJ
30 surface inflow was readily chosen as the associated total GDOP, reported in Lorente et al
31 (2018), was reduced (below 1.3) and the spatial and temporal data availability were optimal
32 during 2017. From an oceanographic perspective, the election of such transect was also
33 convenient to better characterize both the intensity and direction of the AJ, since its midpoint
34 covers the area where the highest peak of current speed is usually detected and also where the
35 inflow orientation is not influenced yet by the water exchange between Algeciras Bay and the
36 Strait of Gibraltar.

37

38 **5 Comparison between CMEMS model solutions in IBI waters**

39 ***Temperature***

40 The CMEMS L3 satellite-derived daily data were used to validate the SST fields predicted
41 by both GLOBAL and IBI. Maps of SST anomalies were computed on a monthly basis
42 (Figure 2). Apparently, both models behaved similarly during winter (defined as JFM). In
43 January, low warm anomalies were detected off-shelf in northwest Atlantic waters, while cold
44 SST anomalies were encountered in coastal areas of the English Channel and the Irish Sea,
45 and also surrounding the Balearic Islands in the Western Mediterranean (Figure 2, a-b). By
46 March, the moderately positive western anomalies migrated southwards within IBI domain



1 (Figure 2, c-d). The negative bias previously observed almost disappeared around the Balearic
2 Islands and in the Irish Sea, turning even into slightly warm anomalies inside the English
3 Channel in the case of GLOBAL.

4 During spring months (AMJ), a small positive bias spread over almost the entire IBI
5 domain (Figure 2, e-f). In May, GLOBAL outputs exhibited a moderate SST overestimation
6 (around 1°C) in the English Channel, the Irish Sea and the southern part of the North Sea
7 (Figure 2, e). In addition, a narrow belt of warm SST anomalies could be observed along the
8 African coastal upwelling system (ACUS hereinafter) and over the continental shelf break
9 (evident until late July). An increased vertical mixing during summer has been previously
10 postulated to reduce the SST observed over the continental shelf break with respect to the
11 surrounding ocean (Graham et al., 2018), thus explaining such strip of warm bias. By
12 contrast, since IBI presents a higher grid resolution, it could partially resolve the internal
13 waves breaking which leads to turbulence and energy for increased vertical mixing with
14 cooler waters beneath the pycnoclyne, ultimately contributing to the reduced SST bias
15 observed in IBI estimations over the continental shelf break (Figure 2, f). Besides, IBI
16 properly reproduced the SST field in the northern IBI area although clearly overpredicted
17 coastal temperatures over ACUS, in the periphery of the Canarias Islands.

18 During summertime (JAS), the warm SST spring anomalies previously identified in both
19 GLOBAL and IBI became even more pronounced in July, locally reaching values up to 2°C
20 (Figure 2, g-h). Besides, new features were revealed as a result of the onset of the upwelling
21 season, such as the positive bias in the Iberian Upwelling System (IUS), higher in the case of
22 IBI (Figure 2, h). According to the reduced bias in both western coastal upwelling systems
23 (Figure 2, g), GLOBAL seemed to better replicate the SST field likely thanks to recent
24 progresses in data assimilation schemes and to the growing wealth of available observational
25 data. In this context, future data sets (satellite SSS and swath SST) should improve constrains
26 on model behaviour (Gasparin et al., 2018). By September, a portion of the warm anomalies
27 detected in GLOBAL outputs vanished in the Irish Sea and the English Channel although a
28 dipole of positive-negative anomalies could be clearly observed in the North Sea (Figure 2, i).
29 By contrast, in the case of IBI the SST overestimation expanded over the entire ICANA sub-
30 region (as defined in Figure 1, a) and the Gulf of Lion (Figure 2, j). Furthermore, the summer
31 positive anomaly developed in the Western Alboran Sea became warmer, likely linked to the
32 inadequate representation of the speed and direction of the Atlantic Jet, something that will be
33 addressed in the following sections.

34 By the end of the year (Figure 2, k-l), both models appeared to better fit to observations as
35 reflected by the smoothed SST anomalies in northern (southern) sub-regions observed for
36 GLOBAL (IBI). GLOBAL underestimated again the SST over the Irish Sea and the English
37 Channel (Figure 2, k) as it previously did in January (Figure 2, a), thus closing the cold-
38 warm-cold anomalies cycle during 2017. The alternation between winter cold anomalies and
39 summer warm anomalies were earlier identified by Graham et al. (2018) and related to a
40 possible over-stratification in coastal regions. With regards to IBI predictions, a relevant cold
41 bias was detected in the Western Mediterranean, particularly over the Algerian Current and
42 the Almeria-Oran Front (Figure 2, l).

43 It is worth mentioning that availability of satellite observations is lower close to the
44 shorelines and the associated intrinsic uncertainties are higher, probably due to the impact of
45 the land mask on the optimal interpolation process conducted to transform the original
46 satellite tracks into a regular grid, justifying to some extent the predominance of SST
47 anomalies in coastal areas.



1 Complementary skill metrics, spatially-averaged over the entire IBI service (IBISR)
2 domain, were depicted on a monthly basis (Figure 3, a). While significantly high correlation
3 coefficients (above 0.95) remained rather constant for both OOFs, monthly RMSD exhibited
4 a marked seasonal cycle with lower values in winter (0.4°C), a spring rise reaching a peak of
5 0.7°C by July due to the aforementioned model SST overestimation, followed by a steady
6 decay during the last part of the year. GLOBAL performance was more accurate from July to
7 December as indicated by the lower RMSD.

8 According to the sub-regional monthly statistics (Figure 3, b-j), a rather similar sequence
9 could be also found for open-water zones such as the Gulf of Biscay (GOBIS, Figure 3-b) or
10 the Western Mediterranean (WSMED, Figure 3-c): permanently consistent correlations
11 (above 0.8) and higher RMSD for the second part of the year. In other sub-regions, the RMSD
12 evolved in like fashion while summer correlation values decreased down to [0.4-0.6] °C: Gulf
13 of Cadiz (CADIZ, Figure 3-d), Canarias Islands (ICANA, Figure 3-i) and Strait of Gibraltar
14 (GIBST, Figure 3, j). In near-coast areas, skill metrics fluctuated differently: in the Western
15 Iberian Shelf (WIBSH, Figure 3-e), higher RMSD were observed in winter and summer as a
16 consequence of a likely misrepresentation of the freshwater discharge during the rainy season
17 and of the coastal upwelling under northerly wind regime, respectively. By contrast, in the
18 Northern Iberian Shelf (NIBSH, Figure 3-f) the monthly correlation coefficient oscillated
19 without a clear pattern, ranging from 0.4 (March and December) to 0.8 (early summer).
20 Furthermore, IBI seemed to outperform GLOBAL in the two northernmost sectors within the
21 study-domain: English Channel (ECHAN, Figure 3-g) and Irish Sea (IRISH, Figure 3-h) in
22 terms of higher correlation and lower RMSD, especially pronounced during summertime.
23 Tidally driven mixing could account for a portion of the discrepancies encountered between
24 the coarser detided GLOBAL and IBI model solutions, where the former predicts an over-
25 stratification in shelf-seas. Finally, as SST estimations are routinely assimilated in GLOBAL
26 system, a more precise performance is generally expected offshore, as proved for ICANA or
27 WESMED, among other sub-regions.

28 Results above exposed reveal that SST divergences between IBI and GLOBAL forecast
29 datasets are mainly found on the shelf near coastal areas featuring a complex bathymetry. For
30 the sake of completeness, supplementary validation works in the entire 3D water column with
31 Argo-floats are regularly conducted to assess model vertical structure (not shown, we refer the
32 reader to the QUID). Both models perform fairly well in open-waters, given the fact that
33 GLOBAL assimilates this type of in situ observations and subsequently transfers the
34 information to the nested IBI system thanks to the aforementioned spectral nudging
35 technique. Nevertheless, validation focused on smaller scales and high frequency processes is
36 still crucial to analyse in detail the performance of both modelled products in intricate coastal
37 regions.

38 Hourly in situ observations from eight buoys, moored within specific sub-regions (Figure
39 1, a), were used as benchmark to validate both GLOBAL and IBI outputs. The annual time
40 series of SST exhibited a significantly high resemblance, properly reproducing the expected
41 annual-cycle shape (Figure 4). According to the consistent skill metrics derived from the
42 comparison against three deep-water buoys (B3, B4 and B5, in Table 2), both models had a
43 rather alike performance during 2017 with RMSD and correlation coefficients in the ranges
44 [0.44-0.96] °C and [0.86-0.99], respectively (Figure 4: c, d, e). While the similar behaviour
45 observed off the shelf is partially attributable to the aforementioned spectral nudging
46 technique, the model-observation comparison in near-shore areas revealed noticeable
47 discrepancies.



1 On one hand, IBI appeared to outperform GLOBAL system in the Irish Sea (Figure 4, b),
2 Gulf of Cadiz (Figure 4, f) and GIBST sub-region (Figure 4, g), as reflected by lower (higher)
3 RMSD (correlation) values obtained. Particularly, the results for the Strait of Gibraltar are not
4 in complete accordance with the statistics previously derived from the comparison against L3
5 satellite-derived data (Figure 3, j), likely due to the fact that remote-sensed SST estimations
6 area might be affected by higher intrinsic uncertainties (i.e. land contamination and cloud
7 cover). Although both comparisons against remote and in situ observations confirmed the
8 model SST overestimation in GIBST, especially during summertime, the former (latter)
9 indicated that IBI precision was significantly lower (higher). Another relevant aspect is the
10 notable ability of IBI to capture sharp summer SST drops (steeper than 3°C) during prevalent
11 easterlies (Figure 4, g), as a result of the surface inflow reversal and subsequent intrusion of
12 warmer Mediterranean waters into GIBST (this phenomenon will be subject of further
13 analysis in Section 7). However, GLOBAL appeared to overestimate SST in this area during
14 the entire year, as reflected by a RMSD of 1.64°C.

15 On the other hand, GLOBAL seemed to behave slightly better at B1 location -IBISR area-
16 (Figure 4, a) and substantially more accurately at B8 buoy location - in the Canarias Islands,
17 ICANA-, where a permanent SST overestimation from June to December was evidenced in
18 IBI predictions (Figure 4, h), yielding thereby a RMSD twice higher than that obtained for
19 GLOBAL estimations, in agreement with Figure 2 (i-j) and Figure 3 (i). The lower
20 performance of IBI in ICANA sub-region was previously reported by Aznar et al (2016) when
21 inter-comparing IBI forecast and 1/12° reanalysed solutions. At this point it is worth recalling
22 that GLOBAL includes a data assimilation scheme, whereas IBI takes realistic ocean
23 conditions from weekly global analyses. This fact shows up the possible benefits of the
24 observational data assimilation in these areas, at least in terms of surface variables.
25 Furthermore, a fraction of observed model-buoy discrepancies in SST can be explained in
26 terms of disparate depth scales: whereas IBI and GLOBAL daily outputs are representative of
27 the temperature in the upper one meter of the water column, moored buoys provide
28 temperature estimations at a deeper nominal depth (between 1 and 3.5 m, depending on the
29 brand).

