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X-band COSMO-SkyMed wind field retrieval, with application to coastal circulation modeling

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Abstract

In this paper, X-band COSMO-SkyMed[®] SAR wind field retrieval is investigated to force coastal circulation modeling. The SAR data set consists of 60 X-band Level 1B Multi-Look Ground Detected ScanSAR Huge Region COSMO-SkyMed[®] SAR data, gathered in the Southern Tyrrhenian Sea during the Summer and Winter seasons of 2010. The SAR-based wind vector field estimation is accomplished by resolving both the SAR-based wind speed and wind direction retrieval problems independently. The sea surface wind speed is retrieved by means of a SAR wind speed algorithm based on the Azimuth cut-off procedure, while the sea surface wind direction is provided by means of a SAR wind direction algorithm based on the Discrete Wavelet Transform Multi-Resolution Analysis. The obtained wind fields are compared with ground truth data provided by both ASCAT scatterometer and ECMWF model wind fields. SAR-derived wind vector fields and ECMWF model wind data are used to construct a blended wind product regularly sampled in both space and time, which is then used to force a coastal circulation model of a Southern Tyrrhenian coastal area to simulate wind-driven circulation processes. The modeling results clearly show that X-band COSMO-SkyMed[®] SAR data can be valuable in providing effective wind fields for coastal circulation modeling.

1 Introduction

Accurate and appropriate measurements of the wind vector field over the sea surface are of great relevance in the oceanographic, meteorological and climatic research, and for the improvement of short-term forecast and warning (Janssen, 2004). In fact, the wind is a key parameter in the momentum exchange between the atmospheric boundary layer and the sea surface, which in turn drives the circulation and mixing of sea-water (e.g. Vallis, 2006). The capability and the increasing need to retrieve the wind field at sea with both high spatial-temporal resolution and continuity can improve the

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modeling of the ocean circulation, especially in coastal areas, where the changes of the local winds depend crucially on the local coastal orography and land/sea thermal conditions.

5 The wind field over the sea surface is classically inferred by means of either meteorological models or in situ measurements, which unfortunately suffer from both technical and physical constraints that severely affect spatial-temporal coverage and resolution of the resulting wind field product (Bentamy et al., 1999; Migliaccio and Reppucci, 2006). In addition to these widely used wind field data, microwave remote sensing has shown the capability of providing sea surface wind fields with mesoscale resolution and with short revisiting time. Within such a framework, the key sensor is the active satellite-based microwave Scatterometer, which provides wind field measurements at sea by means of a non-linear inversion scheme, which requires both an accurate tailored Geophysical Model Function (GMF) and an appropriate set of sea surface normalized radar cross-section (NRCS) measurements at different azimuth angles (Bentamy et al., 1999; Migliaccio and Reppucci, 2006). The GMF, which is not a “universal model”, relates the NRCS measurements of the sea surface roughness to the local wind field at sea, taking into account both the specific sensor parameters (e.g. polarization, frequency, incidence angle, etc.) and sea state conditions. Actually, scatterometer-based missions, such as the QuikSCAT (unavailable after November 2009) and the Advanced Scatterometer (ASCAT) ones, have been providing operational wind products with a spatial gridding resolution ranging from 25 km × 25 km to 12.5 km × 12.5 km, respectively (Yang et al., 2011). These products are not properly suitable for some marine applications, especially in coastal and near shore areas, where they suffer from uncertainty and large wind field estimation errors due to their large footprint (Bentamy et al., 25 1999; Migliaccio and Reppucci, 2006; Yang et al., 2011).

