Effects of lateral processes on the seasonal water stratification of the Gulf of Finland: 3-D NEMO-based model study

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Abstract

This paper is aimed to fill the gaps in knowledge of processes affecting the seasonal water stratification in the Gulf of Finland (GOF). We used state-of-the-art modeling framework NEMO designed for oceanographic research, operational oceanography, seasonal forecasting and climate studies to build an eddy resolving model of the GOF. To evaluate the model skill and performance two different solutions were obtained on 0.5 km eddy resolving and commonly used 2 km grids for one year simulation. We also explore the efficacy of nonhydrostatic effect (convection) parameterizations available in NEMO for coastal application. It is found that the solutions resolving sub-mesoscales have a more complex mixed layer structure in the regions of GOF directly affected by the upwelling/downwelling and intrusions from the open Baltic Sea. Presented model estimations of the upper mixed layer depth are in a good agreement with in situ CTD data. A number of model sensitivity tests to the vertical mixing parameterization confirm the model robustness. Further progress in the sub-mesoscale processes simulation and understanding is apparently connected mainly not with the finer resolution of the grids, but with the use of non-hydrostatic models because of the failure of hydrostatic approach at sub-mesoscale.

Introduction

The Gulf of Finland (GOF) is a 400 km long and 48–135 km wide sub-basin of the Baltic Sea with a mean depth of 37 m and complex bathymetry (see Fig. 1). The large fresh water input from Neva River significantly affects the stratification and forms the
strong salinity gradient from east to west and from north to south. Sea-surface salinity
decreases from 5% to 6.5% in the western GOF to about 0%–3% in the easternmost part
of the Gulf where the role of the Neva River is most pronounced (Alenius et al., 1998). In
the western GOF, a quasi-permanent halocline is located at a depth of 60–80 m. Salinity in
that area can reach values as high as 8%–10% near the sea bed due to the advection of
saltier water masses from the Baltic Proper.

The vertical stratification in the GOF as well as in the Baltic Sea is unusual (the
thermocline and halocline are usually separated) with a pronounced and relatively stable
halocline, whereas the temperature is largely controlled by the seasonal variability of the
surface heat fluxes (see e.g. Hankimo, 1964). During the summer season the water
column in the deeper areas of the GOF consists of the three layers – the upper mixed
layer (UML), the cold intermediate layer and a saltier and slightly warmer near-bottom
layer (see Liblik and Lips, 2012), separated by two pycnoclines – the thermocline at the
depths of 10–20 m and the permanent halocline at the depths of 60–70 m. A seasonal
thermocline starts to develop in May. The surface mixed layer reaches a maximum depth
of 15–20 m by midsummer and an erosion of the thermocline starts in late August due to
wind mixing and thermal convection. The bottom salinity also shows significant
spatiotemporal variability due to irregular saline water intrusions from the Baltic Proper, as
well as from changes in river runoff and the precipitation-evaporation balance. There is no
permanent halocline in the eastern GOF, where salinity increases approximately linearly
with depth (Nekrasov and Lebedeva, 2002; Alenius et al., 2003).

The simulations of the vertical stratification using 3-D numerical models are not so
reliable yet (Myrberg et al., 2010). This study shows that the best existing most advanced
3-D scientific-circulation models are able to simulate the major features of the hydro-
physical fields of the GOF. For example, the hind-cast mean temperatures for example,
generally the hind-cast temperatures differ from observations by less than 1–2°C and the
mean error in salinity is less than 1%. Most of the remaining difficulties are connected with
problems in adequately representing the dynamics of the mixed layer. The loss of
accuracy is most notable in the simulation of the depth and the sharpness of the
 corresponding thermo- and haloclines. Despite the application of sophisticated turbulent
closure schemes and different schemes for vertical mixing, none of the models, analyzed
in (Myrberg et al., 2010), were able to accurately simulate the vertical profiles of
temperature and salinity. Latest experiments with turbulence parameterizations of 3-D
hydrodynamic model COHERENS presented in (Tuomi et al., 2013) show that model still
underestimate the thermocline depth. Also the sensitivity of the modelled thermocline
depth to the accuracy of the meteorological forcing was studied by increasing the forcing
wind speed to better match the measured values of wind speed in the central GOF. The
sensitivity test showed that an increase in the wind speed only slightly improved the
performance of the turbulence parameterizations in modelling the thermocline depth.

However, a number of studies have reported important effects of the vertical
thermohaline structure on the characteristics and processes in the marine ecosystems of
the GOF, such as phytoplankton species composition (Rantajarvi et al., 1998) and sub-
surface maxima of phytoplankton biomass (Lips et al., 2010), cyanobacteria blooms (Lips
et al., 2008), distribution of pelagic fish (Stepputis et al., 2011), macrozoobenthos
abundance (Laine et al., 2007) and oxygen concentrations in the near bottom layer
(Maximov, 2006).