30 Complementarily, a quarterly analysis was performed to infer any potential degradation in
31 model performances during a specific season of the year (Figure 5). Overall, both GLOBAL
32 and IBI predictions seemed to be more reliable in winter (except at B1 location: Figure 5-a) in
33 terms of lower RMSD. They also emerged to be less realistic during summer, as denoted by
34 abrupt decreases in quarterly correlation indexes (from 0.9 down to 0.5) at B2, B4 and B6
35 locations and the relevant rise of RMSD (up to 2.5°C) at B7 location (GIBST sub-region).
36 This SST overestimation could be partially explained in terms of imprecise latent sensible
37 heat fluxes and excess of evaporation, although additional efforts should be devoted to shed
38 light on it. Once again, IBI performance appeared to be more accurate in coastal zones
39 featuring a more complex bathymetry (at B2, B4, B6 and B7 locations), whereas GLOBAL
40 fitted better to in situ observations in off shelf regions such as at B1 and B8 locations. In the
41 rest of the cases, both model solutions were rather alike. It is noteworthy that each point-wise
42 buoy is not representative of the entire sub-region in which is deployed, explaining thus to
43 some extent the discrepancies arisen between sub-sections 6.1 and 6.2.

44 **Salinity**

45 As pointed out in the introduction, the enhancement of riverine forcing is still as a priority
46 in ocean modelling as the estuarine circulation is mainly driven by horizontal density
47 gradients which are ultimately modulated by freshwater inputs. In this context, previous



1 works have investigated the potential benefits of replacing old climatologies by data from
2 hydrological model predictions (O'Dea et al., 2017). Here we provide a specific example to
3 illustrate the discrepancies between GLOBAL and IBI performances in the Galician coast
4 (NW Spain), as a consequence of the different horizontal resolution and distinct runoff
5 forcing implemented in the operational chain. While both models performances are rather
6 similar in open-waters (according to the results derived from the validation against 3D Argo-
7 float profiles and exposed in the QUID), higher discrepancies are expected to arise in coastal
8 and shelf areas as they are governed by small-scale processes such as land-sea breezes, runoff
9 (and the resulting stratification and buoyancy-driven circulation), transport materials
10 (nutrients, sediments, pollutants, etc.).

11 As shown in Figure 6-a, hourly in situ SSS data collected by B4 buoy during March 2018
12 experienced an abrupt decrease from a standard value around 36 PSU down to almost 33 PSU
13 in just few hours during the 20th of March, likely due to a noticeable filament of freshwater
14 discharged by Miño River. IBI outputs at the closest grid point appeared to properly capture
15 both the sharp drop in SSS values and the persistent low salinity values for the next 4-day
16 period. By the end of the month, the modelled salinity field seemed to steadily recover to
17 usual levels in the range of 35.5-35.8 PSU, whereas in situ observations revealed a steeper
18 rise to 34.8 PSU by the 23th of March. Nevertheless, the skill metrics confirmed the accurate
19 IBI performance, with a correlation coefficient of 0.92 and a RMSD of 0.33 PSU. By contrast,
20 although GLOBAL outputs could replicate the mean SSS, it did not reproduce satisfactorily
21 the freshwater episode and barely showed any temporal variability, as reflected by a
22 negligible correlation coefficient (0.09) and a higher RMSD (0.84 PSU).

23 Consequently, the impact of colder freshwater river inputs on the SST was also evaluated
24 (Figure 6, b). Once again, while the sudden cooling of 1.5°C denoted by in situ observations
25 was fairly well replicated by IBI, GLOBAL system could only correctly predict the overall
26 decreasing trend along with the SST values immediately before (13.5°C) and after (13°C) the
27 analysed event. As a consequence, the monthly correlation coefficient (RMSD) obtained for
28 IBI is higher (lower): 0.79 versus 0.20 (0.25°C versus 0.35°C).

29 The buoyancy input introduced by large freshwaters fluxes (particularly during the spring
30 freshet), together with topographic effects, contributed to the development of the well-
31 documented Western Iberian Buoyant Plume (Peliz et al., 2002; Otero et al., 2008), which
32 strongly influenced the shelf circulation, forming an averaged veering to ~270° (measured
33 clockwise from the North) during 20th-21st of March, as reflected by in situ observations and
34 IBI outputs (Figure 6, c). However, GLOBAL could only partially reproduce the prevailing
35 surface flow as modelled currents were mainly advected to the south-southwest (180°-270°).
36 Equally, IBI appeared to correctly replicate the acceleration of the upper-layer stream from 10
37 to 45 cm·s⁻¹ due to impulsive-type freshwater river outflow already observed in situ
38 estimations of sea surface currents (Figure 6, d). Notwithstanding, GLOBAL current intensity
39 remained moderated (below 20 cm·s⁻¹) during most part of March, including the selected
40 episode, as reflected by the poorer skill metrics obtained. The current speed underestimation
41 observed in this tidal environment is mainly attributable to the fact that GLOBAL system
42 provides a detided solution, so barotropic tidal velocities do not contribute to the final
43 prescribed total velocity.

44 Daily-averaged maps of modelled SSS and SST were computed for the 21st of March
45 (Figure 6, e-h) to infer the differences between GLOBAL and IBI. As it can be seen, the
46 former showed a relatively-smoothed and spatially-homogeneous decrease in the salinity and
47 temperature fields along the entire coastline (Figure 6, e-f), while the latter exhibited more



1 intricate patterns with many filaments together with a significant drop in SSS and SST (Figure
2 6, g-h) in the periphery of the three main local rivers mouth (from North to South: Miño,
3 Douro and Tagus) as a result of freshwater plumes flowing out over saltier Atlantic waters. In
4 this three cases, the SST field could effectively act as a tracer for the salinity stratification.

5 There is a significant resemblance between the monthly current roses derived from in situ
6 observations and IBI predictions in terms of speed and mean direction (Figure 6, i), showing
7 the predominance of the so-called Iberian Poleward Current, flowing northwards and
8 circuiting the western and northern Iberian margins under prevailing southerly winds (Torres
9 and Barton, 2006). GLOBAL current outputs differed from observations, exhibiting an overall
10 tendency for eastward directions. The skill metrics derived from time series comparison at B4
11 buoy location confirmed that the regional OOFs outperformed the global one during March
12 2018, hence postulating the benefits of improved horizontal resolution to better resolve the
13 plume dynamics and its extension off-shelf. In addition, the increased horizontal resolution of
14 IBI allows to better resolving individual frontal fluctuations and horizontal salinity gradients
15 by preserving the signal of river plume narrower, closer to the coast and with a more complex
16 structure. The impact of model resolution in both the horizontal extent of the plume and the
17 strength and position of the freshwater front has been subject of previous studies (Bricheno et
18 al., 2014). Since both models present 50 depth levels and similar vertical discretization, the
19 horizontal resolution and the riverine forcing are assumed to play a primary role when
20 attempting to explain the differences encountered in models performance for this specific test-
21 case.

23 **6 Circulation in the Strait of Gibraltar: multi-model inter-comparison from global to** 24 **coastal scales**

25 Proved the relevance of the intensity and orientation of the AJ in determining the surface
26 circulation of the Alboran Sea, the ability of each OOFs to portray the upper layer circulation
27 in the GIBST area has been evaluated. The annually-averaged surface pattern provided by the
28 HFR network revealed north-eastward speeds around $100 \text{ cm}\cdot\text{s}^{-1}$ in the narrowest section of
29 the Strait (Figure 7, a). SAMPa coastal model seemed to capture well the time-averaged
30 intensity and orientation of the Atlantic inflow (Figure 7, b), whereas IBI regional model
31 clearly overestimated the mean surface circulation speed (Figure 7, c). Finally, the coarser
32 OOFs (GLOBAL) barely captured the most basic features on the incoming flow and its
33 subsequent propagation towards the north-east (Figure 7, d).

34 As this qualitative model-intercomparison on a yearly basis was insufficient to infer the
35 skilfulness of each system, a quantitative validation at the midpoint of the selected transect
36 (Figure 1, c) was assessed. The scatter plot of HFR-derived hourly current speed versus
37 direction (taking as reference the North and positive angles clockwise) revealed interesting
38 details (Figure 8, a): firstly, the AJ flowed predominantly eastwards, forming an angle of 78°
39 respect the North. The current velocity, on average, was $100 \text{ cm}\cdot\text{s}^{-1}$ and reached peaks of 250
40 $\text{cm}\cdot\text{s}^{-1}$. Speeds below $50 \text{ cm}\cdot\text{s}^{-1}$ were registered along the entire range of directions.
41 Westwards currents, albeit minority, were also observed and tended to predominantly form an
42 angle of 270° .

43 The scatter plot of SAMPa estimations presented a significant resemblance in terms of
44 prevailing current velocity and direction (Figure 8, b). Although the time-averaged speed and
45 angle were slightly smaller ($90 \text{ cm}\cdot\text{s}^{-1}$) and greater (88°), respectively, the main features of the
46 AJ were qualitatively reproduced: maximum velocities (up to $250 \text{ cm}\cdot\text{s}^{-1}$) were associated



1 with an eastward flow and an AJ orientation in the range of 50° - 80° . Besides, surface flow
2 reversals to the west were properly captured.

3 By contrast, noticeable differences emerged in the scatter plot of regional IBI estimations
4 (Figure 8, c): surface current velocities below $30 \text{ cm}\cdot\text{s}^{-1}$ were barely replicated and the AJ
5 inversion was only observed very occasionally. Despite the fact that IBI appeared to properly
6 portray the mean characteristics of the eastwards flow, the model tended to privilege flow
7 directions comprised between 60° and 180° and to overestimate the current velocity, with
8 averaged and maximum speeds around $117 \text{ cm}\cdot\text{s}^{-1}$ and $280 \text{ cm}\cdot\text{s}^{-1}$, respectively.

9 In the case of the scatter plot derived from GLOBAL estimations, even more substantial
10 discrepancies were detected as the variability of both the AJ direction and speed were clearly
11 limited to the range 65° - 80° and 50 - $200 \text{ cm}\cdot\text{s}^{-1}$, respectively (Figure 8, d). No flow reversals
12 were detected and peak velocities of the eastward flow were underestimated.

13 The scatter plots of observation-model differences provided relevant information (Figure 8,
14 e-g). In the case of SAMPA, discrepancies were clustered around zero for both parameters,
15 with an asymptotic distribution along the main axes (Figure 8, e). On the contrary, a negative
16 bias to negative differences as observed for both IBI (Figure 8, f) and GLOBAL (Figure 8, g),
17 especially for the latter. In other words, the regional and global OOFSS overestimated both the
18 current speed and the angle of the AJ, reflecting a tendency to more south-easterly directions
19 (clockwise rotated respect the north). Overall, a steady improvement in the AJ
20 characterization is evidenced in model performance when zooming from global to coastal
21 configurations, highlighting the benefits of the dynamical downscaling approach.

22 Additional statistical indicators were computed: two histograms illustrated the number of
23 hourly zonal (U) and meridional (V) velocity data per class interval (Figure 9, a-b). HFR-
24 derived zonal velocity estimations exhibited a Gaussian-like shape clustered around $84 \text{ cm}\cdot\text{s}^{-1}$
25 and slightly shifted to lower values in the case of SAMPA coastal model ($79 \text{ cm}\cdot\text{s}^{-1}$). Both
26 datasets show similar positive bias and variability, with the standard deviation around 56 - 57
27 $\text{cm}\cdot\text{s}^{-1}$ for 2017 (Figure 9, a). IBI and GLOBAL presented narrowed histograms, with
28 distributions positively biased and constrained to zonal velocities above 0 and $40 \text{ cm}\cdot\text{s}^{-1}$,
29 respectively. In the case of meridional currents, each distribution exhibits a nearly
30 symmetrical Gaussian-like shape but biased towards different values (Figure 9, b). Whilst
31 SAMPA and its parent system IBI exhibited an alike distribution (and moderately similar to
32 that revealed for HFR estimations), GLOBAL histogram emerged again dramatically
33 shortened and restricted only to positive values, revealing a recurrent predominance of the AJ
34 to flow north-eastwards.

