

1           **A simple predictive model for the eddy propagation**  
2           **trajectory in the northern South China Sea**

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19 **Abstract** A novel predictive model is built for eddy propagation trajectory using the  
20 multiple linear regression method. This simple model has related various oceanic  
21 parameters to eddy propagation position changes in the northern South China Sea  
22 (SCSNCS). These oceanic parameters mainly represent the effects of ~~planetary~~  $\beta$   
23 and mean flow advection on the eddy propagation. The performance of the proposed  
24 model is examined in the SCSNCS based on twenty years of satellite altimeter data,  
25 and demonstrates its significant forecast skills over a 4-week forecast window  
26 comparing to the traditional persistence method. It is also found that the model  
27 forecast accuracy is sensitive to eddy polarity and forecast season.

28

## 29 1. Introduction

30 Mesoscale eddies are coherent rotating structures that are ubiquitous over most of the  
31 world's oceans (Chelton et al., 2007). They play an important role in the transport of  
32 momentum, heat, mass and chemical and biological tracers, thereby become critical  
33 for issues such as general circulation, water mass distribution, ocean biology and  
34 climate change (Wang et al., 2012; Dong et al., 2014; Zhang et al., 2014; Ma et al.,  
35 2016; Li et al., 2017). Therefore, forecasting the eddy propagation positions  
36 accurately is not only important scientifically but also important practically for  
37 problems such as ocean observing systems designing, fishing planning, and  
38 underwater acoustic detecting.

39

40 Traditionally, ocean dynamical models were used as the tool of predicting the  
41 evolution of ocean eddies (Robinson et al., 1984). Since mesoscale eddies are often  
42 associated with strong nonlinear processes and their dynamical mechanisms are quite  
43 different, the operational forecast of eddies has been a big challenge to ocean  
44 numerical model. Much progress has been made in recent years in eddy-resolving  
45 ocean prediction. With the data assimilation and the increasing of model resolution,  
46 the model increases forecast skill. Daily forecast errors of eddy center positions in the  
47 northwestern Arabian Sea and Gulf of Oman are 44-68 km in 1/12° global HYCOM  
48 model, and reach to 22.5-37 km in 1/32° NLOM model (Hurlburt et al., 2008). The  
49 forecast skill and predictability of dynamical models can only be increased by better  
50 assimilation schemes (initialization), sufficient data (especially the subsurface), and  
51 improving resolution (physics and computing) (Rienecker et al., 1987; Oey et al.,  
52 2005). These restrictions preclude the all-pervading operational use of dynamical  
53 models when these initial data and computing power are not feasible due to some  
54 reasons. To make a useful forecast, accurately updated boundary data, satellite and in  
55 situ observation data for assimilation must be available, and a fair degree of computer  
56 power is needed (Rienecker et al., 1987; Oey et al., 2005). These restrictions preclude  
57 the all-pervading operational use of dynamical models when these initial data and

58 computer power are not feasible due to some reasons.

59

60 In this paper, we used a simple statistical method to predict the eddy positions 1-4  
61 weeks in advance using only the past positions of the eddy and its surrounding fields.

62 Our “test block” of ocean is the northern South China Sea (NSCSSCS). It is a  
63 semi-enclosed sea under the dramatic influence of the East Asian Monsoon and  
64 Kuroshio intrusion (Liu and Xie, 1999; Shaw, 1991). Due to the variable external  
65 forcing and complex topography, mesoscale eddies show obvious geographic  
66 distributions and various characteristics (Wang et al., 2003; Xiu et al., 2010), but the  
67 common character is the overall westward tendency of eddy trajectories no matter of  
68 the eddy polarity (Fig. 1). We will first analyze the pattern and dynamics of the  
69 common westward movement of eddies in the SCSNSCS, then choose the potential  
70 predictors and develop a simple predictive model of eddy trajectories, and finally  
71 discuss the impact of eddy polarity and season on the model forecast accuracy.

## 72 **2. Data and Methods**

### 73 **2.1 Data**

74 The sea level anomalies (SLA) are from the Archiving, Validation and Interpretation  
75 of Satellite Oceanographic data (AVISO, <ftp://ftp.aviso.oceanobs.com/>) (Ducet et al.,  
76 2000). The product merges the measurements of TOPEX/Poseidon, European Remote  
77 Sensing Satellite (ERS-1/2), Geosat Follow-on, Jason-1/2, and Envisat, and spans the  
78 period from October 14, 1992 to August 7, 2013. Its temporal resolution is weekly,  
79 and its spatial resolution is 0.25° latitude by 0.25° longitude. To estimate the  
80 large-scale geostrophic currents, we use the absolute dynamic topography (ADT),  
81 which consists of the SLAs and a mean dynamic topography (MDT). The method for  
82 calculating the MDT was introduced by Rio and Hernandez (2004), and the data is  
83 also distributed by AVISO.

84

85 The monthly climatology of observed ocean temperature and salinity from U.S. Navy

86 Generalized Digital Environment Model (GDEM-Version 3.0) is used to calculate the  
87 phase speed of nondispersive baroclinic Rossby waves in the [SCSNCS](#). It has a  
88 horizontal resolution of 0.25° latitude by 0.25° longitude, and 78 standard depths from  
89 0 to 6600 m with the vertical resolution varying from 2 m at the surface to 200 m  
90 below 1600 m (Canes, 2009).

91

92 The [SCSNCS](#) eddy trajectory data is derived from the 3<sup>rd</sup> release of the global eddy  
93 dataset (<http://cioss.coas.oregonstate.edu/eddies/>). The eddy positions within their  
94 trajectories are recorded at 7-day time intervals. A detailed description of the eddy  
95 trajectory dataset can be found in Chelton et al. (2011). To forecast the eddy trajectory  
96 1-4 weeks in advance using the last position of the eddy, only eddies with a lifetime of  
97 5 weeks or longer are retained in this study.

## 98 **2.2 [The](#) Maximum Cross-Correlation Method**

99 The maximum cross-correlation (MCC) method is a space-time lagged technique,  
100 which can estimate the surface motions from time-sequential remote sensing images.  
101 It has been successfully used to track clouds from geosynchronous satellite data  
102 (Leese et al., 1971), to compute sea-ice motion (Ninnis et al., 1986) and advective  
103 surface velocities (Emery et al., 1986) from sequential infrared satellite images, and to  
104 determine the propagation velocities of ocean eddies from satellite altimeter data (Fu,  
105 2006; [Zhuang et al., 2010, 2009](#)). The MCC method used in this study is the same as  
106 that of Fu et al. (2006, 2009), which is a little different with that of Emery et al.  
107 (1986). In the method of Emery et al., the correlations of the image in the subwindow  
108 with all the neighboring ones in the whole window at the next time are computed, and  
109 the speed and direction of the maximum correlation can be estimated. While in the  
110 method of Fu et al., the correlations of the SLA at a given location with all the  
111 neighboring SLA at various time lags are computed, and the speed and direction of  
112 the maximum correlation can be estimated. The reason of their difference may be due  
113 to the low time-space resolution of SLA comparing with other infrared satellite  
114 images.

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117 The MCC method mainly consists of two procedures (Fu, 2009): first, the  
118 cross-correlations of the SLA time series ( $h$ ) with others within a certain range box  
119 are computed for some time lags ( $\Delta T$ ) in multiples of 7 days (time resolution of SLA  
120 data) at each grid node location ( $x, y$ ) as:

$$121 \quad C_{x,y}(\Delta x, \Delta y, \Delta T) = \overline{h(x, y, t)h(x + \Delta x, y + \Delta y, t + \Delta T)} \quad (1)$$

122 where  $\Delta x$  and  $\Delta y$  are the spatial lags and the over bar means time averaging.  
123 Second, the position of the maximum correlation at each time lag ( $\Delta T$ ) is identified  
124 and a speed can be derived from the time lag and the distance of this position from the  
125 origin. Then an average speed vector ( $u, v$ ) weighted by the correlation coefficients is  
126 calculated from the estimates at various time lags as:

$$127 \quad (u, v) = \frac{\sum_i (\Delta x_i / \Delta T_i, \Delta y_i / \Delta T_i) C_i}{\sum_i C_i} \quad (2)$$

128 where  $C_i$  is the maximum correlation at  $\Delta T_i$ , and  $\Delta x_i, \Delta y_i$  are the distances  
129 between the position of maximum correlation and the origin. The average velocities  
130 are then assigned to the eddy movement velocities at the given grid point.

131

132 To focus on the global mesoscale eddy, the time lags were limited to less than 70 days  
133 and the dimension of the window was less than 400 km (Fu, 2009). While in the  
134 NSCS, the time lags should be limited to less than 42 days, since many correlation  
135 coefficients are below the 95% confidence level at larger time lags (Zhuang et al.,  
136 2010). Besides, Chen et al. (2011) found that eddies propagate with 5.0-9.0 cm/s in  
137 the NSCS. Thus the search radius can be generally limited as 300 km (9.0 cm/s\*42  
138 days  $\approx$  300 km) to reduce incidence of spurious MCC vectors. Since the mean flow  
139 and associated eddy propagation in the SCS have seasonal variability, we divided the  
140 weekly SLA data from 1992 to 2013 into four groups according to four seasons  
141 (winter: December-February, spring: March-May, summer: June-August, autumn:  
142 September-November). Then the seasonal climatological eddy propagation velocities  
143 can be estimated from the same seasonal group at intervals of 1 week using the MCC  
144 method. To focus on the mesoscale in the SCS, the time lags are limited to less than 42  
145 days, and the dimension of the search box is generally less than 300 km. To reduce

146 ~~incidental spurious MCC vectors, the maximum speed is set to 30 cm/s, since the~~  
147 ~~phase speeds of baroclinic Rossby waves in the SCS are mostly lower than this~~  
148 ~~threshold (Cai et al., 2008).~~

### 150 **2.3 The Multiple Linear Regression ~~Method~~ Model**

151 ~~To develop a simple statistical predictive model for relating various oceanic~~  
152 ~~parameters to eddy propagation position changes, the multiple linear regression~~  
153 ~~method is used for developing such statistical forecast model. The multiple linear~~  
154 ~~regression is a linear approach to modeling the relationship between the response and~~  
155 ~~explanatory variables. This classical method has many practical uses in oceanography~~  
156 ~~and meteorology, such as the prediction of Arctic sea ice extent (Zhang, 2015), the~~  
157 ~~estimation of subsurface salinity profile (Bao et al, 2019), the estimation of~~  
158 ~~anthropogenic CO<sub>2</sub> accumulation in the Southern Ocean (Matear and McNeil, 2003),~~  
159 ~~the forecast of typhoon track (Aberson and Sampson, 2003) and intensity (Demaria~~  
160 ~~and Kaplan, 1994), Madden-Julian Oscillation forecast (Seo, 2008), and ENSO~~  
161 ~~prediction (Dominiak and Terray, 2005).~~

162  
163 ~~In this study, the predictands (dependent variables) are the zonal and meridional~~  
164 ~~displacements at each forecast time from the initial position (Table 1). The choice of~~  
165 ~~the predictors based on physical analysis will be shown in detail in Section 3. Since~~  
166 ~~the variables used for the regression involve different scales and units, it is~~  
167 ~~inappropriate to use them directly, as it may cause the fitting to deviate from the~~  
168 ~~physical constraints. Thus all the variables are normalized with their anomalies~~  
169 ~~divided by their corresponding standard deviations before the regressing. After that,~~  
170 ~~the normalized predicted zonal (meridional) displacement  $DY$  ( $DY$ ) can be estimated~~  
171 ~~using a multiple linear regression model:~~

$$172 \quad \underline{DX_j = \sum_{i=1}^n a_{i,j} P_i, \quad j=1,4} \quad (3)$$

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$$DY_j = \sum_{i=1}^n b_{i,j} P_i, \quad j=1,4 \quad (4)$$

174 where the subscript  $j$  refers to the forecast interval (1-4 weeks), the subscript  $i$  refers  
175 to the serial number of normalized predictors ( $P$ ),  $n$  represents the number of selected  
176 predictors;  $a$  and  $b$  donate the regression coefficients of predictors onto  $DX$  and  $DY$ ,  
177 respectively.

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178  
179 There are a total of 8 regression equations, i.e., both the meridional and zonal  
180 directions for the weeks of 1-4. We can separate the whole eddy trajectories into two  
181 sets: one for regressing and the other for forecasting. At week-1, we used 1981 (76%)  
182 eddy trajectory segments (the distances between the eddy positions at 7-day time  
183 interval) of 283 eddy trajectories during 1992-2008 for regressing, and 623 (24%)  
184 eddy trajectory segments of 81 eddy trajectories during 2009-2013 for forecasting.  
185 The other forecast experiments for 2, 3, and 4 weeks maintain the same periods for  
186 regressing and forecasting. To evaluate the overall forecast ability of the model, the  
187 mean forecast error is defined as the averaged distance ( $D$ ) between the predicted  
188 eddy positions and the satellite observed eddy positions following great circle  
189 distance (Ali et al., 2007):

190 
$$D = R \cdot \arccos[\sin Y_o \sin Y_F + \cos Y_o \cos Y_F \cos(X_o - X_F)] \quad (5)$$

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191 where  $R$  is the earth radius,  $X_o$  ( $X_F$ ) and  $Y_o$  ( $Y_F$ ) represent the observed (predicted)  
192 longitude and latitude in degrees, respectively.

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195 **3. Results Dynamics of Eddy Propagation in the NSCS and Choice of**  
196 **Predictors**

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197 **3.1 Dynamics of Eddy Propagation in the**  
198 **SCS**

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199  
200 **3.1 Pattern and Dynamical Analysis of Eddy Propagation in the**  
201 **NSCS**

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202 One of the most important steps in the development of a regression model is the  
203 choice of independent variables (predictors). In choosing the potential predictors, the  
204 candidates should have a physical link (direct or indirect) with the eddy propagation.  
205 To investigate the dynamical factors associated with eddy propagation in the NSCS,  
206 the pattern of eddy propagation speeds should be estimated firstly.

207  
208 Instead of a Lagrangian description of the movement of individual eddies as reported  
209 in the previous studies (e.g., Wang et al., 2003; Chen et al., 2011), the space-time  
210 lagged MCC method provides an Eulerian description of the pattern of eddy  
211 propagation speeds (Fu, 2009). As shown in Fig. 2a and 2d, the MCC method has  
212 mapped the propagation speeds of ~~the~~ eddies in the ~~SCS~~NSCS for the winter and  
213 summer season, respectively. The propagation of ~~the~~ eddies is generally westward in  
214 the ocean interior and southward in the western boundary with the typical speed of  
215 4-10 cm/s. The propagation direction of ~~the~~ eddies generated southwest of Taiwan is  
216 southwestward along the 200-2000 m isobaths, indicating the steering effects of the  
217 ocean's bathymetry. There are two distinct differences between the winter season and  
218 the summer season: one is that the eddy propagation speed in winter is relatively  
219 larger than that in summer; and the other is that the influence of the western boundary  
220 current can be clearly seen near 16°N-18°N along the Vietnam coast in winter,  
221 creating an organized band of southward eddy propagation pattern, while this cannot

222 be found in summer. The different patterns of the eddy propagation speed in winter  
223 and summer have revealed several details of the mean flow in the SCSSCS: the  
224 large-scale circulation under the influence of northeasterly winter monsoon is stronger  
225 than that in the southwesterly summer monsoon, and the robust western boundary  
226 current in winter becomes relatively weak and unorganized in summer.