Summarizing all written above, prediction of the thermohaline structure is a complex
problem for the GOF. The spatial variability of the thermohaline structure encompasses a
wide range of physical processes at different scales, some of which are still poorly
understood (Soomere et al., 2008, 2009). For example, we believe-hypothesize that the
local stratification depends very strongly a on the across GOF movements of water
masses and that sub-mesoscale eddies generated by baroclinic instability of fronts in
upper layers of the sea play an important role in heterogeneity of spatial distribution of
parameters (temperature, nutrients, phytoplankton) but also they can act-contribute to re-
stratify the UML-ocean, as described in Gent and McWilliams (1990).

In the ocean, submesoscales are scales of motion equal or less than the Rossby
radius of deformation but large enough to be influenced by planetary rotation (Thomas et
al., 2007). Recent studies showed that increasing the horizontal resolution of the model up
to 0.5 km (for the GOF Rossby radius approx. 2–4 km) enables models to resolve
submesoscale eddies. As a result, surface currents and temperatures show highly detailed
patterns that qualitatively match well with the expected features (Sokolov, 2013; Zhurbas
et al., 2008; Zhurbas et al., 2008; Sokolov, 2013). However, there was no yet considered
the influence of eddy motions and across Gulf movements of water masses on vertical re-
stratification of the UML of the GOF.

The motivations behind this study are:

- to provide an insight into the submesoscale and basin-
scale-lateral advection processes in the GOF. We are interested, in particular, in
learning how estimating the contribution lateral advection processes contribute to
the thermocline variations.
– to assess the impact of horizontal grid resolution on the representation of vertical stratification

**Approach**

The traditional point of view is that the eddy diffusion dominates in the horizontal direction and in the vertical direction mixing due to eddies is limited, and small scale processes such as turbulence provide the majority of mixing. Based on this idea most commonly 1-D approach is used to set up vertical mixing by tuning a turbulent scheme. For the GOF as an enclosed basin with complex bathymetry and strong stratification mixed layer dynamics can be strongly affected by lateral advective processes. To investigate this phenomenon we present a state-of-the art three-dimensional model of the GOF with high vertical and two different horizontal resolutions. Shelf sea modelling is characterized by a demand for a many different configurations to meet multiple science and user needs. NEMO gives the capability to rapidly configure shelf sea models using appropriate high resolutions and parameterizations for the representation of coastal dynamics.

### 2.1 General Model setup

Our study is based on a 3-D thermo-hydrodynamic model build on the NEMO (Nucleus for European Modelling of the Ocean) code initially designed for the open ocean and adopted by our team for the GOF (NEMO GOF). The NEMO is a 3-D hydrostatic, baroclinic primitive equation model toolkit laid out horizontally on the Arakawa C-grid (Madec et al., 1998; Madec, 2012). The NEMO is developing in a framework of a community European institutes and benefit of the recent scientific and technical developments implemented in most ocean modeling platforms. The NEMO implementation for the GOF uses the TVD advection scheme in the horizontal direction, the piecewise parabolic method (PPM) in the vertical direction (Liu and Holt, 2010), the non-linear variable volume (VVL) scheme for the free surface. In the horizontal plane, the model uses the standard Jacobian formulation for the pressure gradient, the viscosity and diffusivity formulation with a constant coefficient for momentum and tracer diffusion. The horizontal viscosity and diffusivity operators are rotated to be aligned with the density iso-surfaces to accurately reproduce density flows.

There are NEMO setups for Baltic Sea recently published by Hordoir et al. (2013 and 2015.). The GOF setup was developed in parallel to the Baltic Sea model and aimed to introduce resolution able to resolve the sub-mesoscale processes in horizontal direction and insure accurate representation of the vertical structure by increasing the vertical
resolution to 1 m. General model setup for the GOF shares most of the parameterization and schemes with Baltic Sea model.

In this paper, we used gridded bathymetric data set with a resolution of 0.25 nm for the GOF (Andrejev, 2010). Choosing different grid resolutions of the model is formally equivalent to the choice of an appropriate averaging operator (low-pass filtering at the grid step) and an approach to estimate the contribution of smaller scales to the general motion. To assess the impact of submesoscale motion on the vertical stratification, two configurations of NEMO GOF were generated by utilizing different horizontal and the same vertical resolution of 1m. Both configurations have 94 vertical levels, but 1 minute zonal and 2 minute meridional resolution (~2km) in a standard configuration and 0.25 minute zonal and 0.5 minute meridional resolution (~0.5km) in a finer resolution configuration. The parameters of configurations were kept as identical as possible. The main exception is the coefficients of horizontal diffusivity and viscosity which were set to the minimum values guaranteeing the numerical stability.