35 Based on the QQ-plot for the zonal velocity component (Figure 9, c), it can be concluded
36 that SAMPA estimations were consistent despite the slight overestimation observed for the
37 highest velocities (95^{th} - 100^{th} percentiles). The general IBI overestimation along the entire
38 range of percentiles was also clearly evidenced. In accordance with its histogram, GLOBAL
39 system overestimated (underestimated) zonal currents below (above) the 90^{th} percentile. A
40 similar behaviour was also observed for GLOBAL meridional velocities, this time around the
41 20^{th} percentile (Figure 9, d). On the contrary, both SAMPA and IBI appeared to generally
42 underestimate the meridional surface current speed, even more for higher percentiles.

43 Class-2 skill metrics, gathered in Table 3, were also computed in order to provide a
44 quantitative perspective of models performance at the midpoint of the selected transect.
45 SAMPA clearly outperformed both parent systems, as reflected by lower RMSD values for
46 both velocity components together with a complex correlation coefficient (CCC) and phase
47 (CCP) of 0.79 and -8° , respectively, which means that SAMPA predictions were highly



1 correlated with HFR current observations although slightly clockwise rotated (i.e., more
2 south-eastwards). The agreement between HFR hourly data and IBI and GLOBAL
3 estimations, albeit significant (CCC above 0.6), was lower as the related phase values
4 decreased substantially (especially for GLOBAL: CCP below -20°), indicating a more zonal
5 surface flow.

6 The three systems predicted more precisely the zonal velocity component than the
7 meridional one, with scalar correlations emerging in the ranges [0.68-0.83] and [0.15-0.56],
8 respectively. Notwithstanding, RMSD were more moderate for the latter (below $37 \text{ cm}\cdot\text{s}^{-1}$)
9 than for the former (below $53 \text{ cm}\cdot\text{s}^{-1}$). This could be attributed to the extremely intense and
10 predominant West-East zonal exchange of Atlantic-Mediterranean waters through GIBST,
11 with the meridional flow playing a residual role.

12 The statistical results derived from SAMPA-HFR comparison, gathered in Table 3, are in
13 line with those earlier obtained in a 20-month validation performed by Soto-Navarro et al.
14 (2016), which reported correlations of 0.70 and 0.27 for the zonal and meridional velocities,
15 respectively. The observed model-radar discrepancies might be attributed to the fact that the
16 uppermost z-level of SAMPA model is 2.5 m, while HFR observations are representative of
17 the first 0.5 m of the water column and thus more sensitive to wind forcing. This might
18 explain some model drawbacks detected in relation to the reduced energy content in surface
19 current speeds, as reflected by the positive bias between HFR estimations and SAMPA
20 outputs (Table 3)

21 Complementarily, the multi-model inter-comparison exercise in the GIBST region focused
22 on the ability to adequately reproduce an extreme event: the quasi-permanent full reversal of
23 the AJ surface flow during, at least, 48 hours when intense easterlies episodes were prevalent.
24 Under this premise, only four episodes were detected and categorized during the entire 2017
25 (Figure 10). The prevailing synoptic conditions were inferred from ECMWF predictions of
26 sea level pressure (SLP: Figure 10, a-d) and zonal wind at 10 m height (U-10: Figure 10, e-h).
27 A significant latitudinal gradient of SLP was observed in 3 episodes (February, March and
28 December), with high pressures over the Gulf of Biscay and isobars closely spaced in GIBST,
29 giving rise to very strong easterlies (above $10 \text{ m}\cdot\text{s}^{-1}$), channelled through the Strait (Figure 10:
30 e, f and h). In August, the typical summer weather type was observed with Azores High
31 pressures governing the Atlantic Area and moderate but persistent easterly winds blowing
32 through the entire Western Mediterranean (Figure 10: c, g).

33 Both atmospheric variables were spatially-averaged over specific sub-regions (WSMED
34 and GIBST, respectively, indicated by a red square in Figure 10: a-h) and 3-hourly monitored
35 along the selected months (Figure 10: i-p). Very high SLP values and extremely high
36 (negative) U-10 (i.e., intense easterlies) led to a complete inversion of the surface flow, from
37 the prevailing eastward direction to a westward outflow into the Atlantic Ocean, as reflected
38 in the Hovmöller diagrams computed for the HFR-derived zonal currents (Figure 10, q-t). In
39 February, a brief 24-h inversion (related to less intense easterlies) preceded the full reversal of
40 the surface flow (Figure 10, q). Likewise, the event detected in March consisted of an abrupt
41 interruption and complete reversal of the eastwards AJ (Figure 10, r). By contrast, in August
42 and December, the classical AJ inflow into the Mediterranean was only observed in the
43 southern part of the transect, whereas a weaker coastal counter current was detected flowing
44 westwards and bordering the Spanish shoreline (Figure 10, s-t). Such coastal flow inversion
45 has been previously reported and subject to further analysis by Reyes et al. (2015).
46 Particularly, the flow reversal detected in August was not triggered by high SLP (Figure 10,
47 k) but induced by moderate and persistent easterlies ($5 \text{ m}\cdot\text{s}^{-1}$, Figure 10-o).



1 Short-lived reversals of the surface inflow have been previously reported to occur almost
2 every tidal cycle in Camarinal Sill (western end of GIBST: Figure 1-b) mainly due to the
3 contribution of the semidiurnal tidal component M_2 (Reyes, 2015; Sannino, et al. 2004; García
4 Lafuente, et al., 1990; La Violette and Lacombe 1988). Since the mean inflow of Atlantic
5 water is modulated by barotropic tidal currents, hourly-averaged sea surface height (SSH)
6 observations provided by Tarifa tide-gauge (Figure 1, c) were used to elucidate if the four 2-
7 day inflow reversal events in the eastern end of the Strait could have been mostly influenced
8 by spring-neap tidal cycle fluctuations (Figure 10, u-x). Although the fortnightly variability
9 was clearly observable in a monthly time series of SSH, no cause-effect relationship could be
10 visually inferred from the inspection of zonal velocities at the selected transect (Figure 10, q-
11 t). Apparently, evidence of preference for a specific tidal cycle was not observed as the four
12 flow reversal episodes took place under strong easterlies but during different tidal conditions,
13 ranging from neap tides (Figure 10, u) to spring tides (Figure 10: v, x). As shown in Lorente
14 et al. (2018), tides seemed to play a secondary role by partially speeding up or slowing down
15 the westward currents, depending on the phase of the tide. These results are in accordance with
16 previous modelling studies (Sannino et al., 2004) where the contribution of the semidiurnal
17 tidal component to the transport was proved to be relevant over the Camarinal Sill,
18 (incrementing the mean transport by about 30%, for both the inflow and the outflow), whereas
19 it was almost negligible at the eastern end of the Strait.

20 The observed 2-day averaged HFR-derived circulation patterns associated with the four
21 events here studied were depicted in Figure 11 (a, e, i, m). Some common peculiarities were
22 exposed, such as the overall westward outflow through the narrowest section of GIBST or the
23 subtle anticyclonic inflow into the Algeciras Bay. Three study cases revealed a predominant
24 circulation towards the West together with a marked acceleration of the flow in the periphery
25 of Algeciras Bay, reaching speeds above $70 \text{ cm}\cdot\text{s}^{-1}$ (Figure 11: a, e, i). The fourth case
26 (December 2017) was substantially less energetic and exhibited a rather counter-clockwise
27 recirculation in the entrance to GIBST. (Figure 11, m). On the other hand, two episodes
28 illustrated how the circulation in the easternmost region of the study domain followed a
29 clockwise rotation (Figure 11: e, m).

30 From a qualitative perspective, SAMPA was able to reproduce fairly well at least two of
31 the four inversion episodes in terms of overall circulation pattern in GIBST and adjacent
32 waters (Figure 11: f, j, n). In the event of March, SAMPA replicated the intense eastern
33 anticyclonic gyre, with velocities up to $80 \text{ cm}\cdot\text{s}^{-1}$, along with the inflow into the Algeciras
34 Bay. However, the model could only partially resolve the AJ inversion, exhibiting a counter-
35 clockwise recirculation with the outflow restricted to the north-western Spanish shoreline
36 (Figure 11, f). In the episode corresponding to 4th–5th of December (Figure 11, n), the upper-
37 layer dynamic was rather similar to the previously described for March, albeit less vigorous.
38 The visual resemblance with HFR map (Figure 11, m) was generally high, according to
39 common features observed: the eastern anticyclonic gyre, the central belt of currents
40 circulating towards the North-West and eventually the cyclonic recirculation structure in the
41 entrance to GIBST. On the contrary, in the event occurred between 14th–15th of August
42 (Figure 11, j), a moderate observation-model resemblance was deduced in the northeastern
43 sector of the domain: SAMPA was able to resolve the observed southwestward stream, the
44 inflow into the Algeciras Bay and the weak intrusion of Mediterranean waters into GIBST
45 bordering the northern shoreline but, by contrast, it was ultimately impelled to join the general
46 AJ inflow governing the Strait and propagating towards the east. Finally, although SAMPA
47 predicted the occurrence AJ reversal by 20th–21st of February (Figure 11, b), the simulated
48 circulation structure partially differed from that observed with HFR estimations (Figure 11,



1 a). Whereas the formed prognosticated a meander-like circulation, a predominant cross-shore
2 stream within the channel and a flow inversion uniquely circumscribed to the entrance of
3 GIBST, the latter provided an overall westward outflow from the Mediterranean Sea into the
4 Atlantic Ocean.

5 In the case of IBI, the Atlantic inflow was always present. In two episodes, the intense AJ
6 was directed towards the North-East (Figure 11: g, o), converging with the overall clock-wise
7 gyre that dominated the easternmost region, which was already observed in HFR estimations
8 (Figure 11: e, m). By contrast, in the two remaining episodes the surface inflow was
9 predominantly zonal (Figure 11, c) and directed south-eastwards (Figure 11, k), respectively.
10 Whereas in the former event no common features could be observed between the HFR and
11 IBI, in the latter a moderate observation-model resemblance was deduced in the northeastern
12 sector of the domain, as similarly occurred with SAMPA estimations (Figure 11, j). Leaving
13 aside the counter-clockwise eddy observed in IBI pattern (Figure 11, k), absent from HFR
14 map (Figure 11, i), IBI partially resolved the observed southwestward flow, the circulation
15 into the Algeciras Bay and the westward penetration of surface waters along the northern
16 shoreline of the Strait. Finally, GLOBAL system barely replicated the HFR-derived
17 circulation patterns as the northeastward stream was permanently locked, showing further
18 reduced speed variations from one episode to another (Figure 11: d, h, l, p).