227

228 Eddies also have their own westward drift under the planetary  $\beta$  effect in the  
229 absence of any mean flow (Nof, 1981, Cushman-Roisin, 1994). Their propagation  
230 speed is approximately the phase speed of the first baroclinic Rossby waves with  
231 preferences for small poleward and equatorward deflection of cyclonic and  
232 anticyclonic eddies in the global ocean, respectively (Chelton et al., 2007).  
233 Theoretically, the phase speed of the first baroclinic Rossby wave is  $C_{R1} = -\beta R_1$ ,  
234 where the first baroclinic Rossby radius of deformation  $R_1$  is estimated using the  
235 climatological GDEM temperature and salinity data. Figure 2b (2e) shows the  
236 theoretical phase speed of nondispersive baroclinic Rossby waves calculated from  
237 GDEM winter (summer) climatological temperature and salinity data. The direction  
238 of the phase speed is due west and the magnitude increases from about 2 cm/s in the  
239 north latitude to 12 cm/s in the south latitude. It should be noted that the difference  
240 between the winter and summer distributions of the phase speed of the first baroclinic  
241 Rossby wave is relatively small. The underlying reason is that the variation of  
242 seasonal stratification in the upper layer has little effect on the seasonal distribution of  
243 the first baroclinic Rossby deformation radius (Chelton et al. 1998, Cai et al., 2008).

244

245 The differences between the satellite observed propagation speed (Fig. 2a and 2d) and  
246 the propagation speed induced by the  $\beta$  effect (Fig. 2b and 2e) in winter and  
247 summer are shown in Fig. 2c and 2f, respectively, which may represent the  
248 propagation speed caused by the advection of mean flow. To further illustrate the  
249 advection effect of mean flow, the winter (summer) mean dynamic topography is

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250 superimposed on the propagation speed caused by the mean flow. As can be seen,  
251 there is a good spatial correlation (0.61 in the zonal direction and 0.52 in the  
252 meridional direction, both of which are significant at the 95% confidence level)  
253 between the cyclonic eddy propagation speed advected by the mean flow and the large  
254 scale surface cyclonic circulation in winter, both of which are centered northwest of  
255 the Luzon Island (Fig. 2c). Due to the weak cyclonic gyre in the ~~northern SCSNSCS~~,  
256 the spatial correspondence in summer is not as obvious as that in winter (Fig. 2f).  
257 Since the propagation speed induced by the  $\beta$  effect is westward, this tendency is  
258 reinforced by the mean flow in the north, but compensated by the mean flow in the  
259 south. Because the mean flow in the south is not so strong, it is not able to reverse  
260 eddy propagation from its westward motion induced by the  $\beta$  effect as in the  
261 Antarctic Circumpolar Current region (Klocker and Marshall, 2014) no matter in  
262 winter or summer.

263  
264 To explore other possible causes of eddy propagation, Fig. 3a shows the annual mean  
265 eddy propagation speed. The most striking pattern is that the eddy propagation speed  
266 is accelerated markedly on the northern continental shelf of the ~~SCSNSCS~~ (also can  
267 be seen in Fig. 2a and 2d), corresponding well to the region of negative maximum  
268 meridional topographic  $\beta_T = \frac{f}{H} \frac{dH}{dy}$ , where  $H$  is the water depth. Their correlation  
269 is -0.40, which is significant at the 95% confidence level. This relatively good  
270 correspondence suggests that besides the planetary  $\beta$  effect and advection of mean  
271 flow, the topographic  $\beta$  effect also contributes to the eddy propagation in some  
272 regions where the bathymetry gradient cannot be neglected.

### 273 **3.2 Choice of Predictors**

274 As mentioned above, the mean flow advection and the effects of  $\beta$  (both planetary

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275 and topographic) are closely related with the eddy propagation. These factors should  
276 be considered as the potential predictors, and the seasonal climatological eddy zonal  
277 and meridional motions (U\_CLIM V\_CLIM) derived from the MCC are calculated to  
278 represent the effects of  $\beta$  and the mean flow advection. It is noted that we have tried  
279 to decompose U\_CLIM and V\_CLIM into the effects of  $\beta$  and the mean flow  
280 advection and incorporate them into the regression model, but found no improvement  
281 of the forecast skill.

282  
283 In reality, the large-scale circulation evolves during the forecast period, this synoptic  
284 effect of mean flow advection should also be taken into account. To help account for  
285 the time variation of the mean flow advection, the current zonal and meridional  
286 absolute geostrophic flows (U\_ADT, V\_ADT) derived from the satellite data are  
287 evaluated at the beginning of the forecast time along the eddy trajectory. Besides, the  
288 persistence factors should also be considered in the regression model, since they  
289 contain the “latest” pattern of eddy propagation under the effects of  $\beta$  and the mean  
290 flow advection. The chosen persistence factors are the initial eddy position (LON,  
291 LAT) and the eddy motion past 1-week (U\_PAST, V\_PAST). All the chosen eight  
292 predictors are listed in Table 2, and can be derived along the eddy trajectories. They  
293 can be divided into two categories: 1) P1-P6 related to climatology and persistence,  
294 i.e., “static predictors”, and 2) P7-P8 related to the changing environmental conditions,  
295 i.e., “synoptic predictors”.

296  
297 The relative contribution of each predictor on each forecast period is illustrated by the  
298 normalized regression coefficient (Table 3). The larger the normalized regression  
299 coefficient, the greater its contribution to the individual forecast equation. Persistence  
300 factors (U\_PAST, V\_PAST) are initially the most important predictors, while after 2  
301 weeks the most important predictors are the climatology factors (U\_CLIM, V\_CLIM).  
302 The synoptic predictors (U\_ADT, V\_ADT) contribute less to the forecast equations

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303 comparing with persistence and climatology. The underlying reason may be that the  
304 week to week variations are too large so the representation of the initial U\_ADT and  
305 V\_ADT to the actual velocities in the 4-week window is not as good as the U\_CLIM  
306 and V\_CLIM.

### 308 **3.2 Model Development**

309 ~~To develop a simple statistical predictive model for relating various oceanic~~  
310 ~~parameters to eddy propagation position changes, the multiple linear regression is~~  
311 ~~used for developing such statistical forecast models. This method has been~~  
312 ~~successfully used in the forecast of tropical cyclone (TC) tracks (Neumann and~~  
313 ~~Randrianarison, 1976; Aberson and Sampson, 2003), hurricane intensity (Demaria and~~  
314 ~~Kaplan, 1994) and ENSO (Knaff and Landsea, 1997). In this study, the predictands~~  
315 ~~(dependent variables) are the zonal and meridional displacements at each forecast~~  
316 ~~time from the initial position. In choosing the potential predictors (independent~~  
317 ~~variables), two factors are considered. First, the candidates should have a physical~~  
318 ~~link (direct or indirect) with the eddy propagation. Second, the candidates must be~~  
319 ~~available and accessible in advance. Based on these two considerations, eight~~  
320 ~~potential predictors that are associated with eddy propagation are chosen (Table 1).~~  
321 ~~All of these are derived along the eddy trajectories.~~

322  
323 ~~These eight predictors can be divided into two categories: 1) those related to~~  
324 ~~climatology and persistence, i.e., “static predictors”, and 2) those related to the~~  
325 ~~changing environmental conditions, i.e., “synoptic predictors”. The static predictors~~  
326 ~~consist of the first six predictors, while the last two are the synoptic predictors. Since~~  
327 ~~the initial eddy position (LON, LAT) and the eddy motion past 1-week (U\_PAST,~~  
328 ~~V\_PAST) represent the initial conditions of the eddy, these persistence factors are~~  
329 ~~crucial for the next position of the eddy. The climatological eddy zonal and~~  
330 ~~meridional motions (U\_CLIM V\_CLIM) derived from the MCC method are chosen to~~  
331 ~~take into account the effects of  $\beta$  and the mean flow advection, as discussed in~~

Section 3.1. In reality, the large scale circulation evolves during the forecast period, but this effect is not taken into account in the climatology and persistence factors. To help account for the time variation of the mean flow advection, the current zonal and meridional absolute geostrophic flows (U\_ADT, V\_ADT) derived from the satellite data are evaluated at the beginning of the forecast time along the eddy trajectory. The relative contribution of each predictor on each forecast period is illustrated by the normalized regression coefficient (Table 2). To generate the normalized coefficients, both the predictors and the predictands are normalized before they are incorporated into the regression model. The larger the normalized regression coefficient, the greater its contribution to the individual forecast equation. Persistence factors (U\_PAST, V\_PAST) are initially the most important predictors, while after 2 weeks the most important predictors are the climatology factors (U\_CLIM, V\_CLIM). The synoptic predictors (U\_ADT, V\_ADT) contribute less to the forecast equations comparing with persistence and climatology. The underlying reason may be that the week to week variations are too large so the representation of the initial U\_ADT and V\_ADT to the actual velocities in the 4-week window is not as good as the U\_CLIM and V\_CLIM.

There are a total of 8 regression equations, i.e., both the meridional and zonal directions for the weeks of 1-4. We can separate the data into two sets: one for regressing and the other for forecasting. At week 1, we used 1981 (76%) eddy trajectory segments (the distances between the eddy positions at 7 day time interval) of 283 eddy trajectories during 1992-2008 for regressing, and 623 (24%) eddy trajectory segments of 81 eddy trajectories during 2009-2013 for forecasting. The other forecast experiments for 2, 3, and 4 weeks maintain the same periods for regressing and forecasting. To evaluate the overall forecast ability of the model, the mean forecast error is defined as the averaged distance (D) between the predicted eddy positions and the satellite observed eddy positions following great circle distance (Ali et al., 2007):

$$D = R \cdot \arccos[\sin Y_o \sin Y_F + \cos Y_o \cos Y_F \cos(X_o - X_F)]$$

361 where  $R$  is the earth radius,  $X_o$  ( $X_F$ ) and  $Y_o$  ( $Y_F$ ) represent the observed (forecast)  
362 longitude and latitude in degrees, respectively.

## 363 4. Performance of the Multiple Regression Model

364

### 365 4.1 Comparison with the persistence method

366 To evaluate the performance of our prediction model, the persistence method (no  
367 change of propagation speed from the initial state, Fig. 4a) and our model are used to  
368 predict the eddy trajectories during 2009-2013. Then the root-mean-square error  
369 (RMSE) and correlation coefficient between the predicted and actual longitudes  
370 (latitudes), mean distance errors of our model and persistence method over a 4-week  
371 horizon are computed.

372

373 Table 3-4 lists the number of sampling cases, comparison of prediction  
374 results, root mean square error (RMSE) and correlation coefficient between the  
375 predicted and actual longitudes (latitudes), mean distance errors of our model and  
376 persistence method (no change of propagation speed from the initial state, Fig. 4a)  
377 over a 4 week horizon. It shows that the our multiple linear regression model  
378 developed model beats the persistence method and indicates our model has some  
379 forecast skill (Table 5): the RMSE between the predicted and the actual longitudes  
380 (latitudes) throughout the 4-week horizon is 0.3332.7-0.8989.2 km (0.3029.5-0.7373.5  
381 km) degrees with the correlation coefficients  $>0.93$  ( $>0.95$ ).

382

383 As an example, Fig. 5 compares the 1-2 weeks forecast performances of our model  
384 (blue) and the persistence method (green) with the observation (red). Generally, the  
385 eddy trajectory predicted 1-2 weeks in advance by our model coincides well with the  
386 observed trajectory with an overall average error of 27.6 km (week-1) and 42.5 km

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387 (week-2), and even the convoluted pattern can be reproduced properly (Fig. 5 (right))  
388 though the mean error is slightly larger than the smooth case. In contrast, although the  
389 persistence forecast trajectory at week-1 is relatively consistent with the observation  
390 (Fig. 5a and 5b), the persistence method cannot forecast the eddy trajectories properly  
391 when the forecast horizon increases (Fig. 5c and 5d). To further compare their  
392 differences, their forecast distance errors are normalized with the Rossby radius on  
393 each forecast grid over 4-week forecast window, respectively. The correlation  
394 between the normalized forecast distance errors of the persistence method and our  
395 model decreases from 0.67 at week-1 to 0.38 at week-4. This is consistent with the  
396 above judgement and confirms the superiority of our multiple linear regression model  
397 over the persistence method. This shows the superiority of our forecast model over the  
398 persistence method.

#### 399 **4.2 Sensitive Performance of Different Eddy Polarity and Season**

400

#### 401 **~~3.3 Sensitive Performance of Different Eddy Polarity and Season~~**

402 Previous studies have shown that anticyclonic eddies and cyclonic eddies in the  
403 SCSNCS have different dynamic characteristics, such as generation sites, rotation  
404 speeds and propagation trajectories, and the seasonal variability of these eddies is  
405 robust (Wang et al., 2006; Wang et al., 2008; Li et al., 2011). Two natural questions  
406 arise: 1) is there any difference on the model forecast ability between anticyclonic  
407 eddies (Fig. 1a) and cyclonic eddies (Fig. 1b)? 2) If so, is there any difference on the  
408 forecast ability for one type of eddies in winter (Fig. ~~6a-7a~~ and ~~7a8a~~) and summer (Fig.  
409 ~~6b-7b~~ and ~~7b8b~~)? This section will explore the different model performances on two  
410 types of eddies and during different seasons in the SCSNCS.

411

412 The period considered for regressing and predicting the anticyclonic eddy and  
413 cyclonic eddy positions is the same as that used in developing the predictive model in

414 Section 3.2. The mean forecast errors of anticyclonic (cyclonic) eddies from week-1  
 415 to week-4 are 36.9 km (41.1 km), 62.6 km (68.1 km), 81.0 km (88.5 km), and 102.0  
 416 km (108.2 km), respectively (Fig. 4e). These results show that the predicted  
 417 trajectory errors of anticyclonic eddies are less than those of cyclonic eddies in all  
 418 forecast horizon, and the maximum error difference can reach 7.5 km at week-3. To  
 419 investigate the underlying reasons of different model performances for anticyclonic  
 420 eddies and cyclonic eddies, we use the persistence error  
 421 ( $CC' = \sqrt{AB^2 + BC^2 - 2AB \cdot BC \cdot \cos \theta}$  in Fig. 4a) at week-1 as an index to measure  
 422 the difficulty of trajectory forecast. The underlying reason in physics is that  $CC'$ ,  
 423 which includes the effects of winding angle ( $\theta$ , measuring the trajectory curvature)  
 424 and the eddy propagation distances in the former and latter periods (AB and BC,  
 425 measuring the eddy propagation speed), is an integral characteristic of eddy trajectory.  
 426 The correlation between this integrated index and eddy trajectory forecast error is  
 427 relatively high with  $R=0.62$  (Fig. 4b), which is significant at the 95% confidence level  
 428 and shows its ability of measuring the inherent difficulty of trajectory forecast: the  
 429 larger the index, the more difficult the trajectory forecast, thus the larger the forecast  
 430 error. Because the indices (mean persistence errors) of all the anticyclonic and  
 431 cyclonic eddy trajectories in the SCSNSCS are 46.6 km and 53.0 km, respectively, it  
 432 is not difficult to understand why the mean forecast error of anticyclonic eddy  
 433 trajectories is smaller than that of cyclonic eddy trajectories in the SCSNSCS. The  
 434 index difference between anticyclonic and cyclonic eddy trajectories is caused by  
 435 these different trajectory patterns (Fig. 1a and 1b), which could be due to the opposing  
 436 meridional drifts of anticyclonic and cyclonic eddies expected from the combination  
 437 of  $\beta$  effect and self-advection (Morrow et al., 2004).