Numerical experiments were started from rest and initialized with temperature and salinity fields from the operational model of Baltic Sea HIROMB (Funkquist, 2001). The computational domain covers the entire GOF with the open boundary set at 23E longitude (see Fig. 1), boundary conditions being taken also from HIROMB. According to the inter-comparison of several models results for GOF (Myrberg et al., 2010), HIROMB was rated as the best model for the western part of the GOF. The operational status of the model gave us additional benefit. The model was forced by the surface forcing dataset HIRLAM (http://hirlam.org) (using the CORE bulk forcing algorithm) and climatic rivers runoff (Stalnacke et al., 1999). We used SMHI version of HIROMB with HIRLAM atmospheric fields included in output files as a part of a standard operational product of SMHI. Temporal resolution for the atmospheric forcing and boundary conditions is 1 hour.

2.2 Parameterization of convective flows

One of the possible mechanisms by which the lateral motion affects the stratification is a shear-induced convection: situation in which heavy water may be advected on top of lighter water. This mechanism has been observed, e.g. in the bottom boundary layer of lakes (Lorke et al., 2005) and on the continental shelf (Rippeth et al., 2001). Evidently, the shear-induced convection can take place throughout the water column, for example, during upwelling. In nature, convective processes quickly re-establish the static stability of the water column (Umlauf, 2005). These processes have been removed from the model via the hydrostatic assumption so they must be parameterized.
Convective mixing can be parameterized in NEMO by To reproduce convective mixing by turbulent closure scheme NEMO offers: (1) a computationally efficient solution ‘TKE (turbulent kinetic energy) scheme’ in combination with convective adjustment procedures (a non-penetrative convective adjustment or an enhanced vertical diffusion) and (2) physically more accurate the “GLS (generic length scale) scheme”.

The “TKE scheme” is a turbulence closure scheme proposed by Bougeault and Lacarrère (1989) originally developed to a model for the atmospheric boundary layer. In the Mellor and Yamada (1974) hierarchy it is a 1.5-level closure and consists of a prognostic closure for the turbulent kinetic energy (TKE) and an algebraic formulation for the mixing length scale. The time evolution of TKE is the result of the production of TKE through vertical shear, its suppression through stratification, its vertical diffusion, and its dissipation of Kolmogorov (1942) type:

\[
\frac{\partial \bar{e}}{\partial t} = \frac{K_u}{\varepsilon_3} \left[ \left( \frac{\partial \bar{u}}{\partial k} \right)^2 + \left( \frac{\partial \bar{v}}{\partial k} \right)^2 \right] - K_e N^2 + \frac{1}{\varepsilon_5} \frac{\partial}{\partial k} \left[ \frac{K_u}{\varepsilon_3} \frac{\partial \bar{e}}{\partial k} \right] - C_e \frac{\bar{e}^{3/2}}{l_k}
\]

(1)

\[K_u = C_l l_k \sqrt{\bar{e}}\]  

(2)

\[K_e = C_e / P_e\]  

(3)

where N is the local buoyancy frequency, \(l_u l_v\) and \(l_k\) are the dissipation and mixing length scales, \(u\) and \(v\) are the horizontal velocity components, \(k\) is the layer number, \(\varepsilon_3 = 1\) m is the vertical scale factor, \(P_e\) is the Prandtl number, \(K_u\) and \(K_e\) are the vertical eddy viscosity and diffusivity coefficients. The parameter \(C_l\) is known as a stability function and is defined as a constant in the TKE scheme. The constants \(C_e = 0.1\) and \(C_t = 0.7\) are designed to deal with vertical mixing at any depth (Gaspar et al., 1990). \(K_e\) is the eddy diffusivity coefficient for the TKE. In NEMO \(K_e = K_n\).

For computational efficiency, the original formulation of the turbulent length scales proposed by Gaspar et al. (1990) has been simplified to the following first order approximation

\[l_k = l_e = \sqrt{2 \bar{e} / N}\]  

(4)

This simplification valid in a stable stratified region with constant values of the buoyancy frequency has two major drawbacks: it makes no sense for locally unstable stratification and the computation no longer uses all the information contained in the vertical density profile. To overcome these drawbacks, NEMO TKE scheme implementation adds an extra assumption concerning the vertical gradient of the computed
length scale. So, the length scales are first evaluated as in (4) and then bounded such that:

\[
\frac{1}{e_i} \left| \frac{\partial \bar{\theta}}{\partial k} \right| \leq 1, \quad \text{with} \quad l = l_u = l_v
\]  

(5)

In order to impose the constraint (5), NEMO introduces two additional length scales: \( l_u \) and \( l_{\text{daw}} \). The length scales \( l_u \) and \( l_{\text{daw}} \) are respectively the upward and downward distances to which a fluid parcel is able to travel from current z-level \( k \), converting its TKE into the potential energy by doing work against the stratification, and they can be evaluated as:

\[
l_u^{(k)} = \min(l_u^{(k)}, l_u^{(k-1)} + e_{u-k}^{(k)}) \quad \text{from} \ k = 1 \text{ to } nk
\]

(6)

\[
l_{\text{daw}}^{(k)} = \min(l_{\text{daw}}^{(k)}, l_{\text{daw}}^{(k-1)} + e_{\text{daw}-k}^{(k)}) \quad \text{from} \ k = nk \text{ to } 1
\]

(7)

where \( nk \) is the number of level in vertical, \( l_u \) is computed using (4), i.e.

\[
l_u^{(k)} = \sqrt{2e_{u-k}^{(k)} N^2}
\]

(8)

Finally,

\[
l_i = l_u = \min(l_u, l_{\text{daw}})
\]

(9)

The **Generic Length Scale (GLS)** scheme is formally equivalent to the TKE scheme, excepting using: (1) a prognostic equation for the generic length scale and (2) expressions for the complex stability functions instead constants. We used a turbulent closure scheme (Rodi, 1987) with its arbitrary increase, where \( \kappa \) is a constant depending on the choice of the stability function (Galperin et al., 1988; Kantha and Clayson, 1994).