In this context, the possibility to retrieve the sea surface wind field from Synthetic Aperture Radar (SAR) images, with high resolution and in areas where the scatterometer measurements fail, is very interesting from an operational viewpoint. SAR is an active, microwave, band-limited sensor able to provide day- and night-time high-resolution

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NRCS measurements of the observed marine scenes with a synoptic view, and almost independently of atmospheric conditions (Jackson and Apel, 2004; Migliaccio and Reppucci, 2006). It has long been known that the wind field generates an anisotropic sea roughness, which can in principle be explained by means of a two-scale scattering model (Nunziata et al., 2007), where both centimeter resonant waves and long waves can be directly and indirectly observed, respectively. The physical interaction between the electromagnetic waves and the sea surface at the SAR resolution scale is generally non-linear, and accounts for complex interactions between the sea surface and atmosphere (Jackson and Apel, 2004). This makes the physical problem much more complicated than the scatterometer one. However, the use of SAR measurements allows one to resolve the wind co-location problem, which generally introduces further errors, as in the case of SAR oil spill monitoring. Moreover, the high-spatial and temporal resolution provided by each SAR sensor, together with both the ground coverage and the short revisit-time provided by the recently-launched SAR constellations, make this sensor a key alternative source of sea surface wind field information able to integrate classical wind field estimation techniques, such as meteorological models, in situ observations and scatterometers (Migliaccio and Reppucci, 2006; Yang et al., 2011).

15 In connection with the SAR-based wind field retrieval at sea, the use of X-band COSMO-SkyMed[®] SAR data is highly innovative. The Italian Space Agency COSMO-SkyMed[®] is a constellation of four satellites equipped with X-band SARs, which ensures both wide area coverage and a small revisit time (Italian Space Agency, 2007). Among the different COSMO-SkyMed[®] SAR acquisition modes, i.e. Spotlight, StripMap and ScanSAR modes, the ScanSAR Huge Region mode is very interesting from an operational viewpoint, especially for both coastal circulation and oceanographic applications. In fact, it allows one to achieve a large ground coverage of about 25 200 km × 200 km with a spatial resolution of 100 m × 100 m in both range and azimuth directions (Italian Space Agency, 2007). However, the sea surface wind field estimation through X-band SAR measurements is a non-trivial task since, at higher frequencies,

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for X-band VV-polarized Level 1B DGM ScanSAR Huge Region mode COSMO-SkyMed[®] SAR data.

The SAR-based wind field estimation is properly accomplished by resolving both the SAR-based wind speed and wind direction retrieval problems, independently. In detail, three independent steps are conceived for X-band COSMO-SkyMed[®] SAR wind field retrieval purposes: (1) the pre-processing analysis; (2) the SAR wind speed estimation; (3) the SAR wind direction estimation.

The first step of the SAR wind field retrieval (i.e. the pre-processing analysis) is accomplished to improve both the image quality of X-band ScanSAR Huge Region mode COSMO SkyMed[®] SAR measurements and the sub-sequent SAR-based wind field estimation, which strongly relies on the SAR data quality. Within such a framework, two different phenomena are taken into account, which severely impact the SAR image interpretation for wind field estimation purposes, i.e. the scalloping and the atmospheric/tropospheric phenomena. The scalloping is related to the peculiar burst acquisition mode of ScanSAR SAR measurements (Holzner and Bamler, 2002; Schiavulli et al., 2011, 2012). It consists of periodic processing anomalies along with the azimuth direction, which appear as thin horizontal bars in SAR imagery and therefore may severely affect the accuracy of SAR-based wind field estimation (Holzner and Bamler, 2002; Schiavulli et al., 2011, 2012). The atmospheric/tropospheric phenomena (e.g. rain cells, cloud coverage, oceanic fronts, convective cells, etc.) are conversely related to the X-band acquisition frequency of SAR data (Lee et al., 1995). They appear as non-homogeneous areas in marine SAR images and, especially at higher frequencies, can severely compromise both the SAR imagery interpretability and then the retrieval of wind field at sea (Lee et al., 1995).