438  
 439 Figure 6e-7c (Fig. 7e8c) shows the mean forecast errors of anticyclonic (cyclonic)  
 440 eddy trajectories in winter and summer over a 4-week horizon. Because the mean  
 441 persistence error (42.0 km) of anticyclonic eddy trajectories in winter is smaller than  
 442 that (51.9 km) in summer, as expected, the mean forecast error of anticyclonic eddy

443 trajectories in winter is smaller than that in summer for all cases. This is also the case  
444 for the cyclonic eddy: since the mean persistence error (54.6 km) of cyclonic eddy  
445 trajectories in winter is relatively larger than that (52.8 km) in summer, the mean  
446 forecast error of cyclonic eddy trajectories in winter is larger than that in summer. The  
447 index difference of one type of eddy trajectories between winter and summer is also  
448 caused by the different trajectory patterns. Why do the anticyclonic and cyclonic  
449 eddies follow different trajectories in winter (Fig. [6a-7a](#) and [7a8a](#)) and summer (Fig.  
450 [6b-7b](#) and [7b7b](#))? One possible dynamical reason is the different interactions between  
451 the eddies and seasonal mean flows. Other underlying factors such as eddy generation  
452 mechanisms and eddy-topography interactions in different seasons may also  
453 contribute. This is beyond the scope of this study and needs further investigation  
454 using numerical models.

## 455 **5. Summary and Discussion**

456 In this study we have investigated the underlying dynamics of the eddy propagation in  
457 the [SCSNCS](#) and found the propagation of [SCSNCS](#) eddies is mainly driven by the  
458 combination of the planetary  $\beta$  effect and mean flow. In addition, the topographic  
459  $\beta$  effect also has some contribution to the eddy propagation where the bathymetry  
460 gradient cannot be neglected, like the steep continental shelf in the ~~northern~~  
461 [SCSNCS](#) (Fig. 1a).

462

463 Based on the dynamical analysis, a simple statistical predictive model for relating  
464 various oceanic parameters to eddy propagation position changes is developed using  
465 the multiple linear regression method. This model is made up of ~~two-eight~~ predictands  
466 (zonal and meridional displacements [over 1-4 weeks](#)) and eight predictors (six static  
467 predictors, two synoptic predictors). The six static predictors are associated with the  
468 initial position, the zonal and meridional motions past 1-week, and the climatological  
469 eddy zonal and meridional motion. The other two synoptic predictors account for the

470 time variation of the mean flow advection. Results showed that this simple model has  
471 significant forecast skills over a 4-week forecast horizon comparing the traditional  
472 persistence method. Moreover, the model performance is sensitive to eddy type and  
473 forecast season: 1) the predicted trajectory errors of anticyclonic eddies are smaller  
474 than those of cyclonic eddies; 2) the predicted trajectory errors of anticyclonic eddies  
475 in winter are smaller than those in summer; while the contrary is the case for the  
476 cyclonic eddy. The predictive model performance strongly depends on the inherent  
477 difficulty of trajectory forecast.

478

479 Although the performance of the proposed predictive model is encouraging, it could  
480 be refined further. Further improvement may be possible by including the effect of  
481 eddy-eddy interactions on the eddy propagation, which is supposed to help induce the  
482 eddy trajectory curve or loop (Early et al., 2011). Another possible improvement is to  
483 use artificial neural network (ANN) in developing the forecast model. ANN has been  
484 successfully used in the predicting cyclone tracks (Ali et al., 2007) and loop current  
485 variation (Zeng et al., 2015). ANN can represent both linear and non-linear  
486 relationships learned directly from the data being modeled. It mainly contains three  
487 layers: the input layer, the hidden layer, and the output layer. To be consistent with the  
488 multiple linear regression model, both the input layer and the output layer include the  
489 same predictors and predictands as the regression model, respectively. The hidden  
490 layer consists of two layers of neural variables. Through iterations on backward  
491 propagation of the error, the neural network learns by itself to achieve an optimum  
492 weighting function and a minimum error. The forecast errors of ANN for 1-4 weeks  
493 are listed in Table 4. We can see that some improvements (0.3-4.2 km during 1-4  
494 weeks forecast horizon) have been shown comparing with the linear regression  
495 method. Recently, Jiang et al. (2018) have found the deep learning algorithm of neural  
496 networks performs better than the simple ANN for the parameterization of

497 typhoon-ocean feedback in typhoon forecast models. These enhancements (both  
498 physics and algorithms) are topics warranting future research and  
499 development.~~Another possible improvement is to replace the multiple regression~~  
500 ~~method with machine learning (ML) techniques. Ashkezari et al. (2016) have shown~~  
501 ~~that the ML methods particularly stand out in analyzing complex systems yet not fully~~  
502 ~~understood, like estimating the eddy lifetime. These enhancements are topics~~  
503 ~~warranting future research and development.~~

504

505

506 *Data availability.* The SLA and MDT data can be downloaded from AVISO  
507 (<ftp://ftp.aviso.oceanobs.com/>), and the [SCSNCS](#) eddy trajectory data can be derived  
508 from the 3rd release global eddy dataset (<http://cioss.coas.oregonstate.edu/eddies/>).

509

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516

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628

629 **Figure and Table Captions**

630 **Figure 1.** The trajectories of (a) anticyclonic and (b) cyclonic eddies with lifetime  $\geq 5$   
631 weeks in the northern South China Sea (SCS). The solid circle represents the ending  
632 position of each trajectory. In Fig. 1a, TI: Taiwan Island, LI: Luzon Islands, VN:  
633 Vietnam. The two isobaths are for 200 m and 2000 m, respectively.

634 **Figure 2.** Winter climatology of (a) eddy propagation speed directions (vectors) and  
635 magnitudes (color, cm/s), (b) The phase speed directions (vectors) and magnitudes  
636 (color, cm/s) of the first baroclinic Rossby wave. (c) The speed difference (vectors)  
637 between (a) and (b) superimposed on the winter mean absolute dynamic topography  
638 (color, cm). (d), (e) and (f) are the same as (a), (b) and (c), respectively, but for the  
639 summer.

640 **Figure 3.** (a) Annual mean of eddy propagation speed directions (vectors) and  
641 magnitudes (color, cm/s). (b) Meridional distribution of the topographic  $\beta$  effect  
642 (color shading).

643 **Figure 4.** (a) Schematic of persistence method. A, B, and C are three observed eddy  
644 positions on the trajectory every 1 week interval. C' is the predictive eddy position 1  
645 week in advance by persistence method, that is  $BC'=AB$ . Thus  $CC'$  is the persistence  
646 error at week-1. (b) Scatterplot of persistence error versus forecast error of our model  
647 at week-1 with best fit linear regression.

648 **Figure 5.** A comparison of the satellite observed trajectory (red), the predicted  
649 trajectory by our model (blue) and persistence trajectory (green) at (a) week-1, (c)  
650 week-2. (b), (d) are the same as (a) and (c), respectively, but for a recurved trajectory.  
651 The biweekly eddy positions on each trajectory are shown by the solid circles.

652 **Figure 6.** Comparison of the mean forecast errors between anticyclonic eddies (red)  
653 and cyclonic eddies (blue) over a 4-week window.

654 **Figure 7.** The trajectories of anticyclonic eddies in (a) winter and (b) summer with

655 lifetime  $\geq 5$  weeks in the northern South China Sea. The solid circle represents the  
656 ending position of each trajectory. (c) Comparison of their mean forecast errors over a  
657 4-week window.

658 **Figure 8.** The same as Fig. 6, but for the cyclonic eddies.

659

660 **Table 1.** The eight predictands used in the predictive model.

661 **Table 2.** The eight predictors used in the predictive model.

662 **Table 3.** Normalized regression coefficients  $a_{i,j}$  ( $b_{i,j}$ ) for use with the eddy zonal  
663 (meridional) motion prediction equation.

664 **Table 4.** Comparison of mean forecast distance errors (km) of the persistence,  
665 multiple linear regression (MLR), and artificial neural network (ANN) method.

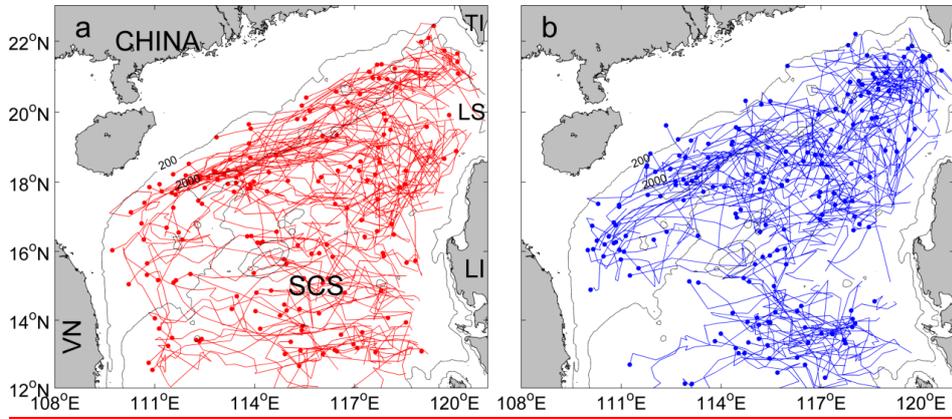
666 **Table 5.** Statistics of our multiple linear regression model for different forecast time of  
667 eddy propagation positions in terms of longitudes (latitudes).

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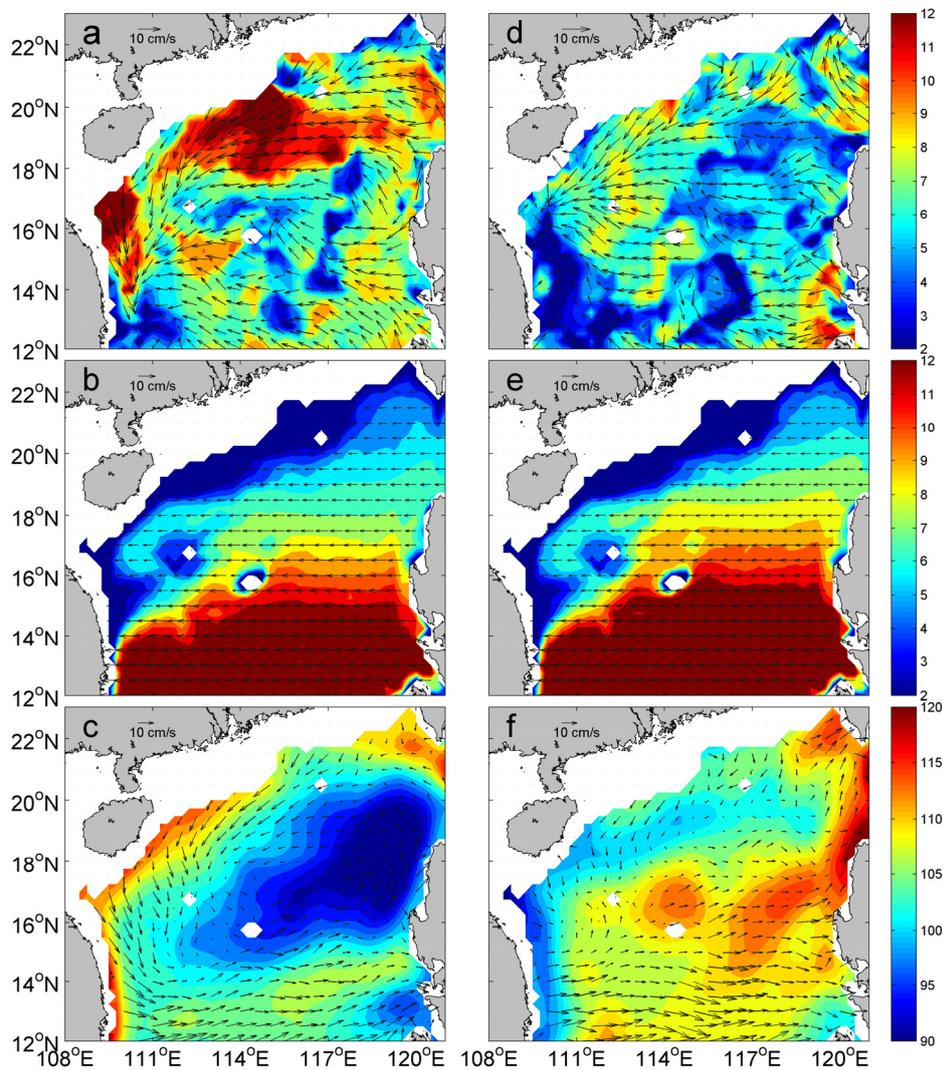


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677 two isobaths are for 200 m and 2000 m, respectively.

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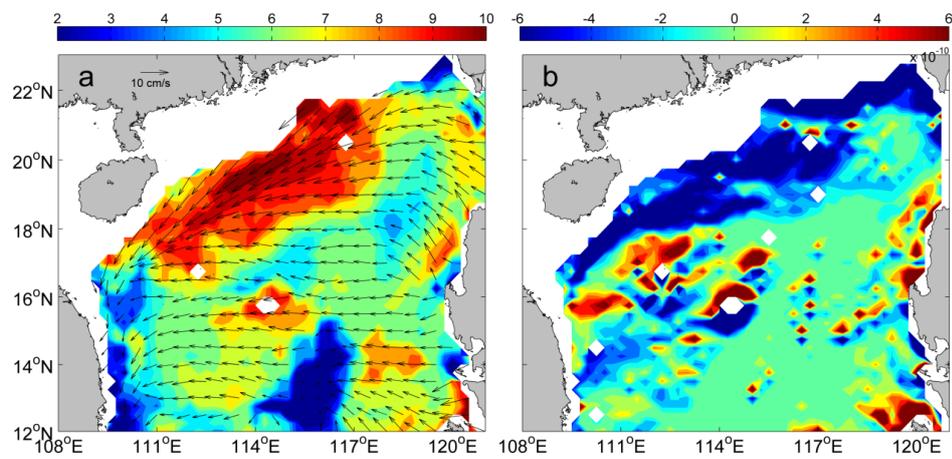


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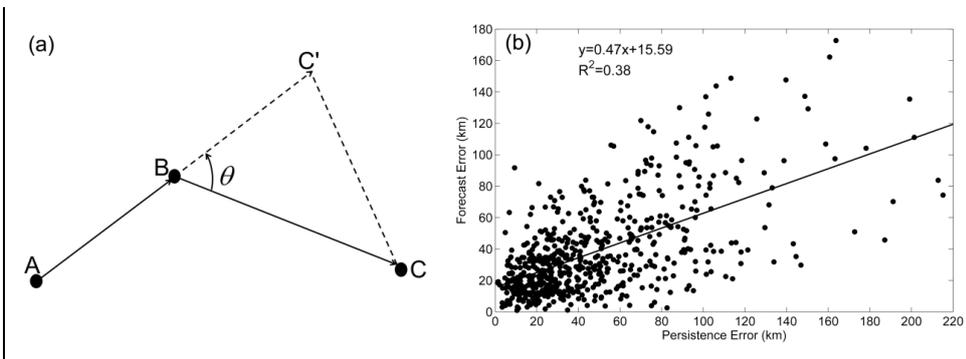
681 **Figure 2.** Winter climatology of (a) eddy propagation speed directions (vectors) and  
 682 magnitudes (color, cm/s), (b) The phase speed directions (vectors) and magnitudes  
 683 (color, cm/s) of the first baroclinic Rossby wave. (c) The speed difference (vectors)  
 684 between (a) and (b) superimposed on the winter mean absolute dynamic topography  
 685 (color, cm). (d), (e) and (f) are the same as (a), (b) and (c), respectively, but for the  
 686 summer.