This prognostic length scale is valid for convective situations and *arbitrarily increases* diffusivity to represent convection (Umlauf and Burchard, 2003; 2005):

\[
\frac{\partial \bar{\theta}}{\partial t} = \frac{\phi}{\bar{\varepsilon}} \left( C_{1}K_u \left( \frac{\partial \bar{u}}{\partial k} \right)^2 + \left( \frac{\partial \bar{v}}{\partial k} \right)^2 \right) - C_{2}K_u N^2 - C_{3}\varepsilon \frac{\partial}{\partial k} \left( \frac{\partial \bar{\theta}}{\partial k} \right)
\]

(10)

\[
K_u = C_{4} \sqrt{\bar{\varepsilon}} l_u
\]

(11)

\[
K_v = C_{5} \sqrt{\bar{\varepsilon}} l_u
\]

(12)

\[
\varepsilon = C_{6} \bar{\varepsilon}^{3/2} l^{-1}
\]

(13)
Here $C_1$, $C_2$, $C_3$, are constants for the turbulent closure scheme. They are equal 1.44, 1.92, 1.0, 1.3 respectively. and are calculated from the stability function.

As known, the equation fails in stably stratified flows, and for this reason almost all authors apply a clipping of the length scale as an ad hoc remedy. With this clipping, the maximum permissible length scale is determined by

$$l_{\text{max}} = C_{\text{lim}} \sqrt{2 \nu / N}.$$  \hspace{1cm} (14)

A value of $C_{\text{lim}} = 0.53$ is often used (Galperin et al., 1988). Umlauf and Burchard (2005) show that the value of the clipping factor is of crucial importance for the entrainment depth predicted in stably stratified flows. Another value is 0.26, several authors have suggested limiting the dissipative length-scale in the presence of stable stratification even down to 0.07 (Holt and Umlauf, 2008).

In addition, convective mixing can be parameterized in NEMO by an enhancement to the eddy viscosity and diffusivity (ED), if for $N_2 < 0$, $K_m$ and $K_p$ are locally set to the value of 100 m$^2$s$^{-1}$.

We performed comparative tests of listed above convection parameterizations to investigate their principal applicability for shear-induced convective situations.

3. Numerical experiments

The modeling period was chosen from 1st April to 31st August 2011 when pronounced thermocline occurs. The thermocline starts its formation in early May when the surface heating and turbulent mixing are dominant processes. Note that year 2011 was characterized by strong upwelling events in the beginning and in the end of modeling period.

In section 3.1 the GLS, TKE and ED mixing parameterizations are compared in a series of sensitivity experiments. The choice of closure scheme and the effects of varying Galperin limit were investigated against MODIS SST to get the best reproduction of SST pattern.

In section 3.2 we present results of the model runs compared with available CTD data to study the performance of the chosen parameterizations to represent the UML evolution. Also the ability of the model to correctly capture such features as fronts was tested against SST images for different resolutions in beginning of August 2011 when there were cloud free images.
3.1. Sensitivity to vertical mixing parameterizations

In this section we study closure schemes and enhanced diffusion parameterization performance for convective situations caused by upwelling near the Estonian coast started on May 12th. Figure 24 shows a cross section of the GOF for the density field (black isolines) overlaid by the vertical eddy diffusivity coefficient (color filled).

Fragment A of Fig. 12 illustrate the mechanism instability formation. It is a hypothetical solution obtained with constant eddy diffusivity coefficients set to the minimum possible for this case values of $10^4 - 10^5$ m$^2$s$^{-1}$ and ED switched off. All south-north cross-sections present the situation mainly formed by an upwelling event near the Estonian coast (left side of the cross-section). Due to the presence of permanent density gradient from Estonian to Finish coast and strong offshore current caused by upwelling, dense waters originated from the Estonian side overlay more fresh lighter water in the downwelling area near the Finish coast.

Fragment B illustrates the performance of the ED procedure setting the eddy viscosity and diffusivity coefficients equal to 100 m$^2$s$^{-1}$ in the areas of unstable stratification. According to this experiment, the maximum depth of convection penetration is equal to 10 m in the center of GOF and reaches up to 25 m near the Finish coast.