19 Among the physical implications of the surface inflow reversal, abrupt increases in the
20 SST field were revealed, especially during summertime when warmer surface waters
21 outflowed into the Atlantic from the Mediterranean (Figure 12). During August 2017, the
22 aforementioned CCC raised the day 11th and lasted until the end of the month, confined at
23 higher latitudes except for the already analysed 2-day event of 14th-15th, coinciding with the
24 full reversal mentioned (Figure 12, a). The monthly inter-comparison of the zonal currents at
25 the midpoint of the selected transect (represented by a black square in Figure 12-a) confirmed
26 the progressive improvement of the multi-nesting strategy, according to the skill metrics
27 obtained (Figure 12, b). SAMPA and IBI were able to accurately reproduce the wide tidal
28 oscillations, although only the former could properly capture the flow inversions represented
29 by negative zonal velocities that took place between the 14th-15th and between 21st-24th
30 of August. GLOBAL detided outputs only reproduced basic features of the surface flow,
31 showing always smoothed eastward velocities. As a consequence, skill metrics for the coastal
32 OOFs were better than for its parent system, and recursively regional skill metrics were in
33 turn better than global ones, in terms of higher (lower) correlation (RMSD) values. Analysis
34 for the meridional velocity component (not shown) revealed similar results, with the SAMPA
35 outperforming the coarser models. Notwithstanding, the three OOFs proved to be more
36 skilled to forecast zonal than meridional currents. The complex correlation coefficient and the
37 related phase were 0.85 and -7.37° , respectively, indicating both the relevant SAMPA-HFR
38 agreement and the slight veering of model outputs respect HFR estimations: a negative value
39 denoted a clockwise rotation of modelled current vectors (i.e., a more southwardly direction).
40 In the case of IBI, although the phase was similar (-7.92°) the complex correlation was lower
41 (0.72). GLOBAL current vectors were, on average, significantly veered clockwise (-25.71°),
42 despite the high complex correlation coefficient (0.70).

43 From the 11th of August, a progressive warming of 7.5°C at the upper ocean layer of the
44 northern shoreline was observed (Figure 12, c), according to the in situ estimations provided
45 by B7 buoy (whose latitude is located with a solid black dot in Figure 12-a). As easterly
46 winds progressively dominated the study-area and persisted enough, the CCC broadened and
47 the complete inflow reversal transported warmer Mediterranean waters to the west through
48 the entire transect, as reflected by the pronounced SST maximum ($\sim 25^\circ\text{C}$) detected soon



1 afterwards, by the 18th of August. A secondary peak of SST was monitored by the 25th, before
2 the CCC started weakening. In accordance with previous statements about model behaviour
3 for the zonal currents, once again SAMPA outperformed the parent systems as reflected by a
4 significantly high correlation of 0.89 and a lower but statistically relevant RMSD of 1.22°C.
5 IBI presented a general bias (positive the first week of august and negative the rest of the
6 month) but adequately reproduced the temporal variability of the SST field (correlation of
7 0.67). In the case of GLOBAL, the system could not benefit from data assimilation in this
8 intricate coastal area with low level of available observations: worse skill metrics were
9 subsequently obtained, with a correlation of 0.65 and a RMSD above 2°C.

10 Finally, outputs from SAMPA high-resolution coastal model were used to provide further
11 insight into the entire AJ-WAG system and how diversity from the classical picture of the
12 Alboran Sea surface circulation emerged from changes in the intensity and direction of the
13 AJ. Although only one episode (corresponding to December 2017) is here shown (Figure 13),
14 the four events followed a similar scenario:

- 15 i) *Prelude*: the classical AJ was observed flowing vigorously (with velocities clearly above
16 80 cm·s⁻¹) northeastwards into the Alboran Sea and feeding the WAG (Figure 13, a-b).
- 17 ii) *Onset*: as westerly wind lost strength, the AJ speed became progressively weaker and the
18 jet tended to flow more southwardly, giving rise to a weakening and subsequent
19 decoupling of the AJ-WAG system along with the genesis of a new small-scale coastal
20 eddy that coexisted with the WAG (Figure 13, c-d). Circulation snapshots with three gyres
21 (including the EAG, out of the pictures) have been previously reported in the literature
22 (Flexas et al., 2006; Viúdez et al., 1998). The new eddy could be either cyclonic and
23 confined northeast of Algeciras Bay (February 2017, not shown) or anticyclonic and
24 starting to detach from the coast and migrate eastwards (Figure 13, e-f). Meanwhile, the
25 WAG presented different configurations: from an almost-symmetric aspect (August 2017,
26 not shown) to a more elongated shape in the cross-shore direction (December 2017: Figure
27 13-f) or in the along-shore direction (March 2017, not shown).
- 28 iii) *Development*: The AJ velocity reached a minimum (below 50 cm·s⁻¹) associated with a
29 sharp change in the predominant wind regime from westerlies to easterlies (Figure 13, g-
30 h). A branch of the eddy, neighboring the Strait, was wind-weakened and deflected from
31 the main rotating pathway and started to flow westwards to the GIBST.
- 32 iv) *Full establishment of the inflow reversal*: complete westward outflow from the
33 Mediterranean Sea into the Atlantic Ocean through the narrowest section of GIBST,
34 reaching a peak of velocity over Camarinal Sill (Figure 13, i). The migratory eddy and the
35 WAG started merging into one single anticyclonic gyre (Figure 13, j).
- 36 v) *Epilogue*: Afterwards, in three of the cases the re-settlement of predominant westerlies
37 (Figure 10: m, n, p) favoured the return of the northeastward oriented Atlantic inflow and
38 the consequent reactivation of the usual AJ-WAG system (not shown). By contrast, in the
39 fourth episode (August 2017), summer easterly winds kept blowing moderately for two
40 extra weeks (Figure 10, o) but were too weak to preserve the induced reversal, thus the
41 Atlantic inflow reappeared again.

42

43 7 Conclusions

44 The current generation of ocean models have undergone meticulous tuning based on
45 several decades of experience. The ever-increasing inventory of operational ocean forecasting



1 systems provides the society with a significant wealth of valuable information for high-stakes
2 decision-making and coastal management. Some of them are routinely operated on
3 overlapping regions, offering the opportunity to compare them, judge the strengths and
4 weaknesses of each system and eventually evaluate the added-value of high-resolution coastal
5 models respect to coarser parent model solutions.

6 In this work, a multi-parameter model inter-comparison was conducted at the sea surface,
7 ranging from global to local scales in a two-phase strategy. Firstly, a comparison of CMEMS
8 products (GLOBAL and the nested IBI regional system) was performed against remote-
9 sensed and in situ observations. In terms of temperature, results highlighted the overall
10 benefits of both the GLOBAL data assimilation in open-waters and the increased horizontal
11 resolution of IBI in coastal areas, respectively. IBI outperformed its coarser parent system in
12 those coastal regions characterized by a jagged coastline and a substantial slope bathymetry.
13 As GLOBAL has a smoothed bathymetry and do not resolve many narrow features of the real
14 sea floor, the depths where mixing takes place could be biased. Besides, those mixing
15 processes acting at scales smaller than the grid cell size might substantially affect the resolved
16 large-scale flow in the coarser GLOBAL system.

17 On the other hand, since GLOBAL is a detided model solution, tidally-driven mixing could
18 account for a portion of the discrepancies found between GLOBAL and satellite-derived SST
19 estimations in energetic tidal areas such as the English Channel, the North Sea and the Irish
20 Sea. Whereas GLOBAL seemed to predict an over-stratification in shelf-seas, IBI could better
21 reproduce the vertical stratification and hence the SST field in the aforementioned subregions.

22 Complementarily, an isolated but rather illustrative example of the impact of impulsive-
23 type river freshwater discharge on local surface circulation in NW Spain was provided. The
24 increased horizontal resolution of IBI allowed a more accurate representation of horizontal
25 salinity gradients, the horizontal extent of the plume and the strength and position of the
26 freshwater front, according to the results derived from the validation against in situ
27 observations of SSS, SST and currents provided by a moored buoy. Since both GLOBAL and
28 IBI present 50 depth levels, similar vertical discretization and comparable climatological
29 runoff forcing, the horizontal resolution is assumed to play a primary role when attempting to
30 explain the differences encountered in models performance for this specific test-case.
31 Notwithstanding, the authors are fully aware of this single isolated example does not suffice
32 and additional events over the entire IBI coastal domain should be examined in future works.

33 Finally, a 1-year (2017) multi-model inter-comparison exercise was performed in the Strait
34 of Gibraltar between GLOBAL, IBI and the nested SAMPA coastal system in order to
35 elucidate the accuracy of each OOFS to characterize the AJ dynamic. A quantitative
36 comparison against hourly HFR estimations highlighted both the steady improvement in AJ
37 representation when moving from global to coastal scales though a multi-nesting model
38 approach and also the relevance of a variety of factors at local scales, among others:

- 39 i) A sufficiently detailed representation of bathymetric features: the very high horizontal
40 resolution of SAMPA (~ 400 m) and, consequently, the tailored bathymetry employed
41 in order to capture small-scale ocean process and resolve sharp topographic details.
- 42 ii) A better representation of air-sea interactions: the adequate refinement of the spatio-
43 temporal resolution of the atmospheric forcing used in SAMPA, especially in a complex
44 coastal region where topographical steering further impacts on flows.
- 45 iii) The inclusion of accurate tidal and meteorologically-driven barotropic velocities,
46 prescribed across the open boundaries, allowed a detailed examination of persistent



1 Atlantic inflow reversal episodes. Although the matching between HFR observations
2 and SAMPA outputs is mainly found in two of the four reversal events detected, this
3 result demonstrates its added value as modelling tool towards the comprehension of
4 such singular oceanographic event. A detailed characterization of this phenomenon is
5 relevant from diverse aspects, encompassing search and rescue operations (to
6 adequately expand westwards the search area), the management of accidental marine
7 pollution episodes (to establish alternative contingency plans), or safe ship routing (to
8 maximize fuel efficiency).

9 Finally, SAMPA coastal model outputs were analysed in order to put in a broader
10 perspective the context of the onset, development and end of such flow reversal and its impact
11 on the AJ-WAG coupled system. The synergistic approach based on the integration of HFR
12 observing network and SAMPA predictive model has proved to be valid to comprehensively
13 characterize the highly dynamic coastal circulation in the GIBST and the aforementioned
14 episodic full reversals of the surface inflow. In this context, data assimilation would provide
15 the integrative framework for maximizing the joint utility of HFR-derived observations and
16 coastal circulation models. A data assimilation scheme could be incorporated in future
17 operational versions of SAMPA in order to improve its predictive skills, since similar
18 initiatives are currently ongoing with positive results (Hernández-Lasheras et al., 2018;
19 Vandenbulcke et al., 2017; Stanev et al., 2015).

20 Future efforts are planned to improve CMEMS global and regional OOFSS in several
21 aspects already addressed in the present work. While GLOBAL system will be evolved
22 towards a $1/36^\circ$ model application, a substantial refinement will be accomplished for regional
23 IBI system in both vertical and horizontal resolutions: from 50 to 75 depth layers and from
24 $1/36^\circ$ to $1/108^\circ$ (~1 km), respectively. Whereas the first feature will be incorporated during
25 CMEMS Phase-2 (2018-2021), the second milestone will be achieved in the frame of Inmerge
26 H2020 project and is expected to positively impact on a more accurate representation of
27 coastal processes, among others: submesoscale shelf break exchanges and connectivity,
28 fronts, river plumes or topographic controls on circulation.