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**Figure 3.** (a) Annual mean of eddy propagation speed directions (vectors) and magnitudes (color, cm/s). (b) Meridional distribution of the topographic  $\beta$  effect (color shading).

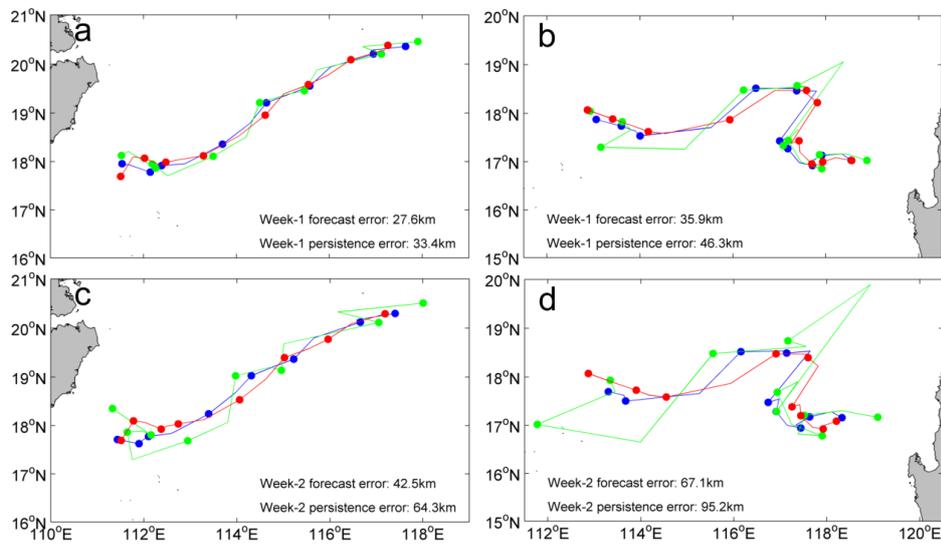


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696 **Figure 4.** (a) Schematic of persistence method. A, B, and C are three observed eddy  
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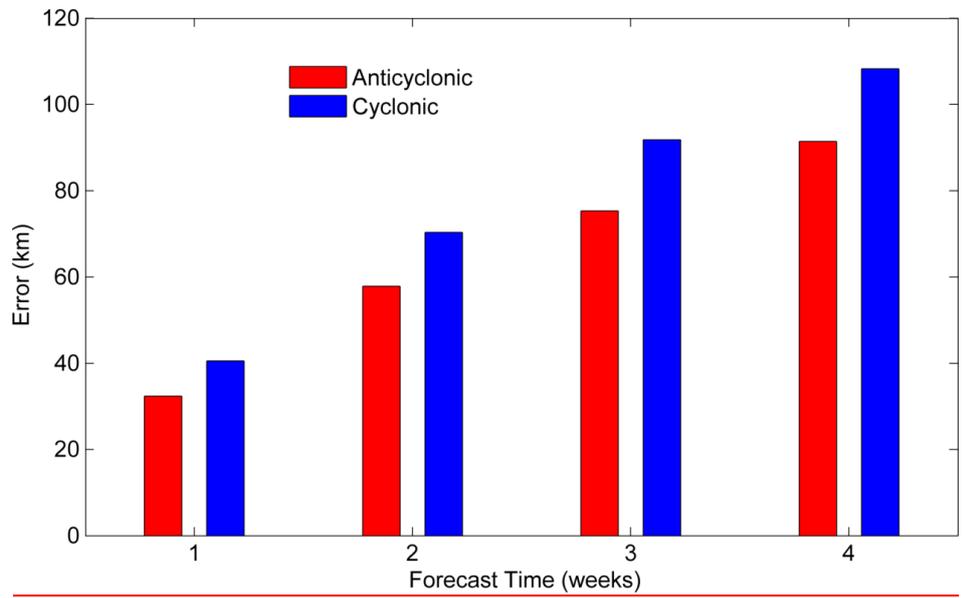
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704 **Figure 5.** A comparison of the satellite observed trajectory (red), the predicted  
705 trajectory by our model (blue) and persistence trajectory (green) at (a) week-1, (c)  
706 week-2. (b), (d) are the same as (a) and (c), respectively, but for a recurved trajectory.  
707 The biweekly eddy positions on each trajectory are shown by the solid circles.

708

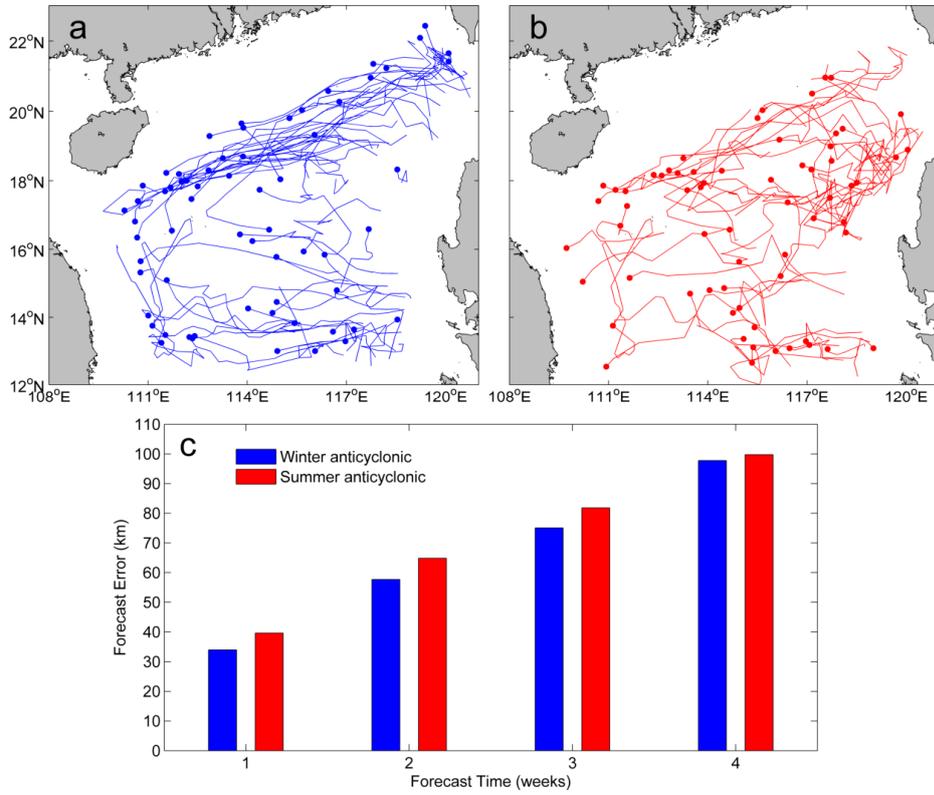


709

710 Figure 6. Comparison of the mean forecast errors between anticyclonic eddies (red)  
711 and cyclonic eddies (blue) over a 4-week window.

712

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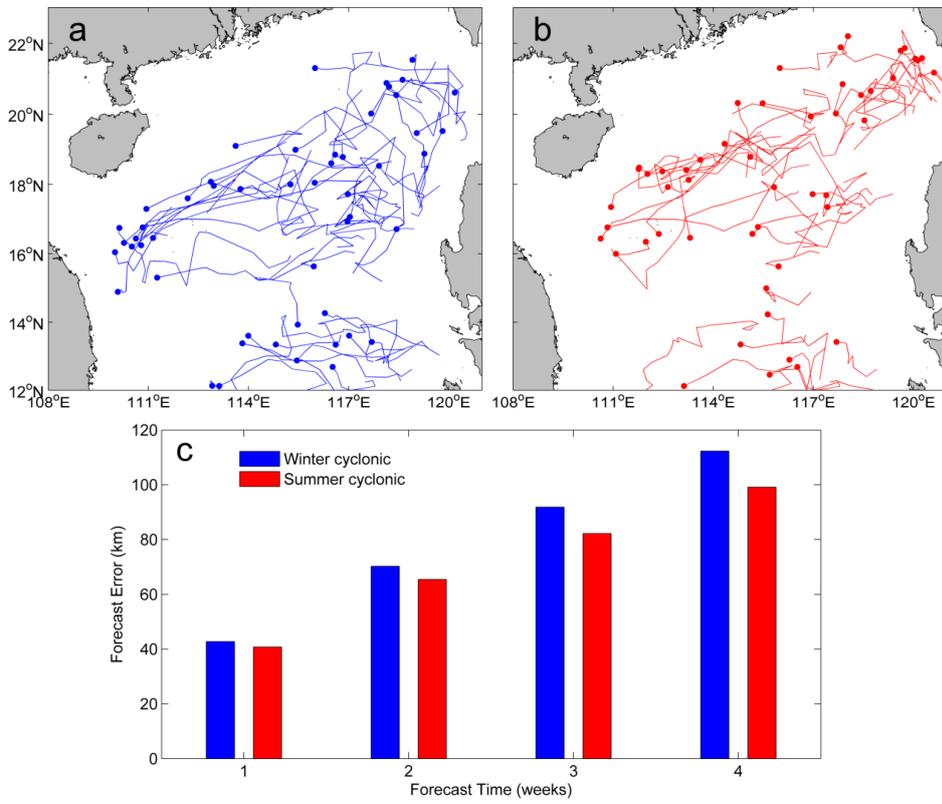


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716 **Figure 7.** The trajectories of anticyclonic eddies in (a) winter and (b) summer with  
 717 lifetime  $\geq 5$  weeks in the South China Sea (SCS). The solid circle represents the  
 718 ending position of each trajectory. (c) Comparison of their mean forecast errors over a  
 719 4-week window.

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**Figure 8.** The same as Fig. 6, but for the cyclonic eddies.

726

**Table 1. The Eight Predictands Used in the Predictive Model**

727

<u>Predictand</u>	<u>Symbol</u>
<u>1-week zonal displacement</u>	<u><math>DX_1</math></u>
<u>1-week meridional displacement</u>	<u><math>DY_1</math></u>
<u>2-week zonal displacement</u>	<u><math>DX_2</math></u>
<u>2-week meridional displacement</u>	<u><math>DY_2</math></u>
<u>3-week zonal displacement</u>	<u><math>DX_3</math></u>
<u>3-week meridional displacement</u>	<u><math>DY_3</math></u>
<u>4-week zonal displacement</u>	<u><math>DX_4</math></u>
<u>4-week meridional displacement</u>	<u><math>DY_4</math></u>

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730

**Table 2.** The Eight Predictors Used in the Predictive Model

731

<u>Predictor</u>	<u>Symbol</u>
<u>Initial longitude (LON)</u>	<u>P<sub>1</sub></u>
<u>Initial latitude (LAT)</u>	<u>P<sub>2</sub></u>
<u>Eddy zonal motion past 1-week (U_PAST)</u>	<u>P<sub>3</sub></u>
<u>Eddy meridional motion past 1-week (V_PAST)</u>	<u>P<sub>4</sub></u>
<u>Climatological eddy zonal motion from MCC (U_CLIM)</u>	<u>P<sub>5</sub></u>
<u>Climatological eddy meridional motion from MCC (V_CLIM)</u>	<u>P<sub>6</sub></u>
<u>Initial zonal absolute geostrophic flow (U_ADT)</u>	<u>P<sub>7</sub></u>
<u>Initial meridional absolute geostrophic flow (V_ADT)</u>	<u>P<sub>8</sub></u>

732

733

**Table 3.** Normalized Regression Coefficients  $a_{i,j}$  ( $b_{i,j}$ ) for Use with the Eddy Zonal (Meridional)

734

Motion Prediction Equation

735

	<u>j=1</u>	<u>j=2</u>	<u>j=3</u>	<u>j=4</u>
<u>i=1</u>	<u>-0.10 (0.03)</u>	<u>-0.14 (0.04)</u>	<u>-0.18 (0.05)</u>	<u>-0.24 (0.06)</u>
<u>i=2</u>	<u>0.10 (0.02)</u>	<u>0.13 (0.01)</u>	<u>0.16 (0.00)</u>	<u>0.18 (-0.03)</u>
<u>i=3</u>	<u>0.26 (0.00)</u>	<u>0.21 (0.03)</u>	<u>0.19 (0.07)</u>	<u>0.18 (0.09)</u>
<u>i=4</u>	<u>-0.02 (0.19)</u>	<u>-0.01 (0.10)</u>	<u>0.01 (0.08)</u>	<u>0.00 (0.08)</u>
<u>i=5</u>	<u>0.14 (0.09)</u>	<u>0.19 (0.13)</u>	<u>0.23 (0.16)</u>	<u>0.26 (0.16)</u>
<u>i=6</u>	<u>0.05 (0.17)</u>	<u>0.07 (0.23)</u>	<u>0.09 (0.26)</u>	<u>0.16 (0.27)</u>
<u>i=7</u>	<u>-0.05 (0.02)</u>	<u>-0.07 (0.02)</u>	<u>-0.07 (0.02)</u>	<u>-0.07 (0.03)</u>
<u>i=8</u>	<u>-0.03 (-0.07)</u>	<u>-0.01 (-0.08)</u>	<u>0.02 (-0.09)</u>	<u>0.04 (-0.09)</u>

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**Table 4.** Comparison of mean forecast distance errors (km) of the persistence, multiple linear regression (MLR), and artificial neural network (ANN) method

<u>Forecast weeks</u>	<u>Persistence</u>	<u>MLR</u>	<u>ANN</u>
<u>1</u>	<u>47.6</u>	<u>38.1</u>	<u>37.8</u>
<u>2</u>	<u>95.2</u>	<u>64.8</u>	<u>64.1</u>
<u>3</u>	<u>135.0</u>	<u>86.6</u>	<u>84.7</u>
<u>4</u>	<u>180.5</u>	<u>106.5</u>	<u>102.3</u>

741 **Table 5.** Statistics of our Multiple Linear Regression Model for Different Forecast Time of Eddy Propagation Positions in Terms of Longitudes (Latitudes)

742

<u>Forecast weeks</u>	<u>Total/Predicted Number of Points</u>	<u>RMSE, km</u>	<u>Correlation Coefficient</u>	<u>Mean Distance Error, km</u>
<u>1</u>	<u>2604/623</u>	<u>32.7 (29.5)</u>	<u>0.99 (0.99)</u>	<u>38.1</u>
<u>2</u>	<u>2310/549</u>	<u>55.1 (47.3)</u>	<u>0.97 (0.98)</u>	<u>64.8</u>
<u>3</u>	<u>2016/475</u>	<u>72.5 (61.4)</u>	<u>0.95 (0.97)</u>	<u>86.6</u>
<u>4</u>	<u>1722/401</u>	<u>89.2 (73.5)</u>	<u>0.93 (0.95)</u>	<u>106.5</u>

743 Note: the total/predicted number of points refers to the eddy positions at 7-day time interval in the whole/predicted eddy trajectories during 1992-2013/2009-2013;

744 the RMSE is the root mean square error between the predicted and the observed longitude (latitude).

745 **Responses to Referee # 1:**

746 Accurately forecasting the eddy propagation is a major challenge not only to consider  
747 the classes of response to atmospheric forcing but also considering the relative impact  
748 of the atmospheric forcing, updated boundary data and different ocean data types. The  
749 article of “A simple predictive model for the eddy propagation trajectory in the South  
750 China Sea” tries to build a predictive model using the multiple linear regression to  
751 predict the positions of the long-lifetime eddy tracks in the SCS.