Fragment C illustrates the performance of solution with the TKE closure scheme including previously described modifications introduced in NEMO. As seen, the solution demonstrates high values of eddy diffusion coefficients in the areas of unstable stratification. The depth of the mixed layer is not limited by the convection penetration depth (see Fig. 24b) and formed as a result of a joint action of current velocity shear, buoyancy and TKE diffusion and dissipation (see Eq. (1)).

Fragment D shows the combined effect of cases B and C. As seen from comparison of Fig. 24d and Fig. 24c, the solution with modified TKE scheme captures most of the existing instabilities. ED (Fig. 24b) triggered only in some small areas in the center of the mixed layer and did not affect the actual mixing depth.

Fragments E and F present the performance of the solution with the GLS closure scheme with Galperin limit of 0.53 and 0.26, correspondently. A solution with GLS parameterization with switched-off length scale limitation was also obtained but turned out to be practically equal to the case E. UML depth in these solutions is comparable to that in the cases C and D confirming success of TKE modifications in NEMO.

The above tests confirm that both TKE and GLS closure schemes used in NEMO are able to catch the convection induced by upwelling. As it comes from Fig. 24 an instability of vertical column initiates dramatic increasing in vertical diffusivity coefficients
up to 0.04 m$^2$s$^{-1}$ TKE (Fig. 24c and d) or 0.036 m$^2$s$^{-1}$ GLS (Fig. 24e and f) from the background value set to 10$^6$ m$^2$s$^{-1}$. TKE scheme forms a core with stronger mixing in the area of downwelling but at the same time the UML depth is comparable in both cases. Switched on ED does not modify the UML depth predicted by turbulent closure schemes.

Evaluation of the actual performance of presented alternative parameterizations of convective processes is a complex task requiring high spatial and temporal resolution of in situ data that is not available at the moment. The sea surface temperature (SST) derived from the satellite thermal infrared imagery during cloud-free conditions provides significant information for monitoring of the relevant key ocean structures, such as fronts, eddies, and upwelling. At the same time, the SST fields can be used as an indicator of vertical mixing processes. SST fields can be considered as integral of subsurface dynamic but for example we can not estimate directly a depth of the thermocline from them. Alternatively the comparison of the modeled frontal structure at the sea surface and MODIS data during an upwelling event (lifting water from under the UML) could indicate how well the model reproduces stratification. As soon as we would get a realistic stratification, the surface pattern of simulated SST will also be in agreement with remotely observed SST.

Results of the comparison of modeled (various mixing parameterizations and resolutions) and MODIS-derived SST are presented at Fig. 32. The model shows that maximum upwelling development occurs on May 14 when the upwelling front reaches the center of the GOF and characterized by maximum temperature gradient difference across the front up to 5°C. Unfortunately, due to heavy cloudiness, the satellite images captured only relaxation phase of the upwelling dated on May 20th.

As seen, the model performs better if the GLS scheme is used and the value of $C_{lim}$ is 0.53 (Galperin’s value). The stronger length scale limitation leads to underestimation of mixing and increased SST values compared to MODIS data. On the other hand, the solution obtained with TKE scheme underestimates mixing, nevertheless it is not too far from the observations. The best performance takes place at the higher resolution and GLS scheme used when the solution is in a good agreement with the MODIS SST (Fig. 32b). Based on presented sensitivity tests, the GLS mixing scheme was chosen and the length scale limiting was fixed as $C_{lim} = 0.53$.

### 3.2 General model performance

To evaluate the general model performance, we used in situ data for temperature and salinity obtained during **Russian state hydrometeorological institute** **Russian State Hydrometeorological University** expedition dated from July 20 2011 to August 05 2011.
The comparison of model and data has been performed for the last decade of July just before the UML starts to degrade due to heating and wind conditions (Fig. 43). CTD data were grouped into three sets of profiles representing western (Lat = 23.26, 10 profiles), central (Lat = 26.28, 12 profiles) and eastern (Lat = 28.23, 12 profiles) parts of the GOF. According to the presented at Fig. 43 averaged CTD profiles (black curves), the UML is much deeper in the western part of the GOF and considerably shallower and sharper in the central and eastern parts. This UML behavior typical for the GOF captured quite well by all the model realizations (colored curves). Standard deviation of CTD data given as error bars presents the variability range of in situ data. All presented solutions with different parameterizations are in good agreement with the data in terms of the UML depth while the fine spatial resolution slightly better represents the nature in the western part of GOF. In the eastern part of GOF strongly influenced by the Neva outflow the modeled thermocline is about 5 m deeper than observed. This is mainly due to prescribing climatic boundary conditions at the river mouth not allowing for the differences in individual years and complicated hydrodynamics of the estuary.

One more comparison between model and data is presented in Fig. 54 where the modeled SST for the two resolutions is given versus MODIS SST on August 2, 2011. At this time it was possible to fix the upwelling again near the southern coast of GOF. In the high resolution model solution the temperature of cold water rising to the surface drops down to 6°C that is consistent with the satellite SST. In the case of coarse resolution the upwelling effect is less pronounced: the lowest temperature in the core region is about 10°C. Solutions with both resolutions reproduce spatial patterns of upwelling. Although the coarse resolution solution gives more flattened upwelling front (shown by the isotherm of 19.5°C), high resolution solution is more rugged due to reproduced submesoscale features that corresponds well with observed SST.