29 In addition, a more detailed bathymetry is expected to be introduced in future operational
30 versions of IBI in order to better resolve those regions with complex coastline and intricate
31 bottom topography. Other factors that could be potentially improved but still deserve further
32 analysis are the air-sea and the land-sea interactions, i.e., the meteorological and riverine
33 forcings. With regards to the former, a more skilful atmospheric forecast model with a higher
34 spatiotemporal resolution (i.e., hourly prediction over a more refined grid) could aid to better
35 represent the coastal circulation by a more accurate discrimination of the topographic
36 structures and the replication of the inertial oscillations and mesoscale processes. On the other
37 hand, each main river basin hydrology should be more accurately represented with daily-
38 updated outputs from tailored hydrological models. Finally, refined mixing schemes might
39 also produce notable improvement in the representation of water masses, resulting in a
40 substantial reduction of temperature and salinity bias relative to model solution.

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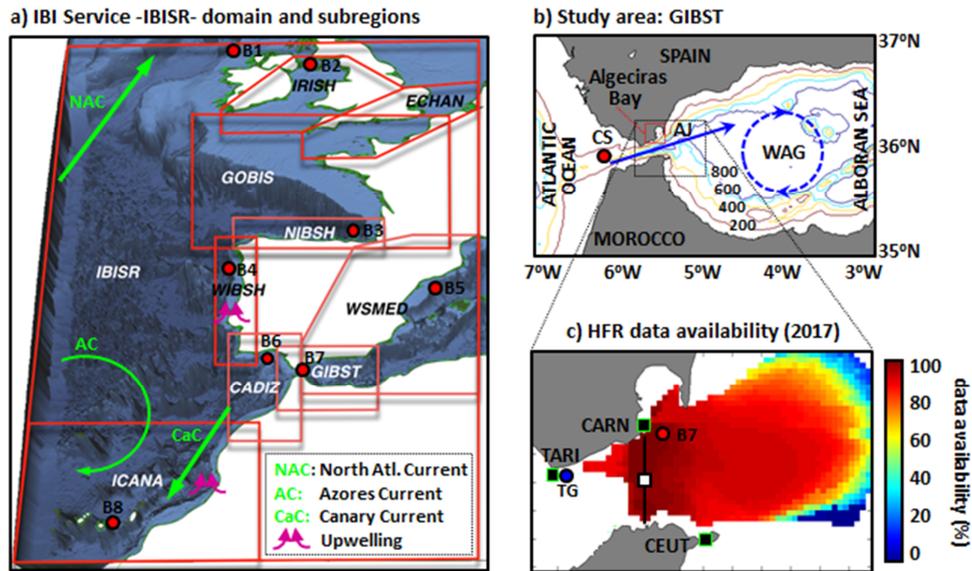
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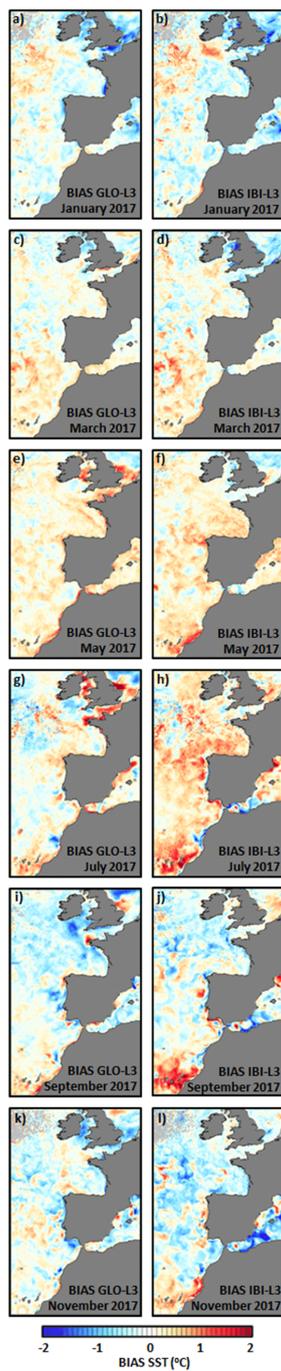


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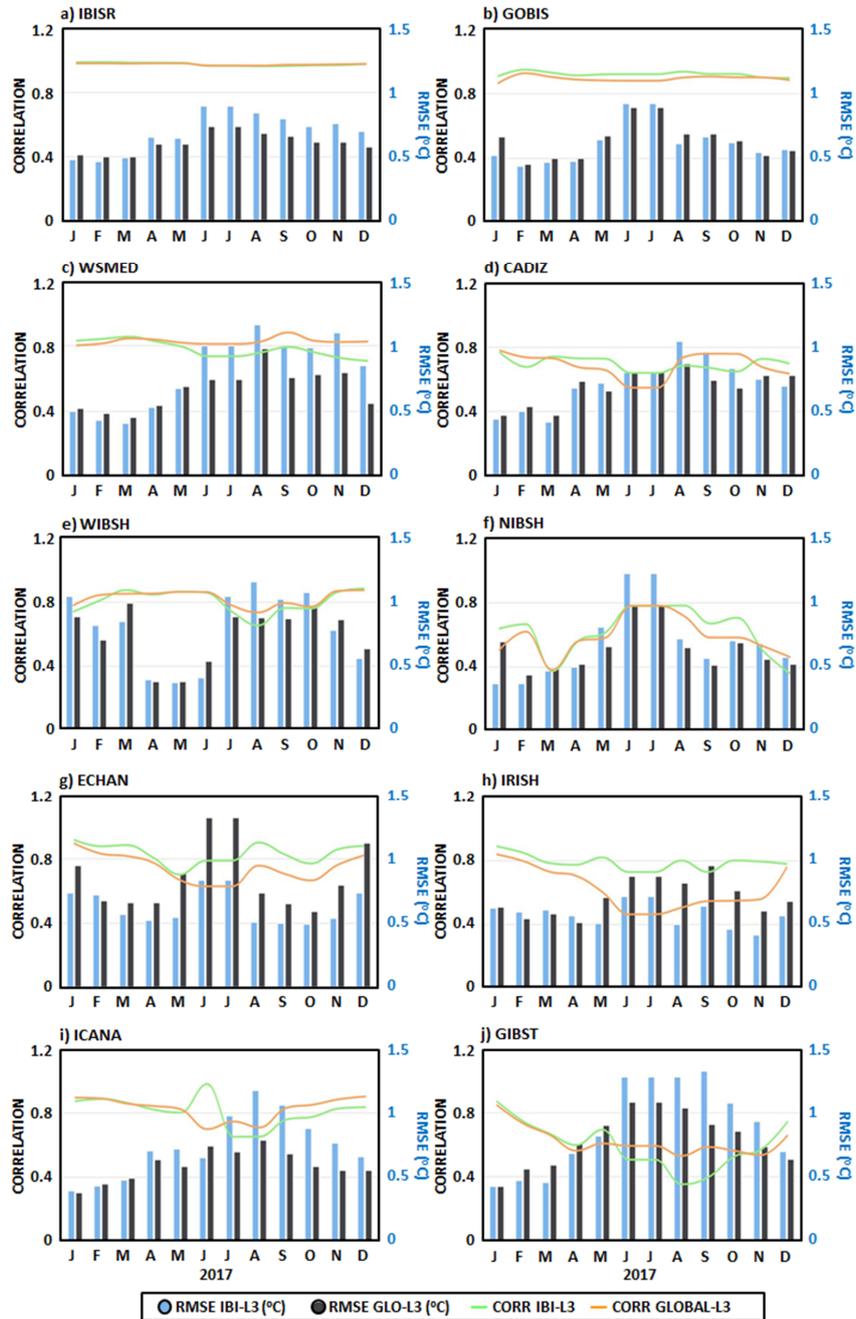
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 2 Figure 1. a) Iberia-Biscay-Ireland Service (IBISR) domain, which comprises 9 sub-regions
 3 denoted by red squares. Red filled dots represent buoys locations. b) Study area 2: surface
 4 Atlantic Jet (AJ) flowing through the Strait of Gibraltar into the Alboran Sea, feeding the
 5 Western Alboran Gyre (WAG); isobath depths are labeled every 200 m. Red dot indicates a
 6 topographic feature: Camarinal Sill (CS). c) HFR hourly data availability for 2017: solid
 7 black squares represent radar sites, blue and red dot indicate Tarifa tide-gauge and B7 buoy
 8 location, respectively.

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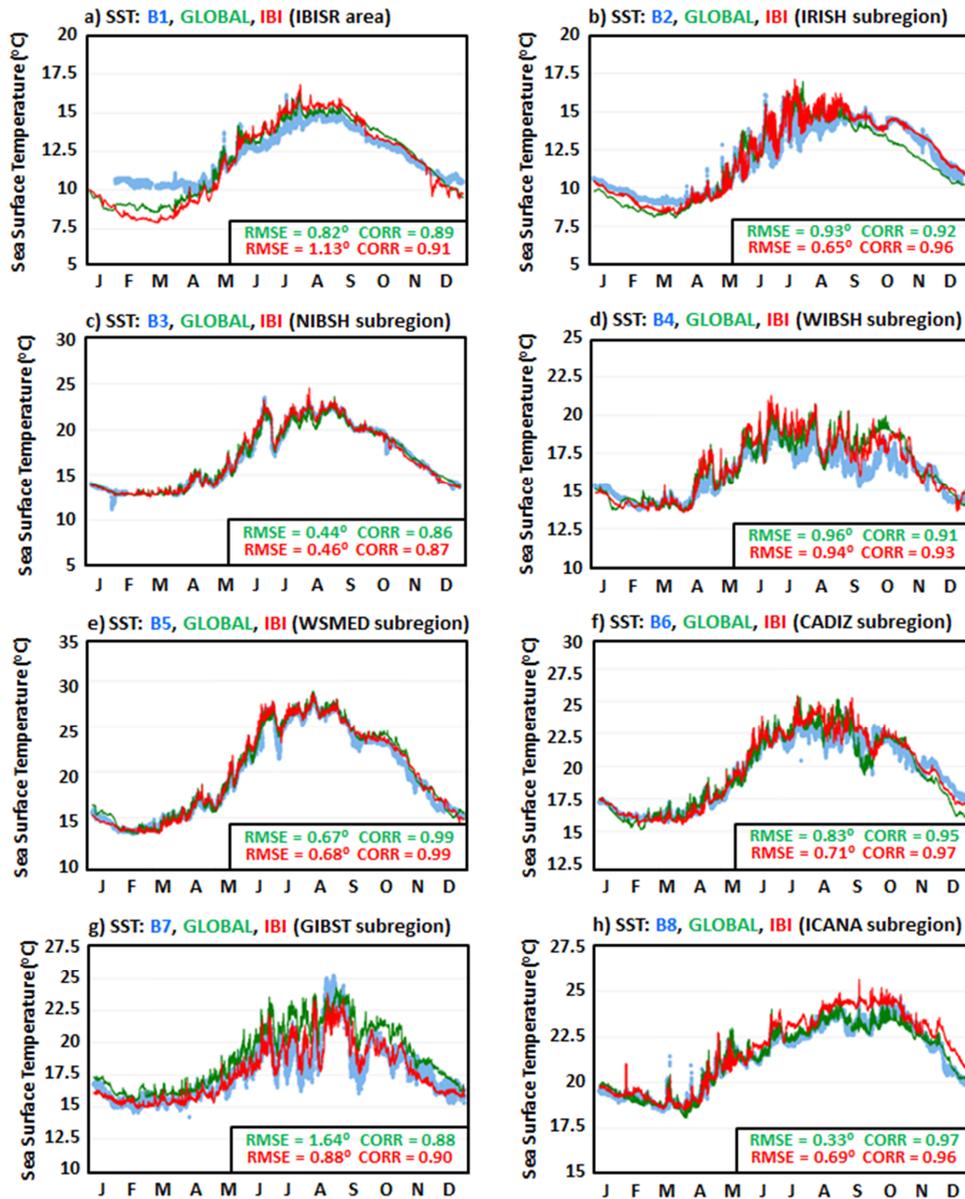
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2 Figure 2. Monthly SST bias (model minus observation), where the satellite-derived daily data
3 used is L3 CMEMS operational product: GLOBAL versus observation (left) and IBI versus
4 observation (right).