With this respect, the pre-processing analysis of X-band ScanSAR COSMO-SkyMed[®] SAR data is accomplished by means of the automatic two-steps pre-processing procedure presented in Schiavulli et al. (2011), which is here adopted to effectively improve the quality of SAR images. The first step of the proposed approach

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aims at removing the scalloping pattern in X-band ScanSAR COSMO-SkyMed[®] SAR data by means of a filtering technique based on the Discrete Wavelet Transform Multi-Resolution Analysis (DWT-MRA) (Mallat, 1989; Schiavulli et al., 2011, 2012). This technique allows both enhancing and then removing the spectrum harmonics of SAR images, which are related to the directional features of the scalloping pattern. The second step of the proposed approach conversely implements the homogeneity test described in Schultz-Stellenfleth et al. (2004) and Schiavulli et al. (2011), which, based on the variance to mean square ratio (VMSR) of SAR image power spectral density, allows detecting and then removing all the non-homogeneous areas (such as marine areas with ships, coastline, atmospheric fronts and more generally atmospheric phenomena) over the homogeneous marine background in X-band SAR images.

The second step (the SAR wind speed estimation) is accomplished by means of a SAR wind speed algorithm based on the Azimuth cut-off procedure (Chapron et al., 1995; Kerbaol et al., 1998; Korsbakken et al., 1998, Migliaccio et al., 2012; Montuori et al., 2012). It allows consistently retrieving the sea surface wind speed without requiring both any a priori wind direction information and the calibration accuracy of SAR NRCS measurements. The proposed approach accounts for the relationship between the sea surface wind field and the smearing effects in the SAR images, which strongly depends on both sensor's parameters (e.g. platform altitude, velocity, etc.) and sea state conditions (Chapron et al., 1995; Kerbaol et al., 1998; Korsbakken et al., 1998). The well-known velocity bunching mechanism, which results from this relationship, provides a nonlinear mapping of the two-dimensional ocean wave field in the SAR imagery and behaves like a low-pass Gaussian filtering operated by the SAR along with the azimuth direction. The latter limits the shortest detectable azimuth cut-off wavelength λ_c , which accounts for sea waves orbital motions responsible of the smearing effects within the SAR imagery and therefore can be considered a robust indicator of both sea state conditions and sea surface wind speed (Chapron et al., 1995; Kerbaol et al., 1998; Korsbakken et al., 1998). Based on this rationale, a SAR wind speed algorithm based on the Azimuth cut-off procedure has been developed and tested for C-band SAR data

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A single experiment is fully detailed, with the aim of demonstrating the effectiveness of the X-band VV-polarized Level 1B DGM ScanSAR Huge Region mode COSMO-SkyMed[®] SAR data for sea surface wind field estimation purposes. The analysis is properly accomplished by comparing the X-band COSMO-SkyMed[®] SAR-derived wind speed and wind direction retrievals with respect to the reference ground truth provided by both timely and spatially co-located ASCAT scatterometer and ECMWF model wind fields.

The experiment refers to the X-band COSMO-SkyMed[®] SAR acquisition of 20 November 2010 at 05:00 UTC. The VV-polarized NRCS image is shown in gray tones in Fig. 1a. The output of the pre-processing step is shown in Fig. 1b, where the scalloping, the atmospheric phenomena and other non-homogeneous areas in the SAR image are successfully detected and removed from the homogeneous marine background. The timely and spatially co-located ASCAT scatterometer and ECMWF model wind speed data are shown in Fig. 2a–b, respectively, where notable differences appear, especially along the coastal area. This result can be explained by considering the different spatial gridding resolution of the two different wind speed products, demonstrating that the ECMWF model data suffer from more uncertainty over the maritime coastal areas with respect to the 12.5 km × 12.5 km ASCAT scatterometer wind speed. The output of the X-band COSMO-SkyMed[®] SAR wind speed retrieval approach based on the X-band Azimuth cut-off procedure is shown in Fig. 2c, where a SAR sub-image gridding scale of 12.5 km × 12.5 km is properly used for wind speed estimation purposes. The comparison between the X-band SAR-based Azimuth cut-off wind speed estimation and the reference ground truth shows a fair agreement (especially with respect to the ASCAT scatterometer reference wind speed) with root mean square error (RMSE) values equal to 2.1 m s⁻¹ and 4 m s⁻¹ with respect to the ASCAT scatterometer and the ECMWF model wind speed, respectively. A further comparison is provided between the ASCAT scatterometer and the ECMWF model wind speeds, which provides a RMSE value of 2.8 m s⁻¹. It can be noted that non-negligible