Results of model comparison with SST and in situ data confirm the robustness of the developed model, which allows us to use it in a more detailed evaluation of the vertical structure formation mechanisms of the sea and its temporal evolution.

4. Results

During the upwelling/downwelling event in May model on both grids simulates a substantial re-stratification of the UML. The re-stratification is characterized by sharpening and at the same time deepening of the thermocline down to 40 m near the Finish coast and export of the cold water to the surface near the Estonian coast (Fig. 65). Fig. 65 a and b show maps of the turbocline depth on the 16th May 2011. The turbocline depth is
defined as the depth at which the vertical eddy diffusivity coefficient falls below a given value (here taken equal to background value of 5 cm²s⁻¹) and can be interpreted as a maximum penetration depth of the turbulent motion in the surface layer.

According to Fig. 56a and b presenting solutions on 2 and 0.5 km grids respectively, the turbocline depth reaches the maximum in the areas near the Finnish coast where the convection is a dominant factor in vertical mixing. We can note the significant differences in the spatial patterns of the turbocline for fine and rough resolutions. Solution on 0.5 km grid shows deeper and more complex thermocline pattern. It can be explained by the fact that small-scale frontal structures induced by strong horizontal gradients and captured by the fine-resolution model lead to convective instabilities (Boccaletti et al., 2007) acting to locally restratify UML. The model with 2 km resolution cannot resolve submesoscale frontal features and high values (compare to fine resolution) of lateral diffusion coefficients act to smooth the front in other words decreasing potential energy of the front. Unfortunately, few data is available for validation of these differences. Locations of CTD profiles on May 16 are marked as points I, II, III in Fig. 56a and c. However, the UML depth for the 2 km model are not deep enough, barely reaching 25 m depth everywhere whereas observations in western part show it values reaching a maximum of about 40 m depth. Figure 6 (I, II, III) shows the vertical profiles of temperature at locations near the Finnish coast. At the panel (I) the UML depth for the 2 km-resolution model (dashed black line) is shallower than the observed UML depth (solid black line) by 13 m. At the same time, observations and 0.5 km-resolution model (grey line) temperature are almost collocated, and UML depth reaches 40 m. At the panel (II) modeled UML depth is overestimated, but the misfit reaches 7 m for 2 km-resolution model and only 3 m – for 0.5 km-resolution model.

We cannot compare the UML depth from the results presented at panel III since none of the models were able to reproduce lateral intrusions observed. The low model performance at this point can be explained by the proximity of the frontal zone between coastal and deep water masses due to the upwelling. We assume that small error in predicted location of the front can lead to serious misfits in vertical profile. Note also that the point (III) is located in a zone of rapid turbocline depth variations (see Fig. 6a and b). This fact confirms a complex front structure which is formed by the set of randomly spaced small-scale features. The deterministic model can only predict their appearance but not the exact location.

Figure 76 presents evolution of the thermocline through the season. Left panels present the maximum depth of the turbocline and thermocline for the May when the
thermocline was formed. Right panels present also maximum turbocline and thermocline depths the same but for the period from 01 of Jun to 28 July. This period ends just before the upwelling in July-August from which the UML erosion begins. Thermocline depth was defined as the depth of 3.5°C isotherm (see Fig. 34). As it comes from the presented data, turbulent mixing during the upwelling in May was the strongest throughout the season (see Fig. 67-b). At the same time increasing of the 3.5°C isotherm depth up to 45 m during June-July is not accomplished by any considerable turbulent activity (maximum turbocline depth during June-July do not exceed 20 m for the most of the area of the GOF). Taking in consideration the low value of the background vertical diffusivity coefficient (10^-6 m^2 s^-1), this fact highlights the importance of the advective processes for the formation of the shape and depth of the thermocline. Advective processes resulting in deepening of the isotherm are initiated by intrusion of warm dense water from the open boundary from the Baltic Proper. The intrusion compensates the general surface outflow from the GOF caused by rivers runoff. Notable difference in the shape of averaged profiles presented at Fig. 49 confirm this hypothesis. Eastern part of the GOF characterized by sharp and shallow thermocline and halocline. Their depths are approximately equal to the maximum turbocline depth. Turbulent and heating processes are dominated here. Deepening of the thermocline and halocline down to 45 m in the western part of GOF is caused mainly by the GOF-Baltic Sea exchange processes since turbulent mixing do not penetrate at this depth here.

The sensitivity of the model solution to increased horizontal resolution is manifested in the different intrusion propagation to east (compare right plots on Fig. 76d and f). Density fronts associated with the intrusion are a source of baroclinic instability which are differently resolved by the 0.5 km eddy permitting configuration (Fig. 76c ) compared to 2 km configuration (Fig. 76e).