752 *Response: We greatly appreciate the reviewer for the time spending on providing the  
753 valuable comments. We made every effort to clarify our results and improve our  
754 manuscript according to the comments. Next our response to each comment will be  
755 labeled in blue.*

756

757 Here, I disagree the reliability of this method applied to forecast the actual eddy track  
758 in the SCS because there are two main points:

759 1) The MCC method although more objective, still includes the assumption that  
760 displacements are translational and negate rotational and deformational motions,  
761 although Kamachi (1989) modified the MCC to include rotational effects. As they  
762 said these eight predictors: those from climatology and persistence and those  
763 “synoptic predictors” are geostrophic flows. Just like they found: “The synoptic  
764 predictors contribute less to the forecast equations comparing with persistence and  
765 climatology.”, which means it mostly depends on the persistent inputs. It could be  
766 more accurate to regard this model as a diagnosing or corrected persistent forecasting.

767 *Response: Thanks for the comment. During the past 20 years, mesoscale eddies in the  
768 South China Sea (SCS) have drawn much attention, and their statistical characteristics,  
769 generation mechanisms, and impact on the atmosphere and ocean have been widely  
770 studied (e.g., Wang et al., 2003; Chen et al., 2011; Li et al., 2017). However, studies  
771 on the forecast of eddies in the SCS are rare because of their complex dynamics and  
772 high nonlinearity. Just recently, Xu et al. (2018) used modern ocean dynamical model  
773 to predict two eddy cases in the northern SCS, found the eddy propagation paths can*

774 be predicted (forecast distance errors are 81-132 km from the third to fifth week) only  
775 when the eddy amplitude is larger than 8 cm. To the best of my knowledge, our work  
776 is the first attempt at forecasting the eddy propagation trajectories statistically in the  
777 SCS. Comparing to the dynamical method, our simple statistical method don't need  
778 boundary and forcing conditions and partial differential equation discretization, thus  
779 the computation is much faster than ocean models. Also our model is independent of  
780 eddy amplitude, and the forecast distance error is comparable with that of the  
781 dynamical model. Therefore, although our statistical model can be regarded as a  
782 diagnosing or corrected persistent forecasting model, it may provide an alternative  
783 and fast means for an operational forecast, which is especially useful to practical  
784 applications, such as naval military operation.

785

786 2) The currently results miss the independent validation. Page 4 Line 82-84: "To  
787 forecast the eddy trajectory 1-4 weeks in advance using the last position of the eddy,  
788 only eddies with a lifetime of 5 weeks or longer are retained in this study". It clearly  
789 shows the eddy tracks in 2009-2013 used for evaluation here have been artificially  
790 filtered, and results the underestimation of the related failure events. Consequently,  
791 this model cannot be regarded as a successfully predictive model and it is loss of  
792 enough values to be published on OS.

793 Response: Given the accuracy of satellite altimeter product and to avoid sporadic  
794 eddy events, eddy which lifetime is not shorter than 4 weeks is considered in the eddy  
795 detection and tracking (e.g., Chelton et al., 2011; Chen et al., 2011; Wang et al., 2003).  
796 Thus the 3<sup>rd</sup> release of the global eddy dataset used in this study discarded the eddies  
797 with lifetime shorter than 4 weeks by Chelton et al. (2011). To forecast the eddy  
798 trajectory 4 weeks in advance using the last position of the eddy, only eddies with a  
799 lifetime of 5 weeks or longer are retained. Table R1 lists the 1-3 week forecast results  
800 of the original eddy tracks with lifetime not shorter than 4 weeks and the "filtered"  
801 eddy tracks with lifetime not shorter than 5 weeks, which shows the forecast results  
802 are comparable and verify our predictive model is stable.

803

804 **Table R1.** Comparison of forecast distance errors (km) between the original eddy  
805 tracks with lifetime not shorter than 4 weeks and the filtered eddy tracks with lifetime  
806 not shorter than 5 weeks.

Forecast weeks	Original tracks	Filtered tracks
1	38.7	38.1
2	66.9	64.8
3	88.3	86.6

807

808 **Specific Comments:**

809 1) Before applying the MCC analysis to the images prepared, certain parameters  
810 describing the statistical method needed to be set like subwindow size, search window  
811 size as well as cross-correlation coefficient. What about their sensibilities? And finally  
812 what about the setting?

813 Response: Thanks for the comment. The MCC method used in this study is the same  
814 as that of Fu et al. (2006, 2009), which is a little different with that of Emery et al.  
815 (1986). In the method of Emery et al., the correlations of the image **in the subwindow**  
816 with all the neighboring ones in the whole window at the next time are computed, and  
817 the speed and direction of the maximum correlations can be estimated. While in the  
818 method of Fu et al., the correlations of the SLA **at a given location** with all the  
819 neighboring SLA at various time lags are computed, and the speed and direction of  
820 the maximum correlations can be estimated. The reason of their difference may be  
821 due to the low time-space resolution of SLA comparing with other infrared satellite  
822 images, such as AVHRR.

823

824 In the MCC method of Fu et al. (2009), the size of the time-space window for  
825 computing the correlations were determined by the time and space scales of interests.  
826 To focus on the global mesoscale eddy, the time lags were limited to less than 70 days  
827 and the dimension of the window was less than 400 km. However, the time lags  
828 should be limited to less than 42 days in the SCS, since many correlation coefficients

829 are below the 95% confidence level at larger time lags (Zhuang et al., 2010). Besides,  
830 Chen et al. (2011) found that eddies propagate with 5.0-9.0 cm/s in the northern SCS.  
831 Thus the search radius can be generally limited as 300 km ( $9.0 \text{ cm/s} \times 42 \text{ days} \approx 300$   
832 km) to reduce incidence of spurious MCC vectors. We add several sentences in the  
833 introduction of MCC method to clarify the parameters and their setting.

834

835 2) Here all SLA data and eddy dataset have a time resolution of 7 days. In fact, the  
836 new version based on the DT-2014 daily "two-sat merged" sea level anomaly (MSLA)  
837 fields (formerly referred to as the REF dataset) posted online by AVISO for the  
838 22-year period January 1993–April 2015. So using the daily dataset could be more  
839 interesting, and some new knowledge can be expected.

840 Response: Thanks for the comment. Since the maximum westward propagation speed  
841 is about 20 cm/s in the subtropics (Chelton et al., 2011), the maximum propagation  
842 distance in one day is about 17.3 km, which is less than one grid dimension (25 km)  
843 of AVISO SLA. This may cause some uncertainties in the eddy trajectory forecasting  
844 using the daily dataset. Therefore, the weekly SLA data is still used in the eddy  
845 forecasting exercises (Oey et al., 2005; Zeng et al., 2015, and Xu et al., 2018).

846

847 3) Chen et al. (2011) also find that “Eddy propagation in the western basin to the east  
848 of Vietnam is quite random, with no uniform propagate direction”. Are there some  
849 effects or comments to that?

850 Response: The generation mechanism of eddy in the western basin to the east of  
851 Vietnam is complicated. Many dynamical factors may contribute, such as the wind jet,  
852 the eastward current, the flow instability and the coastal and island topography. It is  
853 also found that eddies associated with the eastward current is under the impact of the  
854 wind jet, which shows intraseasonal variability (Xie et al., 2007). We suppose these  
855 underlying factors may cause eddies in this region propagate with no inform direction.

856

857 4) The right panels of Fig. 2 showing the differences which should keep the nan areas  
858 as in (a) and (d).

859 Response: Corrected in the revised manuscript.

860

861 5) Page 9, Line 217: “there are a total of 8 regression equations”? Could you provide  
862 the related formal or equations to clearly distinguish the explanatory variables, the  
863 response variables, and the input regression data sources?

864 Response: Thanks for the suggestion. The predicted zonal (meridional) displacement  
865  $DX$  ( $DY$ ) can be estimated using a multiple linear regression approach:

$$866 \quad DX_j = \sum_{i=1}^8 a_{i,j} P_i, \quad j = 1, 4$$

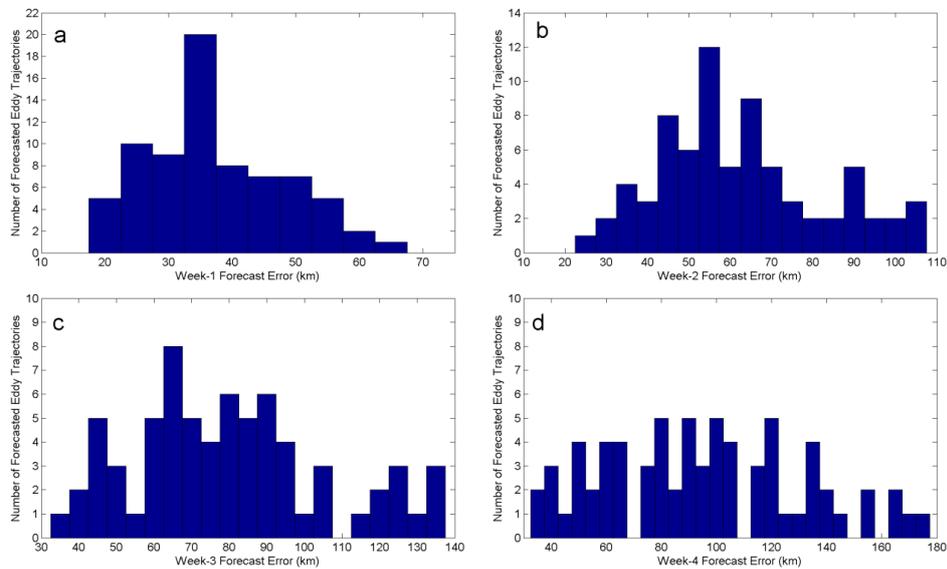
$$867 \quad DY_j = \sum_{i=1}^8 b_{i,j} P_i, \quad j = 1, 4$$

868 where the subscript  $j$  refers to the forecast interval (1-4 weeks), the subscript  $i$  refers  
869 to the serial number of eight normalized predictors ( $P$ ),  $a$  and  $b$  donate normalized  
870 regression coefficients of predictors onto  $DX$  and  $DY$ , respectively. To distinguish the  
871 input predictors, the forecasted variables, and the related regression equations clearly,  
872 we revise Table 2 and 3, add a new Table 1, and add Section 3.2 to describe these in  
873 the revised manuscript.

874

875 6) Figure 5 only shows one trajectory. Could you replaced by all trajectories in the  
876 SCS during the same time periods, which could be more objectively to explore the  
877 credibility of this method.

878 Response: Thanks for the suggestion. For the sake of concise layout of the paper, we  
879 only selected two cases from all the 74 forecasted results to show the comparison. To  
880 verify the credibility of this method, the forecast distance errors of all the predicted  
881 eddy trajectories over a 4-week window are shown in Figure R1.



882

883 **Figure R1.** Histogram of the forecast distance errors of all the predicted eddy  
 884 trajectories at week-1 (a), week-2 (b), week-3 (c) and week-4 (d).

885

886 7) The predictive equation should explicitly presented in text. Although the effects of  
 887 planetary beta and mean flow advection are highlighted many times, the quantitative  
 888 effect on the inputs or the predictive equations still are not clear.

889 **Response:** Thanks for the suggestion. (1) In the revised manuscript, the predictive  
 890 regression equations have been presented in two equations of Section 2.3, and their  
 891 coefficients have been shown in Table 3 in the revised version. (2) Actually, the  
 892 climatological eddy zonal and meridional motions ( $U_{CLIM}$   $V_{CLIM}$ ) derived from  
 893 the MCC method consist of the effects of beta and the mean flow advection. We have  
 894 decompose  $U_{CLIM}$  and  $V_{CLIM}$  into these factors and incorporate them into the  
 895 regression model, but found no improvement of the forecast skill. We add several  
 896 sentences in Section 3.2 to clarify it.

897

898 8) Page 5, Line 99: whether the cross-correlations have been normalized by the  
 899 variances of the two time series?

900 **Response:** Yes, the cross-correlations have been normalized by the variances of the

901 two time series.

902

903 9) Page 6, Figure 2 only show at north of 12N. Does it mean this study only  
904 investigate the eddy tracks in the northern SCS. If right, the concerned statement and  
905 title should be replaced by the northern SCS.

906 Response: Yes, this study only investigates the eddy tracks in the northern SCS. We  
907 have revised the statement and title using the northern SCS (NSCS).

908

909 10) Page 9 Line 198: Are the climatological eddy motions divided into 12 months or  
910 only annual mean?

911 Response: The climatological eddy motions are divided into four seasons (winter:  
912 12-2, spring: 3-5, summer: 6-8, autumn: 9-11), since the mean flow and associated  
913 eddy propagation in the SCS have seasonal variability. We add several sentences in  
914 Section 2.2 to clarify it.

915

916 11) Based on the 17 years (1992-2009) of satellite altimeter data, Chen et al. (2011)  
917 identified 827 eddy (lifetime  $\geq 28$  days) tracks in the SCS. However, here uses 1981  
918 eddy trajectories during 1992-2008. Why there are so big gap between them?

919 Response: Thank you for the comment. 1981 is the number of eddy trajectory  
920 segments (a segment refers to the distance between two neighboring eddy center  
921 positions at 7-day interval on a single eddy trajectory), not eddy number. We add one  
922 sentence in this paragraph to explain this.

923

924 12) The eddy forecast error has been discussed by Hurlburt et al. (2008). Related to  
925 the previous evaluation, it is valuable to comment.

926 E. Hurlburt, Harley Chassignet, Eric A. Cummings, James Birol Kara, A Metzger, E F.  
927 Shriver, Jay Smedstad, Ole J. Wallcraft, Alan N. Barron, Charlie. (2008).  
928 EddyResolving Global Ocean Prediction. Washington DC American Geophysical  
929 Union Geophysical Monograph Series. 353-381. 10.1029/177GM21.

930 Table 2: Eddy center location errors in ocean prediction models compared to ocean

931 color from SeaWiFS in the northwestern Arabian Sea and Gulf of Oman

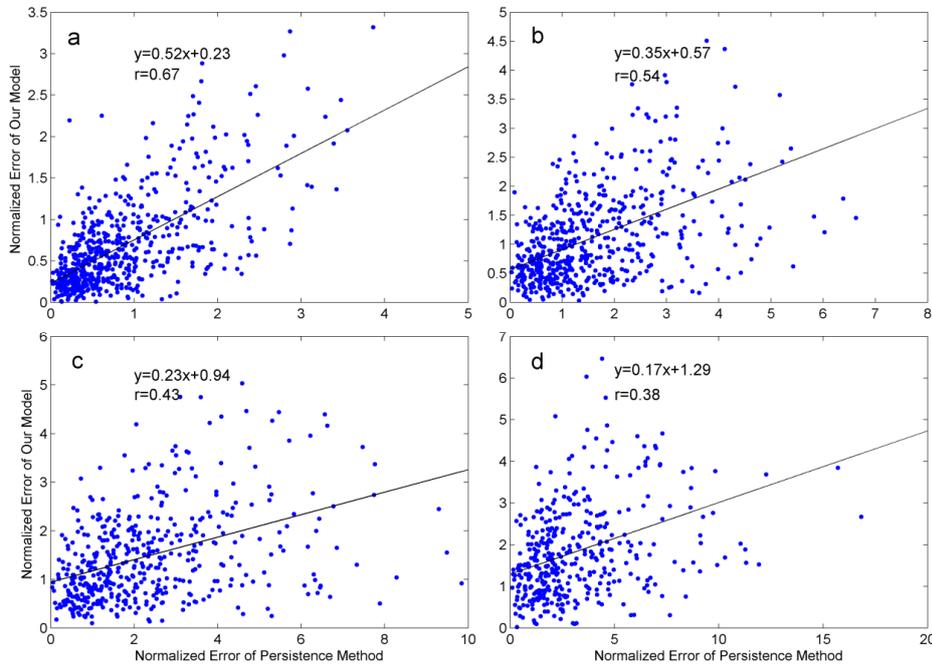
932 Response: Thanks for the comment. Because mesoscale eddies are often associated  
933 with strong nonlinear processes and their dynamical mechanisms are quite different,  
934 the operational forecast of eddies has been a big challenge to ocean numerical model.  
935 Much progress has been made in recent years in eddy-resolving ocean prediction.  
936 With the data assimilation and the increasing of model resolution, the model increases  
937 forecast skill. Eddy center position daily forecast errors in the northwestern Arabian  
938 Sea and Gulf of Oman is 44-68 km in 1/12° global HYCOM model, and reaches to  
939 22.5-37 km in 1/32° NLOM model (Hurlburt et al., 2008). The forecast skill and  
940 predictability of dynamical models can only be increased by better assimilation  
941 schemes (initialization), sufficient data (especially the subsurface), and improving  
942 resolution (physics and computing power). We have added this reference and some  
943 sentences in the second paragraph of Section 1 in the revised manuscript.