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differences in terms of sea surface wind speed are present along the coastal area of SAR image domain, for both the ASCAT scatterometer and the ECMWF model ground truth wind speed. This result takes into account that the reference wind speed data (especially the ECMWF model one) both suffers from uncertainty over the maritime coastal areas and it is not able to capture small-scale features, which can in turn be revealed by means of SAR data. Experimental results further demonstrate both the high-resolution accuracy of the ASCAT scatterometer wind speed (especially along the coastal areas) with respect to the ECMWF model data and the consistency of X-band COSMO-SkyMed[®] SAR-derived wind speed product especially with respect to the ASCAT scatterometer ground truth.

The output of the X-band COSMO-SkyMed[®] SAR wind direction retrieval approach based on the WT-MRA is shown in Fig. 2d–e together with the timely and spatially co-located ASCAT scatterometer and ECMWF model ground truth, respectively. Again, a SAR sub-image gridding scale of 12.5 km × 12.5 km is used for wind direction retrieval purposes. The comparison between the X-band SAR-based WT-MRA wind direction estimation and the reference ground truth shows a fair agreement (especially with respect to the ASCAT scatterometer reference wind direction) with RMSE values equal to 16° and 24° with respect to the ASCAT scatterometer and the ECMWF model wind directions, respectively. A further comparison is provided between the ASCAT scatterometer and the ECMWF model wind directions (see Fig. 2f), which provides a RMSE value of 21°. Moreover, in Fig. 3 the three comparisons of Fig. 2 are shown in georeferenced maps in terms of the complete wind vector field. In conclusion, these results demonstrate both the high-resolution accuracy of the ASCAT scatterometer wind direction (especially along the coastal areas) with respect to the ECMWF model data and the consistency of COSMO-SkyMed[®] SAR wind direction retrievals, especially with respect to the ASCAT scatterometer winds.

Other meaningful results are summarized in the scatter plots of Fig. 4, where the three different wind field products are properly compared for the whole processed

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COSMO-SkyMed[®] SAR data set, by considering a sub-image gridding scale of 12.5 km × 12.5 km for wind field estimation purposes. These results provide:

1. A mean error (μ) value of -0.59 m s^{-1} , a standard deviation (σ) value of 2.19 m s^{-1} and an RMSE value of 2.27 m s^{-1} , for what concerns the comparison between the X-band SAR-based wind speed retrievals and the ASCAT scatterometer wind speed (see Fig. 4a);
2. A μ value of -1.69 m s^{-1} , a σ value of 2.83 m s^{-1} and an RMSE value of 3.30 m s^{-1} , for what concerns the comparison between the X-band SAR-based wind speed retrievals and the ECMWF model wind speed (see Fig. 4b);
3. A μ value of -1.48 m s^{-1} , a σ value of 2.28 m s^{-1} and an RMSE value of 2.71 m s^{-1} , for what concerns the comparison between the ASCAT scatterometer and the ECMWF model wind speeds (see Fig. 4c).
4. A μ value of 1.71° , a σ value of 18.88° and an RMSE value of 18.95° , for what concerns the comparison between the X-band SAR-based wind direction retrievals and the ASCAT scatterometer wind direction (see Fig. 4d);
5. A μ value of 7.04° , a σ value of 22.94° and an RMSE value of 24° , for what concerns the comparison between the X-band SAR-based wind direction retrievals and the ECMWF model wind direction (see Fig. 4e);
6. A μ value of 4.69° , a σ value of 22.76° and an RMSE value of 23.24° , for what concerns the comparison between the ASCAT scatterometer and the ECMWF model wind directions (see Fig. 4f).

These results demonstrate the effectiveness of both the X-band Azimuth cut-off model function and the WT-MRA technique presented in Sect. 2 to obtain consistent wind speed and wind direction estimation, respectively, even through X-band SAR data. Our results show the full benefits of X-band Level 1B DGM ScanSAR Huge Region mode COSMO-SkyMed[®] SAR data as an alternative source of wind field estimation.