5. Discussion and conclusions

We used state-of-the-art modeling framework NEMO initially developed for the open ocean to build an eddy resolving model of the GOF. To evaluate the model skill and performance two different solutions where obtained: commonly used 2 km grid and 0.5 km eddy resolving fine grid.

With the resolution of 0.5 km the model starts to resolve submesoscale eddies. In the ocean, submesoscales are scales of motion equal or less than the baroclinic Rossby radius of deformation. For the GOF the baroclinic Rossby radius is varying between 2-4 km and we need at least 4 points to resolve the eddy. According to Gent and McWilliams
the eddies can act to re-stratify the UML of the ocean, causing the vertical transport through the thermocline.

In this study we were not able to identify the vertical motion in the model solution associated with small scale eddies. The fact can be explained by the effect of parameterization of convective processes which we cannot avoid due to hydrostatic assumption of the model. Hydrostatic hypothesis removes convective processes from the initial Navier-Stokes equations and so convective processes must be parameterized instead. As it presented in section 3.1 we had tested an interaction of all available in NEMO parameterizations of convective processes with turbulent mixing in the frame of the hydrostatic assumption. We found that GLS or even modified TKE closure schemes can describe convective processes in UML of the GOF in spring-summer period without additional convective adjustment procedures. But in all the cases convective parameterization sets locally very high values of vertical viscosity and diffusivity coefficients wherever the vertical instability appear and, in other words, “kills” any small scale vertical motion by smoothing the velocity field. On the other hand effect of resolved lateral submesoscale processes was investigated in section 4. It was shown that submesoscale motion affects the plume propagation caused by salty water intrusion to the GOF from the Baltic Sea. Generally speaking this process had found to be dominated in formation of shape of thermocline through the summer season, while the depth of UML was formed by an intensive mixing during spring upwelling. In both cases advective processes act as the main “driving force”.

Presented model demonstrates a substantial improvement in the basin stratification compared to previous numerical studies. Traditional point of view is that the small scale processes such as turbulence provide the majority of mixing in vertical direction. Most commonly 1-D approach is used to set up vertical mixing by tuning a turbulent scheme. For the GOF as an enclosed basin with complex bathymetry and strong stratification mixed layer dynamics can be strongly affected by lateral advective processes. Adequate representation of lateral processes by the model let us decrease the role of background constants in turbulent mixing scheme (we set them to minimum possible values). This simplifies the traditional trade-off between the depth and sharpness of the thermocline. Setting the background values of vertical eddy viscosity and diffusivity to $10^5$ and $10^7$ m$^2$/s$^1$ respectively let us keep the sharp form of the thermocline and halocline while the UML depth corresponds to observations.

Since the time period of the runs was rather short (less than 1 year) and the model had not been used before it is obvious that choose of some parameters might have been
somewhat improper for the use in this study. Through fine tuning of the model better results could be probably obtained. However, the focus in this study was to examine the differences arising from different horizontal resolutions, the fact that model parameters were similar in each case should be considered to be far more important than the quantitative agreement between observations and model results. Actually, it was shown that the model results for both resolutions are in a reasonable agreement with available observations. In some cases 0.5 km model performs better and at the same time there are areas not covered by observations where we can note more substantial difference between models. It is found that simulations which resolve submesoscale are characterized by the deeper UML with more complex structure in the regions of the GOF directly affected by the upwelling/downwelling.

The GOF is a highly dynamic region with lateral currencies causing the temperature contrasts and/or rapid temporal variations on the surface. From the satellite picture we can identify whether the model reproduce properly the frontal structure at the surface. For example, the temperature drop during an upwelling event and resulting temperature contrast at the surface reach 2.5 °C. We assume it to be a considerably more substantial signal comparing to known uncertainties of satellite SST measurements (0.4 °C [https://podaac.jpl.nasa.gov/]). The usage of results of hydrodynamic modelling together with SST information can provide an extended analysis and deeper understanding of the upwelling process. Re-stratification of the UML caused by upwelling results in changes of the SST pattern that can be observed from satellites. From the comparison of modelled and observed from satellite SST we can identify whether the model reproduce the stratification itself and as a result properly reproduce the frontal structure at the surface.

Refinement of the model resolution below the level of 0.5 km would be of limited benefit in a hydrostatic model. For the purpose of deep investigation of submesoscale processes in GOF such as transport across the UML and on/offshore the nonhydrostatic formulation is needed. It lets us avoid “artificial smoothing” of the velocity field. Other possible improvements of the model performance, which we are planning for the next steps, will include sensitivity tests for the different boundary conditions with higher spatial resolution at the open boundary and surface and utilisation of recently available data with high spatial coverage from the expeditions during the Gulf of Finland Year 2014.

Refinement below this level at shelf scale would be of limited benefit in a hydrostatic model. Increased model resolution was found to better capture the position and strength of the SST front. Moreover, instabilities along the front led to large temporal and
spatial variability of UML in the high resolution model. The role of submesoscale flows in setting stratification in the upper ocean over the annual cycle has been investigated.