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2 Figure 3. Annual evolution (2017) of monthly skill metrics derived from the comparison of
 3 GLOBAL and IBI models against satellite-derived observations (L3) over IBI service domain
 4 (IBISR) and nine sub-regions, denoted in Figure 1-a. RMSD values and correlation
 5 coefficients are represented by columns and lines, respectively.

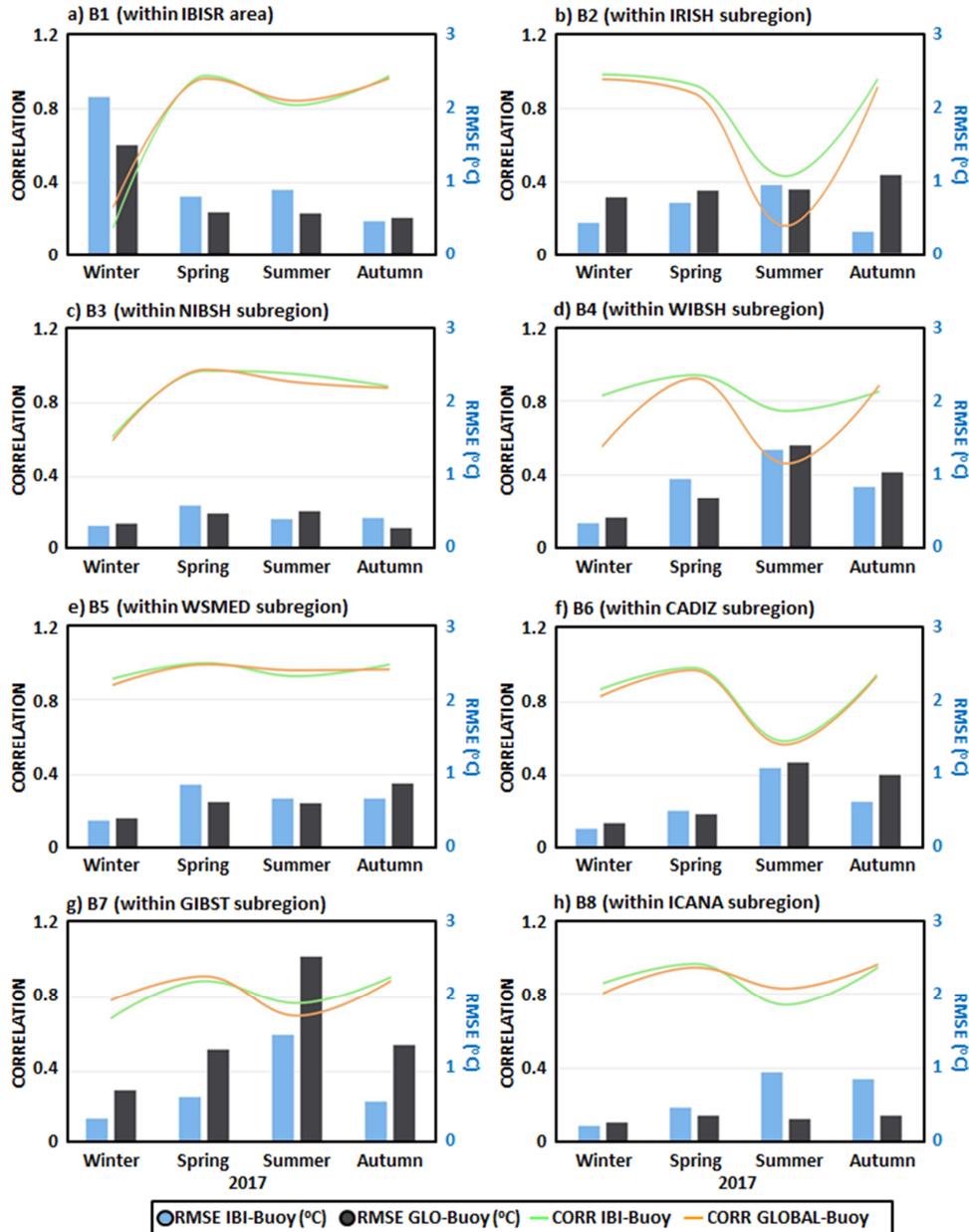


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2 Figure 4. Annual (2017) time series of hourly Sea Surface Temperature (SST) at eight
3 different locations within IBISR area. In situ observations from moored buoys (blue dots),
4 GLOBAL model predictions (green line) and IBI model outputs (red line) are depicted. Skill
5 metrics derived from model-observation comparison are gathered in black boxes.

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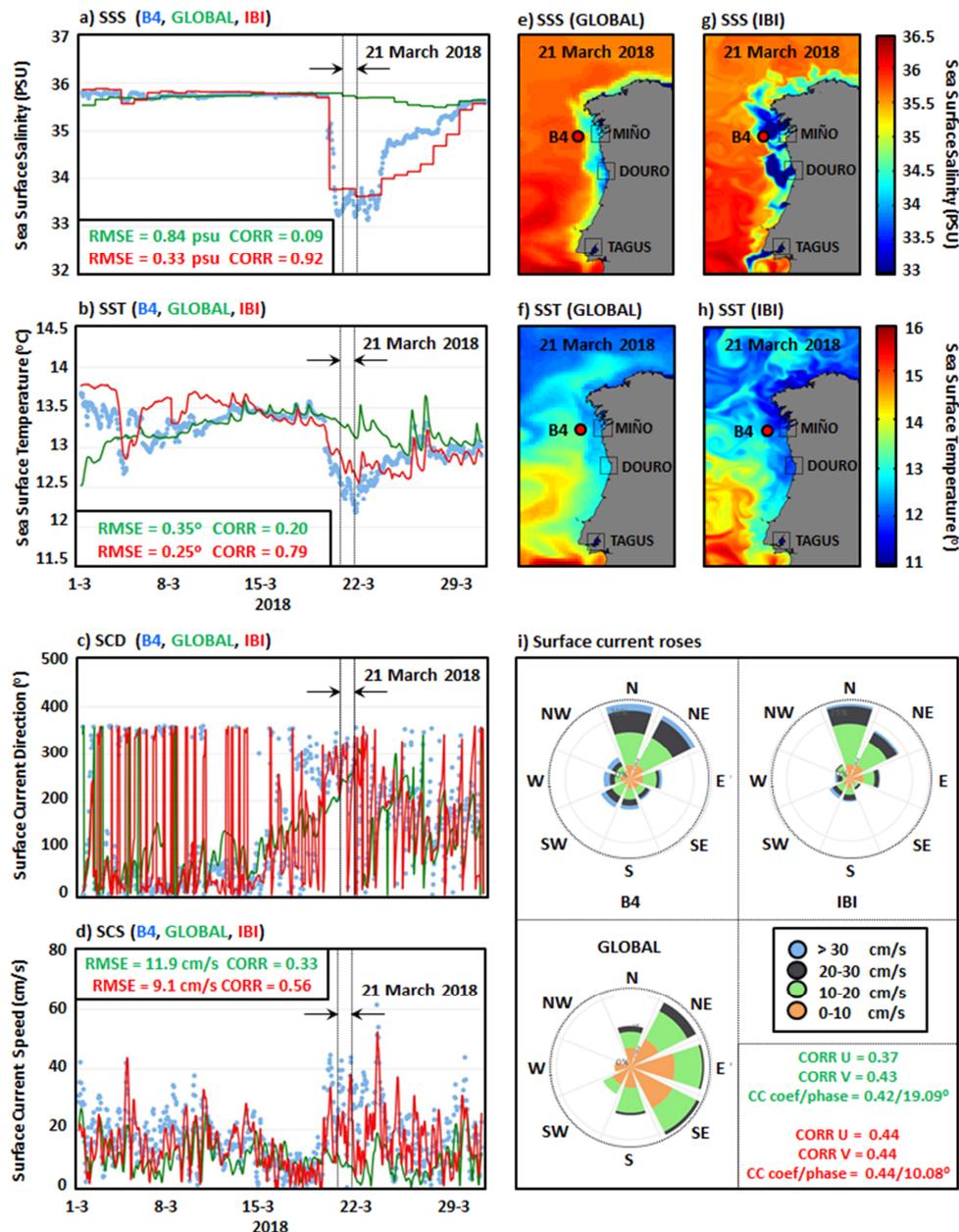


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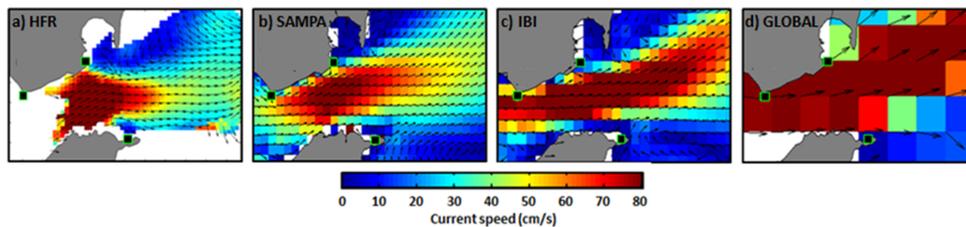
3 Figure 5. Annual evolution (2017) of seasonal skill metrics derived from the comparison of
 4 GLOBAL and IBI models against in situ SST hourly observations provided by eight buoys.
 5 RMSD and correlation coefficient represented by coloured bars and lines, respectively.



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 2 Figure 6. (a-d) Monthly inter-comparison (March 2018) between GLOBAL (green line), IBI
 3 (red line) and B4 buoy (blue dots): sea surface salinity (SSS), temperature (SST), current
 4 direction (SCD) and current speed (SCS); (e-f) Daily maps of SSS and SST derived from
 5 GLOBAL outputs for the 21st of March. Red filled dot represents B4 buoy location; (g-h)
 6 Daily maps of SSS and SST derived from IBI outputs for the 21st of March; (i) Monthly
 7 surface current roses. Monthly skill metrics derived from model-observation comparisons are
 8 provided.



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3 Figure 7. Annual mean circulation pattern in GIBST for 2017, derived from hourly
4 estimations provided by: a) HFR; b) SAMPA coastal model; c) IBI regional model; d)
5 GLOBAL model. For the sake of clarity, only one vector every two was plotted in HFR map.