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4 Application to coastal circulation modeling

In this section a blended wind product obtained by combining ECMWF and SAR-derived surface wind fields will be constructed, and used to force a circulation model implemented in a Southern Tyrrhenian coastal area of particular oceanographic interest. The relevance of SAR-derived winds in improving coastal circulation modeling will then be inferred.

4.1 The coastal circulation model

The coastal area chosen as a test site within the Tyrrhenian Sea, where SAR-wind data have been obtained, is defined by $\lambda = 13^\circ/16.06^\circ$ and $\varphi = 40^\circ/41.43^\circ$: it includes the gulfs of Naples, Gaeta and Salerno and a wide outer buffer zone (Fig. 5) necessary to couple this model with a larger scale model of the Tyrrhenian Sea. The central area is the Gulf of Naples, a small semi-enclosed basin which is a very interesting zone, not only because it is ideal in terms of physical processes occurring in such a regular geometry, but also because it is very interesting from environmental, social and economic viewpoints. The adopted circulation model is the POM (Blumberg and Mellor, 1987), one of the most widely used community models in coastal applications. The sigma-coordinate vertical discretization of the governing equations allows one to have a sufficiently high number of vertical levels both in shallow and deep water, a particularly advantageous feature in coastal area such as the one under investigation.

This coastal model has been one-way nested with a POM Tyrrhenian Sea model (TSM, Napolitano et al., 2012), which is, in turn, nested with the OPA-INGV $1/16^\circ$ -resolution model of the whole Mediterranean Sea (Zavatarelli and Pinardi, 2003). This has required the initialization of the hydrological and dynamical structure of the coastal model with data obtained from the TSM, and the prescription, along the open lateral boundaries, of dynamical boundary conditions derived, again, from the TSM. The adopted horizontal resolution, $1/144^\circ$ (with $\Delta y \cong 720 \text{ m}$ and $\Delta x \cong 550\text{--}565 \text{ m}$), is $1/3$ the resolution of the TSM (for details on the nesting procedure see de Ruggiero

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5 Conclusions

In this paper, a feasibility study aimed at evaluating the capability of COSMO-SkyMed[®] SAR data to provide surface wind fields that can improve coastal circulation modeling is carried out. A SAR data set 60 X-band Level 1B DGM ScanSAR Huge Region mode VV-polarized COSMO-SkyMed[®] SAR data, gathered in a Southern Tyrrhenian coastal area on 2010, is properly processed for wind vector field estimation purposes. Within such a framework: (1) the SAR wind speed estimation is accomplished by means of a SAR wind speed retrieval algorithm based on the Azimuth cut-off procedure; (2) the SAR wind direction estimation is accomplished by means of SAR wind direction retrieval algorithm based on the DWT-MRA. The oceanographic model, which is used to simulate coastal circulation processes in a Southern Tyrrhenian coastal test area, is forced by a blended wind product that includes ECMWF and SAR-derived winds. Our results have shown that:

- X-band COSMO-SkyMed[®] SAR data effectively represent a successful resource to retrieve the wind field information at the sea surface. The consistency of X-band COSMO-SkyMed[®] SAR-derived wind field retrievals is effectively validated with respect to both the ASCAT scatterometer and the ECMWF ground truth. Moreover, it has been assessed the high-resolution accuracy of the ASCAT scatterometer wind field with respect to the ECMWF model data, thus providing a consistent scatterometer-based reference ground truth to evaluate the consistency of X-band COSMO-SkyMed[®] SAR-based wind field estimation products. Furthermore, experimental results take full benefits of X-band Level 1B DGM ScanSAR Huge Region COSMO-SkyMed[®] SAR data as alternative source of wind field estimation;

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- A blended wind product based on X-band COSMO-SkyMed[®] SAR-retrieved surface wind data, and on other wind products (such as ECMWF model winds) can improve the simulation of wind-driven coastal circulation processes.