Hydrostatic hypothesis removes convective processes from the initial Navier-Stokes equations and so convective processes must be parameterized instead. In this study we used available in NEMO parameterizations of convective processes to reproduce the interaction of small-scale baroclinic instabilities with turbulent mixing in the frame of the hydrostatic assumption. We explore the efficacy of convection parameterizations available in NEMO and found that GLS or even modified TKE closure schemes can describe convective processes in UML of GOF without additional convective adjustment procedures.

It is found that simulations which resolve submesoscale are characterized by the deeper UML with more complex structure in the regions of the GOF directly affected by the upwelling/downwelling. It is noteworthy that data coverage may not be enough to outline the differences in behavior of the model resolutions.

Increasing of resolution also leads to an increase in the propagation distance of intrusions from the Baltic Proper. This fact should be deeply investigated by excluding of possible boundary effects; for example, through a shift of the open boundary to the west or running the model for entire Baltic Sea.

Since the time period of the runs was rather short (less than 1 year) and the model had not been used before it is obvious that choose of some parameters might have been somewhat improper for the use in this study. Through fine-tuning of the model better results could be probably obtained. However, the focus in this study was to examine the differences arising from different horizontal resolutions, the fact that model parameters were similar in each case should be considered to be far more important than the quantitative agreement between observations and model results. Actually, it was shown that the model results for both resolutions are in a reasonable agreement with available observations.

It has been clearly demonstrated that a combined analysis of observations, in our case of remote sensing data, and the results of numerical modeling, is superior to single methods alone in many ways. The usage of results of hydrodynamic modeling together with SST information can provide an extended analysis and deeper understanding of the upwelling process. Convection induced by upwelling in the surface layer promotes to re-stratification of the UML and results in changes of the SST pattern observed from satellites. Lateral movements induced by upwelling lead to considerable re-stratification of the GOF. Our results unambiguously suggest the occurrence of shear-induced convection.
in stratified waters of GOF which is characterized by presence of permanent lateral density
gradient in the north-south direction. This is a potentially important mixing mechanism that
has yet to be explored in detail in this context and hence deserves further investigation.

It should be emphasized that the model captures principal difference in the
thermocline and halocline shape for the western and eastern parts of GOF. Adequate
representation of lateral processes by the model let us decrease the role of background
constants in turbulent mixing scheme. This simplifies the traditional trade-off between the
depth and sharpness of the thermocline. Setting the background values of vertical eddy
viscosity and diffusivity to $10^{-8}$ and $10^{-7}$ correspondently let us keep the sharp form of the
thermocline and halocline while UML depth corresponds to observations. Most of the
mixing is achieved by the wind and convective processes caused by upwelling and
intrusions. This approach demonstrates a substantial improvement in the modeled basin
stratification compared to previous numerical studies.

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Figure 1. The bathymetry of the Baltic Sea. Red line – open boundary of the model domain, yellow line – location of the meridional cross section for Fig. 2.

Figure 42. Meridional cross section of the GOF at 25.5°E. Vertical eddy diffusivity coefficient (shaded surface) overlaid by density isolines: (a) constant vertical eddy viscosity/diffusivity coefficients set to the $10^4/10^5$ m$^2$s$^{-1}$, (b) convective adjustment only (ED), (c) TKE, (d) TKE + ED, (e) GLS with Galperin limit set to 0.53, (f) GLS with Galperin limit set to 0.26.

Figure 23. SST on 20 May 2011: (a) MODIS SST, (b) GLS with Galperin limit 0.53 and horizontal resolution 0.5 km, (c) GLS with Galperin limit 0.53 and horizontal resolution 2 km, (d) GLS with Galperin limit 0.26 and horizontal resolution 2 km, (e) TKE with convective adjustment and horizontal resolution 2 km, (f) GLS with Galperin limit 0.07 and horizontal resolution 2 km

Figure 34. Averaged vertical profiles of temperature and salinity in West (a,d), Central (b,e) and East (c,f) parts of GOF for the period 20 Jul – 5 Aug 2011. Grey lines – CTD data with standard deviation corridors, solid and dashed black lines – model on grids 0.5 and 2 km correspondently.

Figure 45. SST maps of GOF on 2 Aug 2011: (a) MODIS data, (b) and (c) modeled SST on grids 0.5 and 2 km correspondently.

Figure 56. Modelled turbocline depth (m) in GOF on 20 May 2011: (a) and (b) horizontal distributions on grids 0.5 and 2 km correspondently; (i), (ii) and (iii) – vertical profiles of temperature at the locations marked on maps (a) and (b).

Figure 67. Depth of isotherm 3.5°C and turbocline depth for the periods: Left column 11-30 May 2011, Right column 29 June-28 July 2011. (a, b) – maximum turbocline depth, model 0.5 km resolution, (c, d) – isotherm 3.5°C depth model 0.5 km; (e, f) – isotherm 3.5°C depth model 2 km.