944

945 13) In this study, the distance errors are presented by degree or km only. The relative  
946 error considering the eddy radius is more important to directly understanding the  
947 uncertainty.

948 Response: Thanks for the comment. Actually, we once considered the relative errors  
949 by normalizing the forecast distance errors with the Rossby radius on each forecast  
950 grid. Figure R2 shows the differences and correlation of relative errors between the  
951 persistence method and the proposed method over 4-week forecast window. Their  
952 correlation decreases from 0.67 at week-1 to 0.38 at week-4. This conclusion based on  
953 the relative errors is consistent with that of the comparison of forecast distance errors  
954 between the two methods: although the persistence forecast trajectory at week-1 is  
955 relatively consistent with the observation, the persistence method cannot forecast the  
956 eddy trajectories properly when the forecast horizon increases. Considering the  
957 forecast distance errors presented by km have been widely accepted by operational  
958 ocean eddy forecasting (e.g., Oey et al., 2005; Zeng et al., 2015) and tropical cyclone  
959 track forecasting (e.g., Aberson et al., 2003; Ali et al., 2007), the forecast distance  
960 errors by km is still used in the evaluation of forecast performance for the

961 convenience of common readers.



962

963 **Figure R2.** Scatterplot of the normalized forecast distance errors of persistence  
964 method vs. the normalized forecast distance errors of out linear regression model with  
965 best fit linear regression at week-1 (a), week-2 (b), week-3 (c) and week-4 (d).

966

967

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1008 **Responses to Referee # 2:**

1009 The submitted work proposes a regression model to forecast the trajectories of eddies  
1010 in the South China Sea. The method is based on using the velocity field obtained  
1011 through the Maximum Cross Correlation technique applied to sea level anomalies as  
1012 capturing the combination of several dynamical components (self-propagating beta  
1013 effect, advection by mean flow, etc.). The basic dynamical idea of applying the MCC  
1014 to altimetry to analyze trajectories of eddies was introduced several years ago (e.g.  
1015 L.L. Fu JGR, 2006). The novelty here is to go a step forward to develop a linear  
1016 regression model to forecast such trajectories and assuming some dynamical elements  
1017 affecting eddies propagation. The authors compare their approach against forecasting  
1018 using a "persistence" approach.

1019 [Response: Thanks so much for the helpful comments. We made every effort to clarify](#)  
1020 [our results and improve our manuscript according to your comments. Next our](#)  
1021 [response to each comment will be labeled in blue.](#)

1022

1023 From my point of view, there are not great concerns on the scientific content of the  
1024 paper. The authors discuss quite adequately the main assumptions leaving for future  
1025 work potential refinements of their methodology. However, the major drawback when  
1026 one is trying to provide a forecast method is to analyze with major detail the  
1027 robustness in the choice of parameters.

1028 [Response: During the past 20 years, mesoscale eddies in the South China Sea \(SCS\)](#)  
1029 [have drawn much attention, and their statistical characteristics, generation](#)  
1030 [mechanisms, and impact on the atmosphere and ocean have been widely studied \(e.g.,](#)  
1031 [Wang et al., 2003; Chen et al., 2011; Li et al., 2017\). However, studies on the forecast](#)  
1032 [of eddies in the SCS are rare because of their complex dynamics and high nonlinearity.](#)  
1033 [Just recently, Xu et al. \(2018\) used modern ocean dynamical model to predict two](#)  
1034 [eddy cases in the northern SCS, found the eddy propagation paths can be predicted](#)  
1035 [only when the eddy amplitude is larger than 8 cm. To the best of my knowledge, our](#)  
1036 [work is the first attempt at forecasting the eddy propagation trajectories statistically in](#)

1037 the SCS, and our forecasted results (forecast distance error is 86.6-106.5 km from the  
1038 third to fourth week) are comparable with those of dynamical model (forecast  
1039 distance error is 81-132 km from the third to fifth week). Comparing to the dynamical  
1040 method, our simple statistical method don't need boundary and forcing conditions and  
1041 partial differential equation discretization, thus the computation is much faster than  
1042 ocean models. Also our model is independent of eddy amplitude, and the forecast  
1043 distance error is comparable with that of the dynamical model. Therefore, our study  
1044 may provide an alternative and fast means for an operational forecast, which is  
1045 especially useful to practical applications, such as naval military operation.

1046

1047 There are some aspects the authors should present more carefully:

1048 \* The different choices of window search and time lag. The authors indicate the upper  
1049 sizes of such values for the mesoscale in SCS (lines 107-109) but some quantitative  
1050 indications on how it affects the results is necessary.

1051 Response: Thanks for the comment. The MCC method used in this study is the same  
1052 as that of Fu et al. (2006, 2009), which is a little different with that of Emery et al.  
1053 (1986). In the method of Emery et al., the correlations of the image **in the subwindow**  
1054 with all the neighboring ones in the whole window at the next time are computed, and  
1055 the speed and direction of the maximum correlations can be estimated. While in the  
1056 method of Fu et al., the correlations of the SLA **at a given location** with all the  
1057 neighboring SLA at various time lags are computed, and the speed and direction of  
1058 the maximum correlations can be estimated. The underlying reason of their difference  
1059 may be due to the low time-space resolution of SLA comparing with other satellite  
1060 images, such as AVHRR.

1061

1062 In the MCC method, the size of the time-space window for computing the correlations  
1063 were determined by the time and space scales of interests. To focus on the global  
1064 mesoscale eddy, the time lags were limited to less than 70 days and the dimension of  
1065 the window was less than 400 km. However, the time lags should be limited to less  
1066 than 42 days in the SCS, since many correlation coefficients are below the 95%

1067 confidence level at larger time lags (Zhuang et al., 2010). Besides, Chen et al. (2011)  
 1068 found that eddies propagate with 5.0-9.0 cm/s in the northern SCS. Thus the search  
 1069 radius can be generally limited as 300 km ( $9.0 \text{ cm/s} \times 42 \text{ days} \approx 300 \text{ km}$ ) to reduce  
 1070 incidence of spurious MCC vectors. We add several sentences in the introduction of  
 1071 MCC method to clarify the parameters and their setting.

1072

1073 \* The regression coefficients are computed over a limited temporal interval  
 1074 (1992-2008). The authors should analyze the stability of these coefficients as a  
 1075 function of the chosen time interval and how the results depend on it.

1076 Response: Thanks for the comment. Two sensitive forecast experiments (Table R1) in  
 1077 different temporal intervals were performed to further evaluate the stability and  
 1078 effectiveness of our model. As we can see, when the regressed temporal interval is  
 1079 shorter than that of this study, the number of eddy trajectories for the regressing is  
 1080 expected less and the forecast errors increases 0.5-3.9 km over 1-4 week forecast  
 1081 window. When the regressed temporal interval is longer than that of this study, the  
 1082 number of eddy trajectories for the regressing is expected larger and the forecast  
 1083 errors decreases 0.3-3.2 km over 1-4 week forecast window. The forecast errors have  
 1084 small fluctuation (The stand deviation (Sd) is relatively small from 0.4-3.6 over 1-4  
 1085 weeks), indicating the stability and effectiveness of the results of our model.

1086

1087 **Table R1.** Settings of two sensitive forecast experiments and our study

	Regressed Time Interval	Regressed Eddy Trajectory No.	Predicted Time Interval	Predicted Eddy Trajectory No.
Exp1	1992-2006	247	2007-2013	117
Exp2	1992-2010	321	2011-2013	43
This study	1992-2008	283	2009-2013	81

1088

1089 **Table R2.** Comparison of forecast distance errors (km) of two sensitive forecast  
 1090 experiments and our study

	Week-1	Week-2	Week-3	Week-4
Exp1	38.6	65.6	88.2	110.4
Exp2	37.8	63.9	84.3	103.3
This study	38.1	64.8	86.6	106.5
Sd	0.4	0.9	2.0	3.6

1091

1092 \* The regression model introduces a climatological term  $U\_CLIM$  estimated from the  
 1093 MCC. How this climatology is built remains unclear? Is a mean over the same  
 1094 regression period (weekly, monthly, seasonal, ....)? How it depends on such  
 1095 climatology? Such questions should be clarified in a quantitative way.

1096 [Response: Thanks for the comment. Since the mean flow and associated eddy](#)  
 1097 [propagation in the SCS have pronounced seasonal variability, we followed Zhuang et](#)  
 1098 [al. \(2010\) and divided the weekly SLA data from 1992 to 2013 into four groups](#)  
 1099 [according to four seasons \(winter: 12-2, spring: 3-5, summer: 6-8, autumn: 9-11\).](#)  
 1100 [Then the seasonal climatological propagation velocities \( \$U\\_CLIM\$ ,  \$V\\_CLIM\$ \) can be](#)  
 1101 [estimated in the same seasonal group using the MCC method of Fu \(2006, 2009\).](#)  
 1102 [We add several explanations in this Section 2.2 to clarify it.](#)

1103

1104 Finally, the structure of the paper is decompensated with a central section ("Results")  
 1105 that mixes the methodological approach, the results and discussions. The "Data and  
 1106 Methods" section (section 2) include two subsections devoted to present datasets and  
 1107 to explain the MCC respectively, while the forecasting model is presented in detail in  
 1108 subsection 3.2 "Model Development" as part of the Results section (section 3). MCC  
 1109 is relevant for the system they propose but is just one of the elements of their  
 1110 methodology and is a well-known classical method in the context of the oceanography.  
 1111 Thus my suggestion is that the regression model should immediately follow the MCC  
 1112 description, both elements more coherently integrated in the "Data and methods"  
 1113 section and leaving the Results section to show the performance of the forecasting  
 1114 system.

1115 Response: Thanks for the suggestion. We add a new Section 2.3: The Multiple Linear  
1116 Regression Model in the "Data and methods" section to describe the regression model.  
1117 Considering the importance of the selection of the predictors based on the dynamical  
1118 analysis, we add a new Section 3: "Dynamics of Eddy Propagation in the NSCS and  
1119 Choice of Predictors", and leave Section 4: "Performance of the Multiple Regression  
1120 Model" to show the performance of the forecasting system exclusively in the revised  
1121 version.

1122

1123 **Apart from these considerations I have the following list of small comments:**

1124 Section 2.2 and 3.1 (but also in the whole manuscript): In the text there is an abuse of  
1125 the "eddy" word. Sometimes "eddy" is used in the context of deviation respect to a  
1126 statistical mean while sometimes is used to refer to a dynamical coherent structure (an  
1127 eddy). The MCC velocity field as applied to SLA maps is not only representative of  
1128 the evolution of coherent eddies but also include many other structures as waves,  
1129 filaments, fronts, etc. that may also evolve and propagate. Thus the velocity field are  
1130 not necessarily the velocity of eddies understood as coherent structures alone. This  
1131 must be mentioned and a careful use of the word "eddy" along the whole manuscript  
1132 should be checked to avoid misinterpretations.

1133 Response: Thank you for the comment. Yes, the MCC method cannot distinguish the  
1134 various forms of mesoscale variability, such as filaments, fronts, and planetary waves.  
1135 In the study of the pattern and velocity of global ocean eddies, Fu (2009) pointed out:  
1136 when the space and time lags of the correlation analysis are chosen for the mesoscales,  
1137 the MCC estimated velocities can represent the speed and direction of the propagation  
1138 of ocean eddies. Chelton et al. (2011) compared the latitudinal variation of the mean  
1139 eddy speed computed from the global eddy trajectories with that from the MCC  
1140 method of Fu (2009), and found they are comparable well.

1141

1142 To focus on the global mesoscale eddy, Fu (2009) chose less than 70 days as the time  
1143 lags and less than 400 km as the dimension of the window. In this work, the time lags  
1144 should be limited to less than 42 days in the SCS, since many correlation coefficients

1145 are below the 95% confidence level at larger time lags (Zhuang et al., 2010). The  
1146 search radius can be generally limited as 300 km ( $9.0 \text{ cm/s} \cdot 42 \text{ days} \approx 300 \text{ km}$ , since 9  
1147 cm/s is the maximum eddy speed in the northern SCS (Chen et al. (2011) )) .We add  
1148 several sentences in the introduction of MCC method to explain this.

1149

1150 Section 3.1 (fig. 2b, e and lines148-165): Perhaps I'm wrong but I don't appreciate  
1151 much differences between the winter and summer distributions of the phase speed of  
1152 the first baroclinic Rossby wave. I may suppose that it is because at such latitudes the  
1153 seasonal stratification does not change too much? A small comment may guide the  
1154 general readers.

1155 Response: Good comment. Yes, the difference between the winter and summer  
1156 distributions of the phase speed of the first baroclinic Rossby wave is relatively small.  
1157 The underlying reason is that the variation of seasonal stratification in the upper layer  
1158 has little effect on the seasonal distribution of the first baroclinic Rossby deformation  
1159 radius (Chelton et al, 1998, Cai et al., 2008). We add this comment and two references  
1160 in the revised manuscript to guide the general readers.

1161

1162 Section 3.2 Model Description. It is needed to introduce the opportune equations  
1163 representing the linear regression model with the variables involved besides of listing  
1164 them in table 2.

1165 Response: Thanks for the suggestion. The predicted zonal (meridional) displacement  
1166  $DX(DY)$  can be estimated using a multiple linear regression approach:

$$1167 \quad DX_j = \sum_{i=1}^8 a_{i,j} P_i, \quad j=1,4$$

$$1168 \quad DY_j = \sum_{i=1}^8 b_{i,j} P_i, \quad j=1,4$$

1169 where the subscript  $j$  refers to the forecast interval (1-4 weeks), the subscript  $i$  refers  
1170 to the serial number of eight normalized predictors ( $P$ ),  $a$  and  $b$  donate normalized  
1171 regression coefficients of predictors onto  $DX$  and  $DY$ , respectively. To distinguish the  
1172 input predictors, the forecasted variables, and the related regression equations clearly,

1173 To introduce the opportune equations representing the linear regression model, we  
1174 revise Table 1 and 3, add a new Table 2 and add a new Section 2.3 in the revised  
1175 manuscript.