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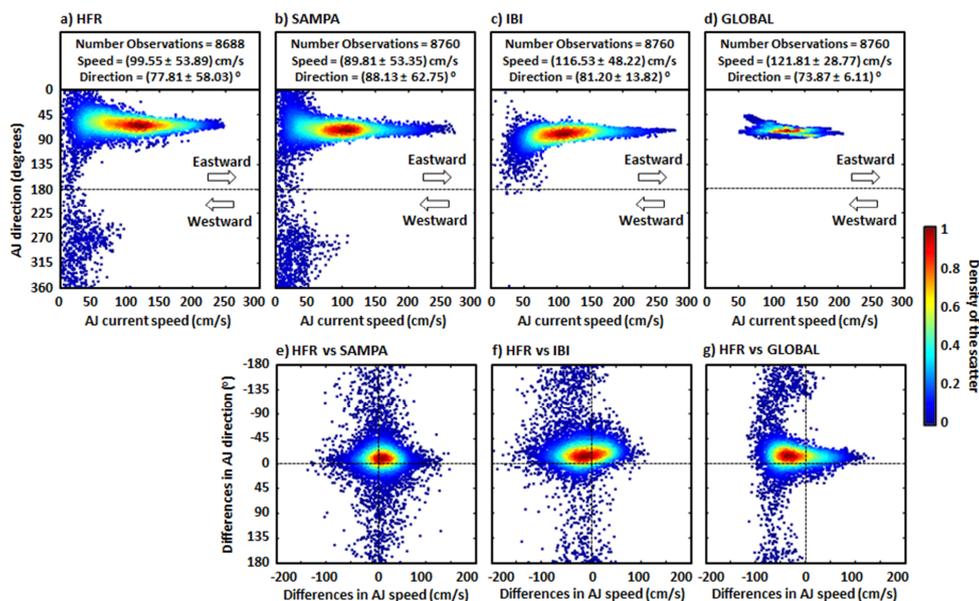
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2 Figure 8. (a-d) Annual (2017) scatter plot of hourly AJ current speed versus direction (angle
3 measured clockwise from the North); estimations provided by: a) HFR; b) SAMPA; c) IBI; d)
4 GLOBAL. Mean and standard deviation values of both AJ speed and direction are gathered in
5 black boxes; (e-g) Annual scatter plot of differences (observation minus model) in AJ speed
6 and direction between: e) HFR and SAMPA; f) HFR and IBI; g) HFR and GLOBAL.

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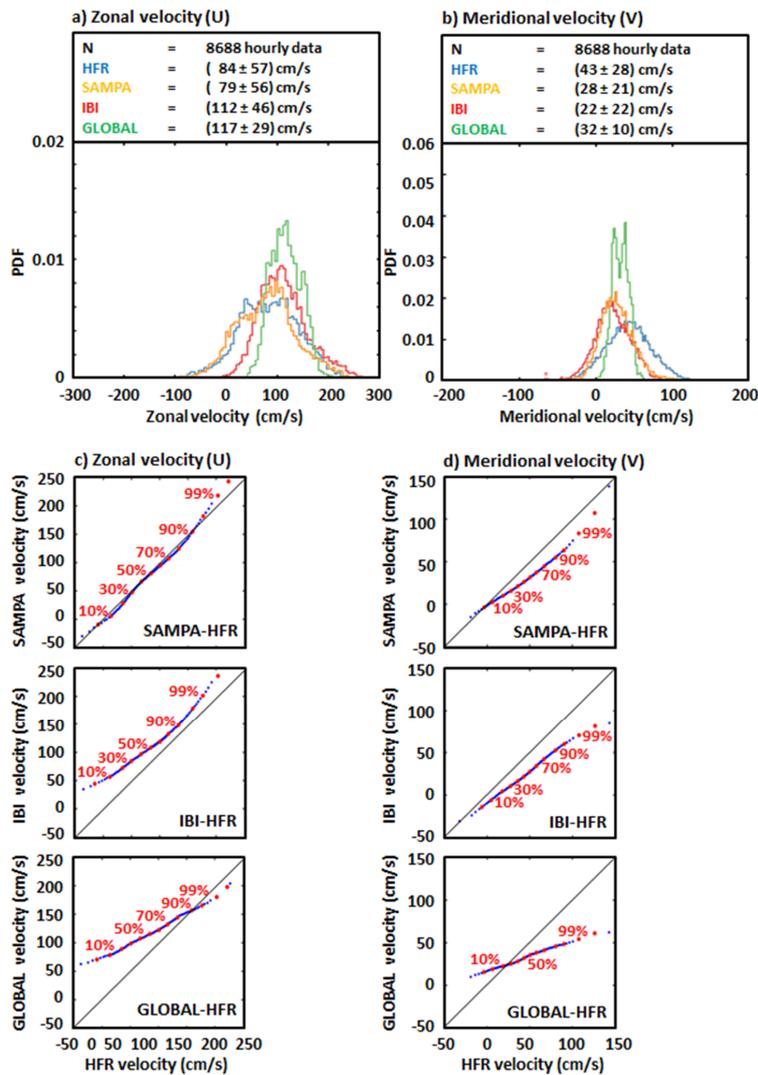
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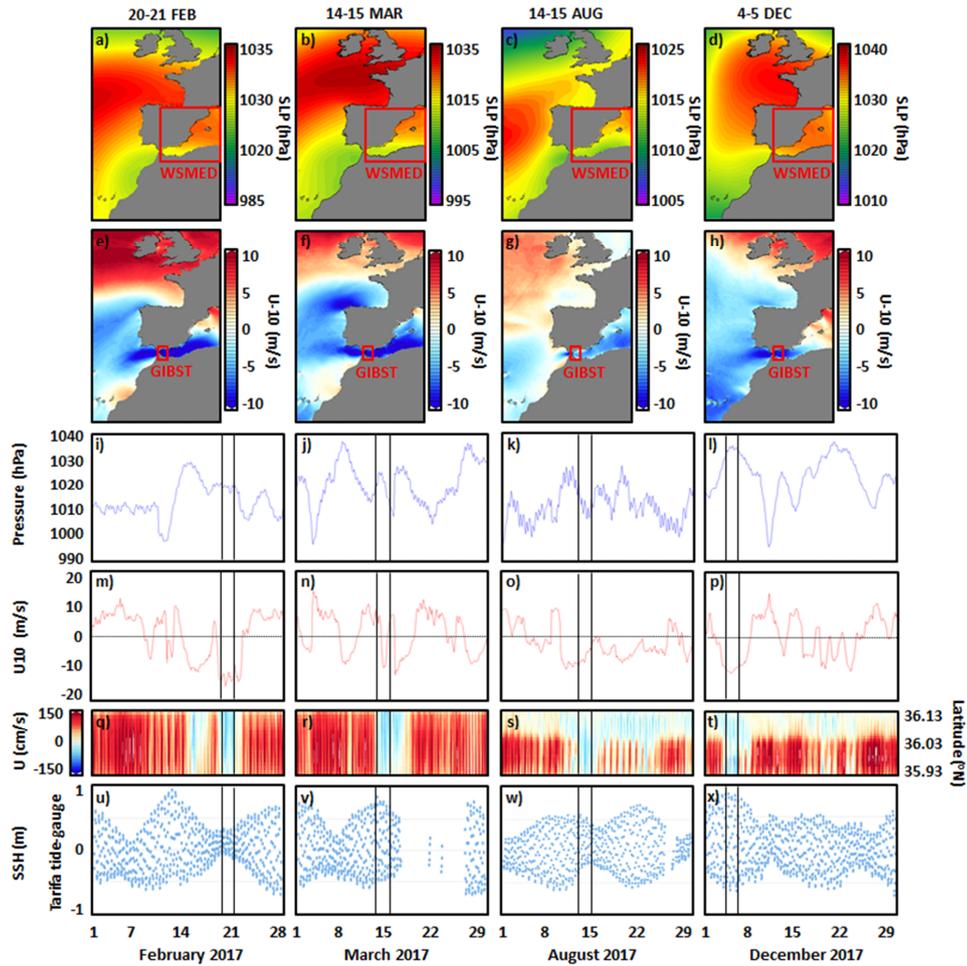
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6 Figure 9. Annual (2017) histogram of hourly: (a) zonal current velocities; (b) meridional
 7 current velocities, as provided by HFR, SAMPA, IBI and GLOBAL. Mean and standard
 8 deviation values are gathered in black boxes. Quantile-quantile plots of hourly: (c) zonal
 9 current velocities; (d) meridional current velocities, as derived from the observation-model
 10 comparison. 10–99% quantiles were established (red filled dots);

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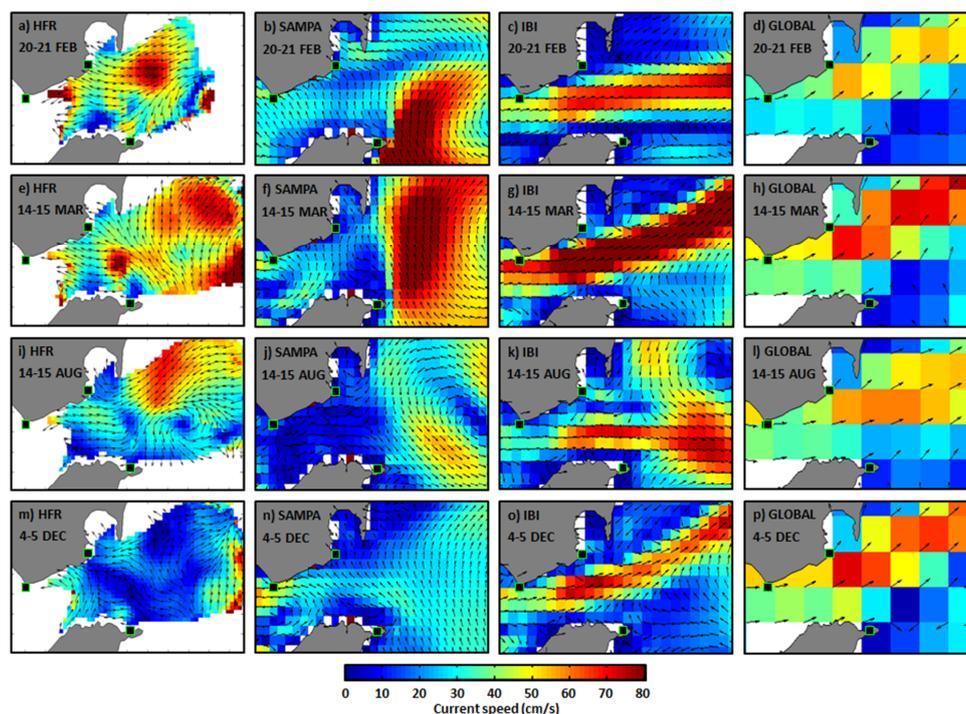