1176

1177 Section 3.2: If I have understood, the MCC fields introduced into U\_CLIM and  
1178 V\_CLIM are the characteristic mean from the whole altimetric period computed at  
1179 intervals of 1 week? Please may you clarify it? See the remark above.

1180 Response: Yes, U\_CLIM and V\_CLIM are the characteristic mean estimated using the  
1181 MCC. Since the mean flow and associated eddy propagation in the SCS have  
1182 pronounced seasonal variability, we followed Zhuang et al. (2010) and divided the  
1183 weekly SLA data from 1992 to 2013 into four groups according to four seasons  
1184 (winter: 12-2, spring: 3-5, summer: 6-8, autumn: 9-11). Then the seasonal  
1185 climatological propagation velocities (U\_CLIM, V\_CLIM) can be estimated from the  
1186 same seasonal group at intervals of 1 week using the MCC method of Fu (2006, 2009).  
1187 We add several explanations in this Section 2.2 to clarify it.

1188

1189 Section 3.2: The initial step in the forecasting procedure is to provide an initial  
1190 starting point of a given eddy. How is this provided, manually upon a first visual  
1191 inspection of maps or using some method to automatically identify coherent structures  
1192 in SLA maps? Please precise.

1193 Response: Thanks for the comment. We used the SCS eddy trajectory data derived  
1194 from the 3rd release of the global eddy dataset.  
1195 (<http://cioss.coas.oregonstate.edu/eddies/>). This eddy dataset is developed based on  
1196 the weekly AVISO SLA data by Chelton et al. (2011), and contains several parameters  
1197 of the detected eddies at 7-day time interval, such as: eddy positions, eddy radius,  
1198 eddy amplitude. We have clarified this in the Section 2.1 in the revised manuscript.

1199

1200 Line 207: How the predictands and predictors are normalized? please explain.

1201 Response: Thank you for the comment. Suppose  $X$  is the time series of one predictor  
1202 (or predictand), it is normalized by:

1203

$$X^* = \frac{X - \mu}{\sigma}$$

1204 where,  $X^*$  is the normalized  $X$ ,  $\mu$  is the mean of  $X$ , and  $\sigma$  is the sample standard  
1205 deviation. We add one sentence in the text to explain it.

1206

1207 Line 217: "There are a total of 8 regression equations...", please see the comments  
1208 above.

1209 Response: We add two Equations in Section 2.3 to show the opportune regression  
1210 equations with the input predictors, the forecasted variables, and the related equations  
1211 coefficients listed in Table 1, 2, and 3 in the revised manuscript.

1212

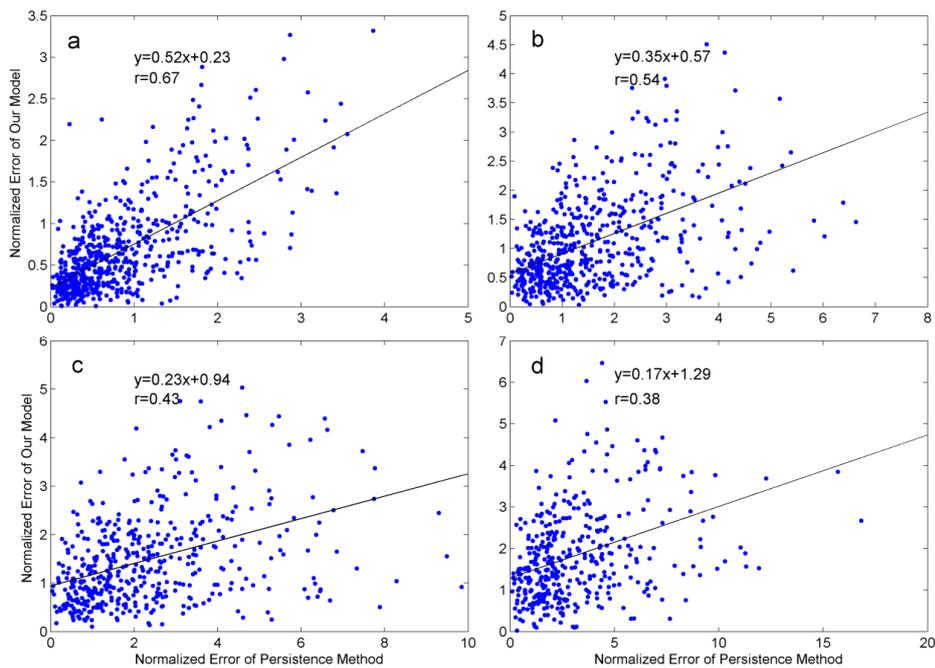
1213 Lines 232 and following and Table 3: The table caption and the description of the  
1214 parameters listed in the table are not enough detailed. Why the RMSE is given in  
1215 degrees? The use of parentheses in the table whether they mean latitudes, persistence  
1216 or predicted may somehow confuse the reader. Please clarify it and try to make a more  
1217 detailed description in the table caption which is extremely synthetic. An interesting  
1218 way of presenting the differences between the persistence method and the proposed  
1219 method could be to normalize distances with the Rossby radius on grid size in order to  
1220 see if their differences are relevant or not.

1221 Response: Thanks for the helpful comment. According to the comment, we make  
1222 three changes in Table 5 in the revised version (Table 3 in the older version): (1) One  
1223 note describing the parameters below the table is added below the table in the revised  
1224 version; (2) The RMSE is given in km, which is consistent with mean distance error;  
1225 (3) To make this table more clearly, the forecast results of the persistence method is  
1226 removed from this table, and incorporated into the new Table 4 in the new version.

1227

1228 We follow the last suggestion and compare the differences between the persistence  
1229 method and the proposed method by normalizing distances with the Rossby radius on  
1230 each forecast grid over 4-week forecast window. As can be seen from Figure R1, their  
1231 correlation decreases from 0.67 at week-1 to 0.38 at week-4. This further verifies the

1232 result of the comparison of forecast distance errors between the two methods:  
 1233 although the persistence forecast trajectory at week-1 is relatively consistent with the  
 1234 observation, the persistence method cannot forecast the eddy trajectories properly  
 1235 when the forecast horizon increases. We add several sentences to show this point in  
 1236 the revised manuscript.



1237  
 1238 **Figure R1.** Scatterplot of the normalized forecast distance errors of persistence  
 1239 method vs. the normalized forecast distance errors of our linear regression model with  
 1240 best fit linear regression at week-1 (a), week-2 (b), week-3 (c) and week-4 (d).

1241  
 1242 Summary and Discussion: The authors only test the performance on seasonality and  
 1243 polarity but perhaps other processes as dissipation, merging or splitting which can be  
 1244 quite common and linked to eddy dynamical parameters as for example vorticity may  
 1245 affect the performance. Some comments or discussions on that should be welcome in  
 1246 this section but some examples on how the forecast are in such cases could also be  
 1247 illustrated.

1248 **Response:** Thanks for the comment. Actually, in the developing process of our model,

1249 we have tried to incorporate the eddy dynamical parameters, such as eddy radius,  
1250 eddy amplitude, eddy rotational speed, and eddy vorticity into our model, but sadly,  
1251 no improvements have been shown. The underlying reasons may be that the  
1252 propagation of eddies are related to strong nonlinear processes, which have not been  
1253 fully understood and resolved.

1254

1255 Another possible improvement in the model forecast skill is to use artificial neural  
1256 network (ANN) in developing the forecast model. ANN has been successfully used in  
1257 the predicting cyclone tracks (Ali et al., 2007) and loop current variation (Zeng et al,  
1258 2015), and the salinity profile estimation from satellite surface observations (Bao et  
1259 al., 2019). ANN can represent both linear and non-linear relationships learned directly  
1260 from the data being modeled. It mainly contains three layers: the input layer, the  
1261 hidden layer, and the output layer. To be consistent with the multiple linear regression,  
1262 the input layer also includes the same eight predictors, and the output layer includes  
1263 the two predictands. The hidden layer consists of two layers of neural variables.  
1264 Through iterations on backward propagation of the error, the neural network learns by  
1265 itself to achieve an optimum weighting function and a minimum error. The forecast  
1266 errors of ANN for 1-4 weeks are listed in Table R3. We can see that some  
1267 improvements (0.3-4.2 km during 1-4 weeks forecast horizon) have been shown  
1268 comparing with linear regression method. We add these sentences in the Summary  
1269 and Discussion Section of the revised manuscript.

1270 **Table R3.** Comparison of forecast distance errors (km) of three methods

Forecast weeks	Persistence	Linear Regression	ANN
1	47.6	38.1	37.8
2	95.2	64.8	64.1
3	135.0	86.6	84.7
4	180.5	106.5	102.3

1271

1272 **Figures:**

1273 In fig 1 the subplot C is part of the results and I recommend to move it to the results  
1274 section.

1275 Response: Good suggestion. We move subplot C in Figure 1 to the results section as  
1276 new Figure 6 in the revised version.

1277

1278 Fig 2 is very small in size and hard to appreciate the velocity fields.

1279 Response: Thanks for the comment. We redraw Figure 2 with the 2\*3 subplots to  
1280 enlarge each panel and make the velocity fields more distinguishable.

1281

1282

### 1283 **References:**

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1317

1318

1319 **Responses to Referee # 3:**

1320 Accurately forecasting eddy propagation is a major challenge, requiring one not only  
1321 to consider the classes of response to atmospheric forcing, but to also consider the  
1322 relative impact of atmospheric forcing, updated boundary data and different ocean  
1323 data types. The article of “A simple predictive model for the eddy propagation  
1324 trajectory in the South China Sea” tries to build a predictive model using multiple  
1325 linear regression to predict the positions of long-lifetime eddy tracks in the SCS.

1326 **Response:** We greatly appreciate the reviewer for the time spending on providing the  
1327 valuable comments. We made every effort to clarify our results and improve our  
1328 manuscript according to the comments. Next our response to each comment will be  
1329 labeled in blue.

1330

1331 Here, I have some concerns about the reliability and applicability of the model:

1332 1) As presented here the MCC method, although more objective, still includes the  
1333 assumption that displacements are translational. It should be acknowledged that  
1334 Kamachi (1989) modified the MCC to include rotational effects. Deformational  
1335 effects should also be discussed. The dependence of the predictive trajectory on the  
1336 parameters used also needs to be explained clearly.

1337 **Response:** Thanks for the comment. The MCC method used in this study is the same  
1338 as that of Fu et al. (2006, 2009), which is a little different with that of Emery et al.  
1339 (1986). In the method of Emery et al., the correlations of the image **in the subwindow**  
1340 with all the neighboring ones in the whole window at the next time are computed, and  
1341 the speed and direction of the maximum correlations can be estimated. While in the  
1342 method of Fu et al., the correlations of the SLA **at a given location** with all the  
1343 neighboring SLA at various time lags are computed, and the speed and direction of  
1344 the maximum correlations can be estimated. The reason of their difference may be  
1345 due to the low time-space resolution of SLA comparing with other infrared satellite  
1346 images, such as AVHRR.

1347

1348 In the MCC method of Fu et al., the size of the time-space window for computing the  
 1349 correlations were determined by the time and space scales of interests. To focus on the  
 1350 global mesoscale eddy, the time lags were limited to less than 70 days and the  
 1351 dimension of the window was less than 400 km. However, the time lags should be  
 1352 limited to less than 42 days in the SCS, since many correlation coefficients are below  
 1353 the 95% confidence level at larger time lags (Zhuang et al., 2010). Besides, Chen et al.  
 1354 (2011) found that eddies propagate with 5.0-9.0 cm/s in the northern SCS. Thus the  
 1355 search radius can be generally limited as 300 km ( $9.0 \text{ cm/s} \times 42 \text{ days} \approx 300 \text{ km}$ ) to  
 1356 reduce incidence of spurious MCC vectors. We add several sentences in the  
 1357 introduction of MCC method to clarify the parameters and their setting.

1358

1359 2) As the core novelty in this study, the regression equations need to be clearly  
 1360 presented. This is important for other users or readers to independently validate or to  
 1361 further improve the method in real conditions.

1362 Response: Thanks for the suggestion. The predicted zonal (meridional) displacement  
 1363  $DX$  ( $DY$ ) can be estimated using a multiple linear regression approach:

$$1364 \quad DX_j = \sum_{i=1}^8 a_{i,j} P_i, \quad j=1,4$$

$$1365 \quad DY_j = \sum_{i=1}^8 b_{i,j} P_i, \quad j=1,4$$

1366 where the subscript  $j$  refers to the forecast interval (1-4 weeks), the subscript  $i$  refers  
 1367 to the serial number of eight normalized predictors ( $P$ ),  $a$  and  $b$  donate normalized  
 1368 regression coefficients of predictors onto  $DX$  and  $DY$ , respectively. We add Section  
 1369 2.3 to describe the multiple linear regression method and the regression equations  
 1370 with the coefficients listed in Table 3.

1371

1372 3) In discussing the eight predictors (Lines 211-13): “The synoptic predictors  
 1373 contribute less to the forecast equations comparing with persistence and climatology”.  
 1374 Does it mean that the forecast mostly depends on the persistent inputs and  
 1375 climatology? And then, are the  $U_{\text{clim}}$  and  $V_{\text{clim}}$  derived from the MCC method

1376 and the history trajectories from 1992-2013? Please clarify.

1377 **Response:** Thanks for the comment. (1) Yes, the forecast mostly depends on the  
1378 persistence and climatology. We suppose it may be that the week to week variations  
1379 are too large so the representation of the initial U\_ADT and V\_ADT to the actual  
1380 velocities in the 4-week window is not as good as the U\_CLIM and V\_CLIM. (2) The  
1381 climatological eddy zonal and meridional motions (U\_CLIM V\_CLIM) are derived  
1382 from SLA data (not historical eddy trajectories) from 1992 to 2013 using the  
1383 space-time lagged MCC method. We add some sentences in the first paragraph of  
1384 Section 3.2 to clarify it.

1385

1386 4) Another point is the accounting for the Beta effect (Lines:198-200) in the  
1387 predictors (U\_clim, V\_clim). The associated figure and illustration verified the  
1388 importance of the effect, but how to modify the predictors is not clear. Please  
1389 comment.

1390 **Response:** Actually, the climatological eddy zonal and meridional motions (U\_CLIM  
1391 V\_CLIM) derived from the MCC method consist of the effects of beta and the mean  
1392 flow advection. We have tried to decompose U\_CLIM and V\_CLIM into these factors  
1393 and incorporate them into the regression model, but found no improvement of the  
1394 forecast skill. We add several sentences in Section 3.2 to explain it.

1395

1396 5) The current predictive model needs full independent validation. Page 4 Line 82- 84:  
1397 “To forecast the eddy trajectory 1-4 weeks in advance using the last position of the  
1398 eddy, only eddies with a lifetime of 5 weeks or longer are retained in this study”. It  
1399 clearly shows the eddy tracks in 2009-2013 used for evaluation here have been  
1400 artificially filtered, and together with the above point 3 I think the model limit is only  
1401 used for long-life eddy and the current results can be regarded as hindcast rather than  
1402 prediction. So I suggest the authors consider using the current regression model to  
1403 validate the new trajectories after 2013.

1404 **Response:** Thanks for the comment. Given the accuracy of satellite altimeter product  
1405 and to avoid sporadic eddy events, eddy which lifetime is not shorter than 4 weeks is

1406 considered in the eddy detection and tracking (e.g., Chelton et al., 2011; Chen et al.,  
 1407 2011; Wang et al., 2003). Thus the 3<sup>rd</sup> release of the global eddy dataset used in this  
 1408 study discarded the eddies with lifetime shorter than 4 weeks by Chelton et al. (2011).  
 1409 To forecast the eddy trajectory 4 weeks in advance using the last position of the eddy,  
 1410 only eddies with a lifetime of 5 weeks or longer are retained. Table R1 lists the 1-3  
 1411 week forecast results of the original eddy tracks with lifetime not shorter than 4 weeks  
 1412 and the filtered eddy tracks with lifetime not shorter than 5 weeks, which shows the  
 1413 forecast results are comparable and verify our predictive model is stable.

1414 **Table R1.** Comparison of forecast distance errors (km) between the original eddy  
 1415 tracks with lifetime not shorter than 4 weeks and the filtered eddy tracks with lifetime  
 1416 not shorter than 5 weeks.

Forecast weeks	Original tracks	Filtered tracks
1	38.7	38.1
2	66.9	64.8
3	88.3	86.6

1417

1418 **Other specific comments:**

1419 1) Before applying the MCC analysis to the images prepared, certain parameters  
 1420 describing the statistical method need to be set, like subwindow size, search window  
 1421 size as well as cross-correlation coefficient. Can you comment on their impacts, and  
 1422 their settings?

1423 In the MCC method of Fu et al., the size of the time-space window for computing the  
 1424 correlations were determined by the time and space scales of interests. To focus on the  
 1425 global mesoscale eddy, the time lags were limited to less than 70 days and the  
 1426 dimension of the window was less than 400 km. However, the time lags should be  
 1427 limited to less than 42 days in the SCS, since many correlation coefficients are below  
 1428 the 95% confidence level at larger time lags (Zhuang et al., 2010). Besides, Chen et al.  
 1429 (2011) found that eddies propagate with 5.0-9.0 cm/s in the northern SCS. Thus the  
 1430 search dimension can be generally limited as 300 km ( $9.0 \text{ cm/s} \times 42 \text{ days} \approx 300 \text{ km}$ ) to

1431 reduce incidence of spurious MCC vectors. We add several sentences in the  
1432 introduction of MCC method to clarify the parameters and their setting.

1433

1434 2) Here all SLA data and eddy dataset have a time resolution of 7 days. In fact, the  
1435 new version based on the DT-2014 daily "two-sat merged" sea level anomaly (MSLA)  
1436 fields (formerly referred to as the REF dataset) posted online by AVISO for the  
1437 22-year period January 1993–April 2015. So using the daily dataset could be more  
1438 interesting, and some new knowledge can be expected.

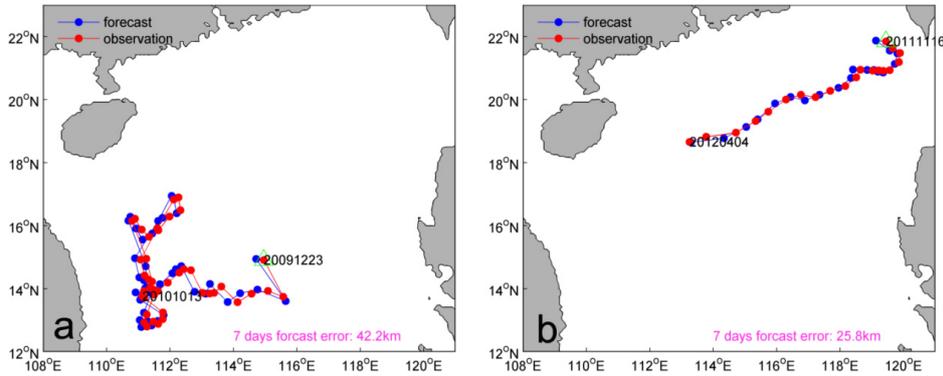
1439 **Response:** Thanks for the comment. Since the maximum westward propagation speed  
1440 is about 20 cm/s in the subtropics (Chelton et al., 2011), the propagation distance in  
1441 one day is about 17.3 km, which is less than one grid dimension (25 km) of AVISO  
1442 SLA. This may cause some uncertainties in the forecasting using the daily dataset.  
1443 Therefore, the weekly SLA data is still used in the eddy forecasting exercises (Oey et  
1444 al., 2005; Zeng et al., 2015, and Xu et al., 2018).

1445

1446 3) Chen et al. (2011) also find that “Eddy propagation in the western basin to the east  
1447 of Vietnam is quite random, with no uniform propagate direction”. Do you find a  
1448 southern limit to the trajectory predictive model?

1449 **Response:** Good suggestion. Eddy propagation in the western basin to the east of  
1450 Vietnam is quite random, which cause the eddy trajectories irregular or even  
1451 convoluted. As we can see, the forecast errors in the southern area (Figure R1a) are  
1452 larger than those of other regions (Figure R1b).

1453



1454

1455 **Figure R1.** Eddy trajectory 1-week forecast error in (a) the western basin to the east  
 1456 of Vietnam and (b) the northern basin.

1457

1458 4) The right hand panels of Fig. 2 (c, f) showing differences should keep the NaN  
 1459 areas as in (a) and (d).

1460 **Response:** Corrected.

1461

1462 5) Page 9, Line 217: “there are a total of 8 regression equations”? Could you provide  
 1463 the equations, to clearly distinguish the explanatory variables, response variables, and  
 1464 input regression data sources?

1465 **Response:** Thanks for the suggestion. The predicted zonal (meridional) displacement  
 1466  $DY$  ( $DX$ ) can be estimated using a multiple linear regression approach:

1467 
$$DX_j = \sum_{i=1}^8 a_{i,j} P_i, \quad j = 1, 4$$

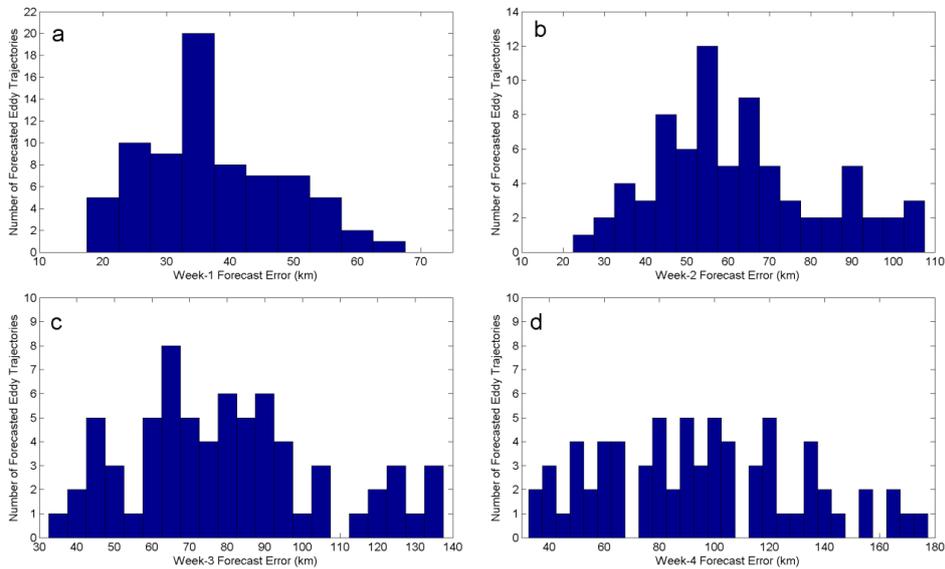
1468 
$$DY_j = \sum_{i=1}^8 b_{i,j} P_i, \quad j = 1, 4$$

1469 where the subscript  $j$  refers to the forecast interval (1-4 weeks), the subscript  $i$  refers  
 1470 to the serial number of eight normalized predictors ( $P$ ),  $a$  and  $b$  donate normalized  
 1471 regression coefficients of predictors onto  $DX$  and  $DY$ , respectively. To distinguish the  
 1472 input predictors, the forecasted variables, and the related regression equations clearly,  
 1473 we revise Table 2 and 3, add a new Table 1, and add Section 3.2 to describe these in  
 1474 the revised manuscript.

1475

1476 6) Figure 5 only shows one trajectory. Could you show all trajectories in the SCS  
1477 during the time periods in question, in order to more thoroughly test the credibility of  
1478 this method?

1479 **Response:** Thanks for the suggestion. For the sake of concise layout of the paper, we  
1480 only selected two cases from all the 74 forecasted results during 2008-2013 to show  
1481 the comparison. To verify the credibility of this method, the forecast distance errors of  
1482 all the predicted eddy trajectories over a 4-week window are shown in Figure R2.



1483

1484 **Figure R2.** Histogram of the forecast distance errors of all the predicted eddy  
1485 trajectories at week-1 (a), week-2 (b), week-3 (c) and week-4 (d).

1486

1487 7) The predictive equation should be explicitly presented in the text. Although the  
1488 effects of planetary  $\beta$  and mean flow advection are highlighted many times, the  
1489 quantitative effect on the inputs or the predictive equations still are not clear.

1490 **Response:** Thanks for the suggestion. (1) In the revised manuscript, the predictive  
1491 regression equations have been presented in two equations of Section 2.3, and their  
1492 coefficients have been shown in Table 3 in the revised version. (2) Actually, the  
1493 climatological eddy zonal and meridional motions ( $U_{CLIM}$   $V_{CLIM}$ ) derived from

1494 the MCC method consist of the effects of beta and the mean flow advection. We have  
1495 decompose U\_CLIM and V\_CLIM into these factors and incorporate them into the  
1496 regression model, but found no improvement of the forecast skill. We add several  
1497 sentences in Section 3.2 to explain it.

1498

1499 8) Page 5, Line 99: Have the cross-correlations been normalized by the variances of  
1500 the two time series?

1501 Response: Yes, the cross-correlations have been normalized by the variances of the  
1502 two time series.

1503

1504 9) Page 6, Figure 2 only shows the region north of 12°N. Does it mean this study only  
1505 investigates the eddy tracks in the northern SCS? If so, relevant statements, and the  
1506 title, should be qualified as pertaining to the northern SCS.

1507 Response: Yes, this study only investigates the eddy tracks in the northern SCS. We  
1508 have revised the statements and title using the northern SCS (NSCS).

1509

1510 10) Page 9 Line 198: Are the climatological eddy motions divided into 12 months or  
1511 only annual mean?

1512 Response: The climatological eddy motions are divided into four seasons (winter:  
1513 12-2, spring: 3-5, summer: 6-8, autumn: 9-11), since the mean flow and associated  
1514 eddy propagation in the SCS have seasonal variability. We add several sentences in  
1515 Section 2.2 to clarify it.

1516

1517 11) The eddy forecast error has been discussed by Hurlburt et al. (2008). Comment  
1518 upon this previous evaluation would be valuable.

1519 E. Hurlburt, Harley & Chassignet, Eric & A. Cummings, James & Birol Kara, A &  
1520 Metzger, E & F. Shriver, Jay & Smedstad, Ole & J. Wallcraft, Alan & N. Barron,  
1521 Charlie. (2008). EddyResolving Global Ocean Prediction. Washington DC American  
1522 Geophysical Union Geophysical Monograph Series. 353-381. 10.1029/177GM21.

1523 Response: Thanks for the comment. Because mesoscale eddies are often associated

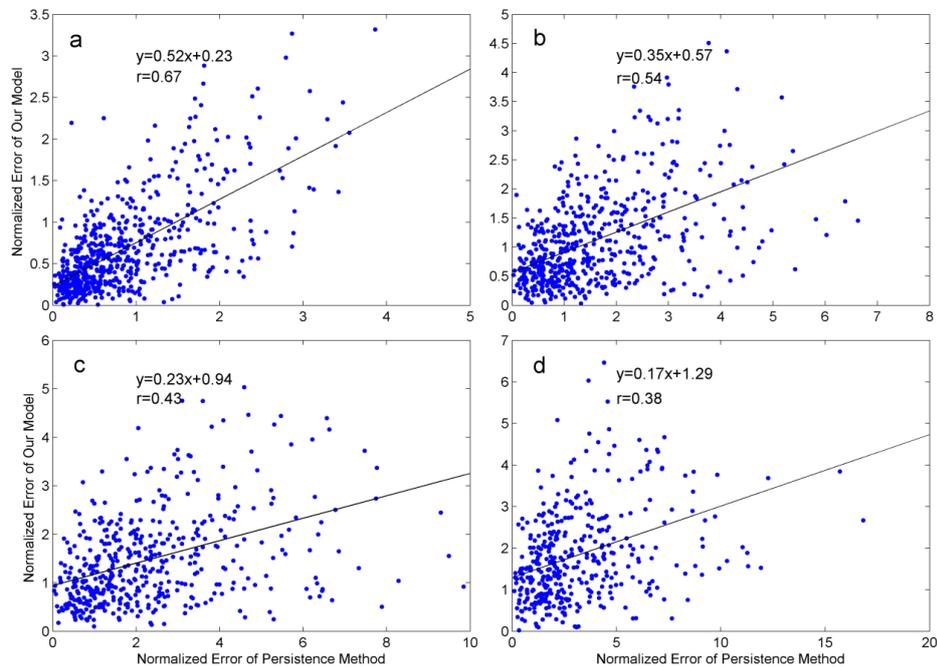
1524 with strong nonlinear processes and their dynamical mechanisms are quite different,  
1525 the operational forecast of eddies has been a big challenge to ocean numerical model.  
1526 Much progress has been made in recent years in eddy-resolving ocean prediction.  
1527 With the data assimilation and the increasing of model resolution, the model increases  
1528 forecast skill. Eddy center position daily forecast errors in the northwestern Arabian  
1529 Sea and Gulf of Oman is 44-68 km in 1/12° global HYCOM model, and reaches to  
1530 22.5-37 km in 1/32° NLOM model (Hurlburt et al., 2008). The forecast skill and  
1531 predictability of dynamical models can only be increased by better assimilation  
1532 schemes (initialization), sufficient data (especially the subsurface), and improving  
1533 resolution (physics and computing power). We have added this reference and some  
1534 comments in the Section 1 of the revised manuscript.

1535

1536 12) In this study, the distance errors are presented by degree or km only. The relative  
1537 error, relative to the eddy radius, is more important to directly understand the  
1538 uncertainty.

1539 Response: Thanks for the comment. Actually, we once considered the relative errors  
1540 by normalizing the forecast distance errors with the Rossby radius on each forecast  
1541 grid. Figure R3 shows the differences and correlation of relative errors between the  
1542 persistence method and the proposed method over 4-week forecast window. Their  
1543 correlation decreases from 0.67 at week-1 to 0.38 at week-4. This conclusion based on  
1544 the relative errors is consistent with that of the comparison of forecast distance errors  
1545 between the two methods: although the persistence forecast trajectory at week-1 is  
1546 relatively consistent with the observation, the persistence method cannot forecast the  
1547 eddy trajectories properly when the forecast horizon increases. Considering the  
1548 forecast distance errors presented by degree or km have been widely accepted by  
1549 operational ocean eddy forecasting (e.g., Oey et al., 2005; Zeng et al., 2015) and  
1550 tropical cyclone track forecasting (e.g., Aberson et al., 2003; Ali et al., 2007), the  
1551 forecast distance errors by km is still used in the evaluation of forecast performance  
1552 for the convenience of common readers.

1553



1554

1555 **Figure R3.** Scatterplot of the normalized forecast distance errors of persistence  
 1556 method vs. the normalized forecast distance errors of our linear regression model with  
 1557 best fit linear regression at week-1 (a), week-2 (b), week-3 (c) and week-4 (d).

1558

1559

1560 **References:**

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