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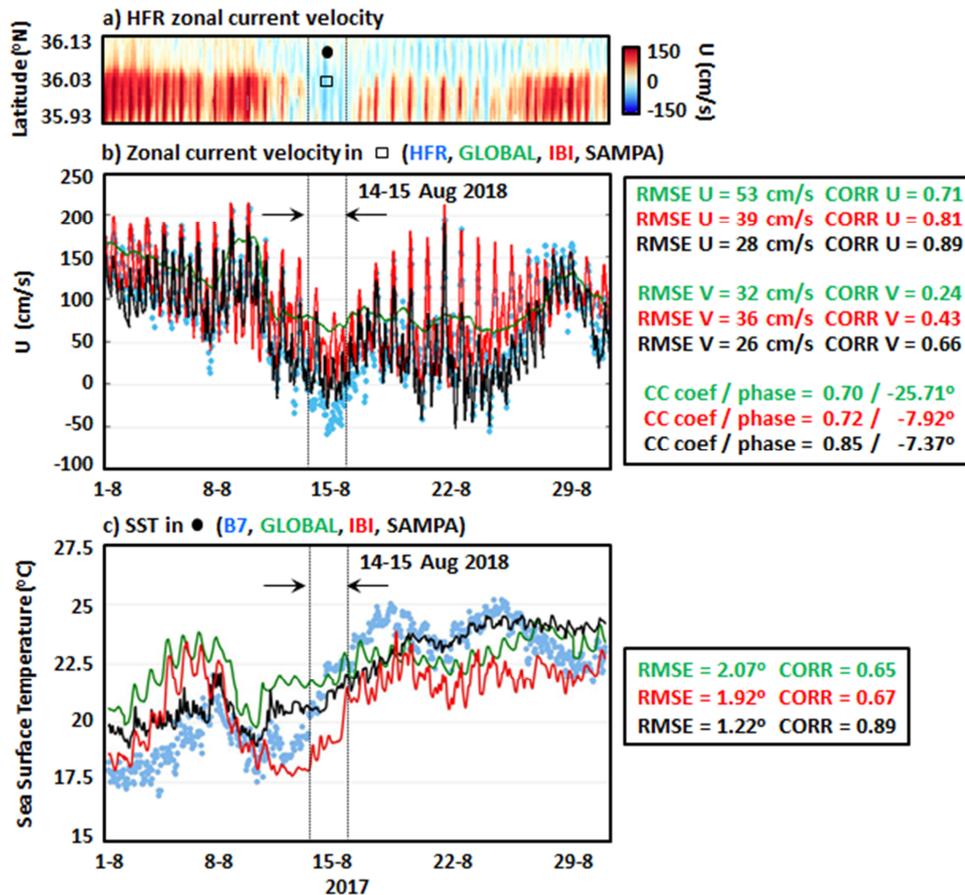
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3 Figure 10. 2-day averaged synoptic maps of: (a-d) sea level pressure (SLP); (e-h) zonal wind
 4 at 10 m height (U-10), provided by ECMWF, corresponding to each of the four Atlantic
 5 inflow reversal events analysed during 2017. (i-l) Monthly time series of SLP, spatially
 6 averaged over the Western Mediterranean (WSMED) subregion, marked with a big red box in
 7 the maps of the first row; (m-p) Monthly time series of U-10, spatially averaged over the
 8 Strait of Gibraltar (GIBST) subregion, marked with a small red box in the maps of the second
 9 row; (q-t) Monthly Hovmöller diagrams of HFR-derived zonal current velocity at the selected
 10 transect. Red (blue) colour represent eastward (westward) flow; (u-x) Monthly time series of
 11 hourly sea surface height (SSH) provided by Tarifa tide-gauge, represented by a blue dot in
 12 Figure 1-c. 2-day episodes of permanent flow reversal are marked with black boxes in (i-x).



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Figure 11. 2-day averaged maps of the surface circulation in GIBST, corresponding to each of the four Atlantic inflow reversal events detected in 2017 (from top to bottom). Maps derived from hourly estimations were provided by (from left to right): HFR, SAMPA coastal model, IBI regional model and GLOBAL model. For the sake of clarity, only one vector every two was plotted in HFR map.

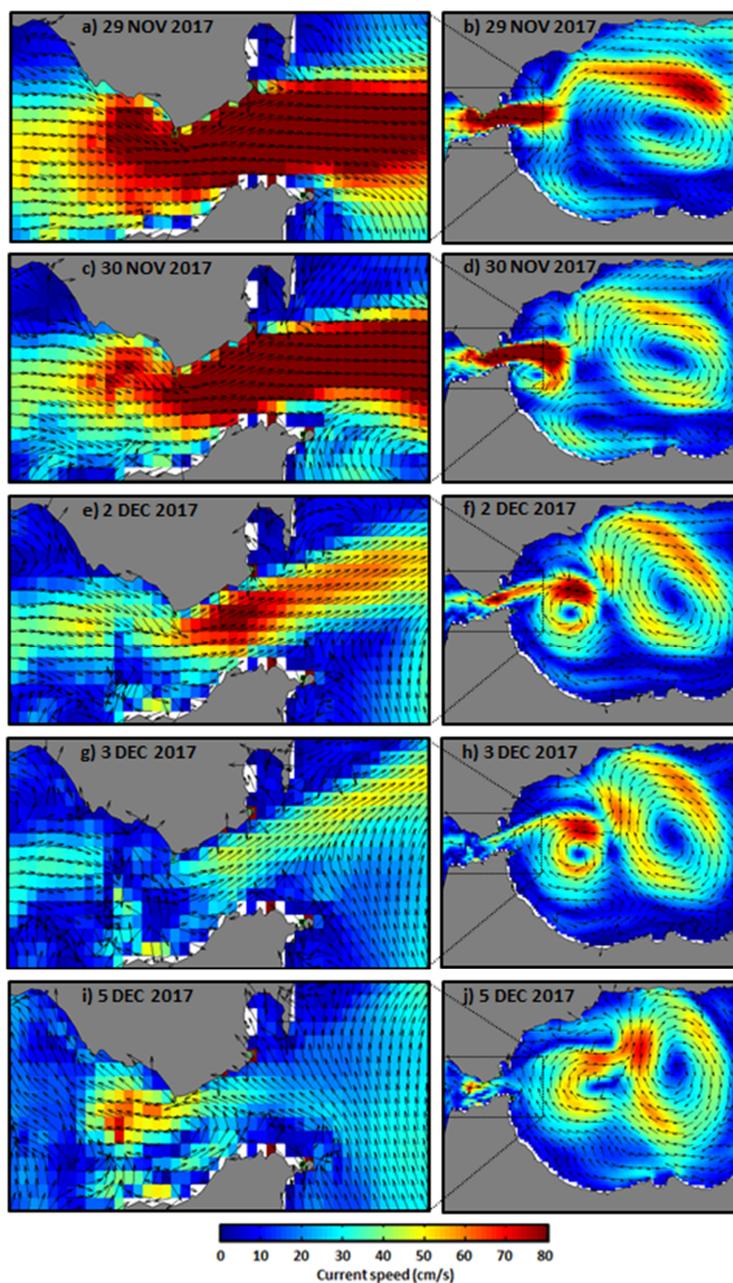


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2 Figure 12. a) Monthly Hovmöller diagram of HFR-derived zonal current velocity at the
 3 selected transect in the Strait of Gibraltar for August 2017. Red (blue) colour represent
 4 eastward (westward) flow. A complete Atlantic inflow reversal episode marked with black
 5 box for the 14-15 August; b) Monthly times eries of zonal current velocity at the midpoint of
 6 the transect (represented by a black square in the Hovmöller diagram) provided by HFR (blue
 7 dots), SAMPA (black line), IBI (red line) and GLOBAL (green line); c) Monthly time series
 8 of SST at B7 buoy location (represented by a solid black dot in the Hovmöller diagram)
 9 provided by B7 buoy (blue dots), SAMPA (black line), IBI (red line) and GLOBAL (green
 10 line). Monthly skill metrics derived from observation-model comparison are gathered in black
 11 boxes on the right.

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2 Figure 13. Sequence of SAMPA daily surface circulation maps covering the period
3 from the 29th of November to the 5th of December 2017. General map on the right
4 and zoom over the Strait of Gibraltar on the left. An inflow reversal through the
5 narrowest section of the Strait of Gibraltar is evidenced by the 5th of December, as a
6 result of a change in the wind regime, from westerlies to easterlies.



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Features \ Model	CMEMS GLOBAL	CMEMS IBI	SAMPA
Model	NEMO 3.1	NEMO 3.6	MITgcm
Configuration	Global	Regional	Coastal
Domain: lon, lat	180°W-180°E, 89°S-90°N	19°W-5°E, 26°N-56°N	7.4°W-3°W, 35°N-37.2°N
Resolution	1/12°	1/36°	Variable (300-500 m at GIBST)
Product grid points	4320 x 2041	865 x 1081	200 x 100
Forecast (days)	10	5	3
Forecast update	Daily	Daily	Daily
Depth levels	50 (unevenly distributed)	50 (unevenly distributed)	46 (unevenly distributed)
Initial conditions	EN4 climatology	GLOBAL	IBI + NIVMAR
Open boundary conditions	NO	Daily 3D data from CMEMS GLOBAL	Daily 3D data from CMEMS IBI + barotropic velocity from NIVMAR
Atmospheric forcing	ECMWF (3-h)	ECMWF (3-h)	AEMET (1-h)
Rivers forcing	Monthly climatology	Climatology + Previmar + SMHI	NO
Tidal forcing	NO	11 tidal harmonics from FES2004 and TPXO7.1 models	8 tidal harmonics from FES2004 (MOG2D model)
Assimilation	YES (SAM2)	NO*	NO
Bathymetry	ETOPO1 + GEBCO8	ETOPO1 + GEBCO8	IOC + high resolution charts

2 Table 1. Basic features of the ocean forecast systems employed in the present study. * The
3 operational version of IBI here used with spectral nudging. Assimilation scheme SAM2 was
4 later introduced in v4 (April 2018).

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Buoy	Model	Year	Location: lon, lat	Subregion	Depth (m)	Sampling
B1	WaveScan	2008	9.07°W, 54.67°N	IBISR	72	1 h
B2	WaveScan	2008	5.42°W, 53.47°N	IRISH	95	1 h
B3	SeaWatch	1990	3.09°W, 43.64°N	NIBSH	870	1 h
B4	SeaWatch	1998	9.43°W, 42.12°N	WIBSH	600	1 h
B5	SeaWatch	2004	1.47°E, 40.68°N	WSMED	688	1 h
B6	SeaWatch	1996	6.96°W, 36.48°N	CADIZ	450	1 h
B7	WatchKeeper	2010	5.42°W, 36.07°N	GIBST	40	1 h
B8	Triaxys	1992	15.39°W, 28.05°N	ICANA	30	1 h

2 Table 2. Description of the network of directional buoys used in this work. Year label stands
3 for year of deployment. Subregions are defined in Figure 1-a.

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Metrics \ HFR vs:	GLOBAL	IBI	SAMPA
Bias U (cm·s⁻¹)	-32.98	-28.25	4.17
RMSD U (cm·s⁻¹)	52.89	50.89	33.58
CORR U	0.71	0.68	0.83
Slope U	0.37	0.55	0.82
Intercept U (cm·s⁻¹)	85.93	65.46	10.77
Bias V (cm/s)	10.52	20.32	15.19
RMSD V (cm·s⁻¹)	30.57	36.09	28.48
CORR V	0.15	0.33	0.56
Slope V	0.05	0.26	0.41
Intercept V (cm·s⁻¹)	29.98	11.27	10.17
Complex CORR	0.67	0.62	0.79
Phase (°)	-22.72	-12.68	-7.86

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12 Table 3. Skill metrics derived from the 1-year (2017) validation of sea surface currents
13 estimated by three operational forecasting systems against HFR-derived observations at the
14 midpoint of the selected transect in the Strait of Gibraltar (Figure 1, c).

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