Despite both the limitations of available consecutive COSMO-SkyMed[®] SAR acquisitions (and therefore SAR-derived wind field data) and the relatively poor spatial coverage of the adopted coastal test site, our results show that COSMO-SkyMed[®] SAR data represent a valuable tool for coastal circulation modeling, which is so important for oceanographic, ecological, social and economic applications.

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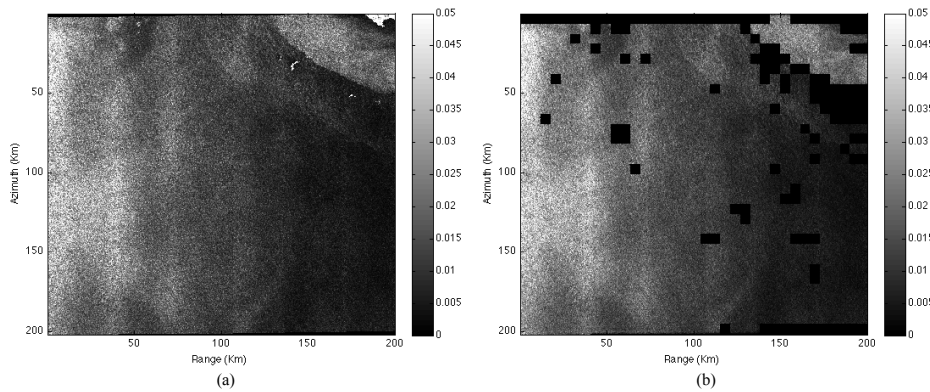


Fig. 1. X-band Level 1B DGM ScanSAR Huge Region COSMO-SkyMed[®] SAR data acquired on November 20th 2010 at 5:00 UTC. **(a)** VV-polarized NRCS. **(b)** Output of the pre-processing step of the SAR wind field retrieval approach.

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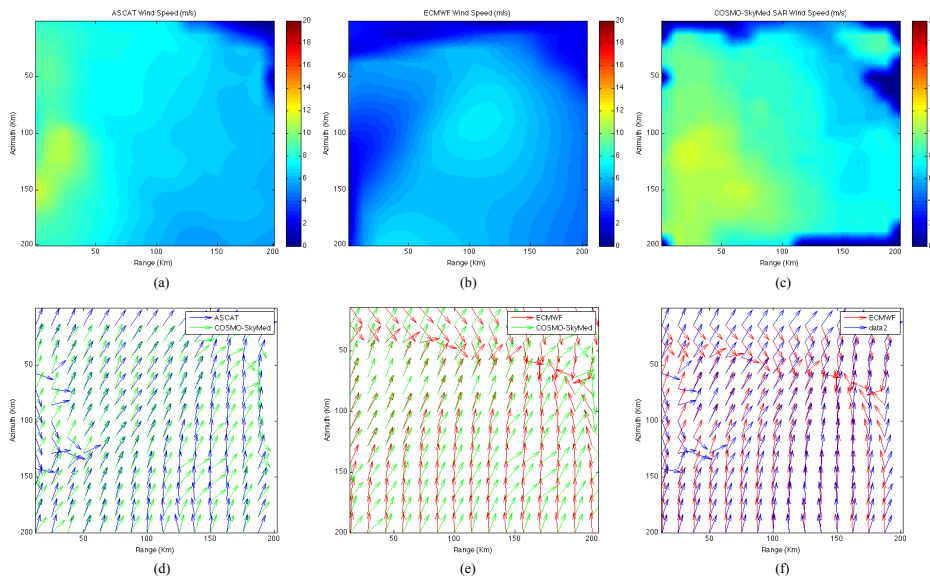


Fig. 2. Wind field retrieval of the X-band VV-polarized Level 1B DGM ScanSAR Huge Region COSMO-SkyMed[®] SAR data acquired on 20 November 2010 at 05:00 UTC. **(a)** Reference ASCAT scatterometer wind speed. **(b)** Reference ECMWF model wind speed. **(c)** SAR-based wind speed estimation over a sub-image scale of 12.5 km × 12.5 km. **(d)** SAR-based wind direction estimation together with the reference ASCAT scatterometer wind direction. **(e)** SAR-based wind direction estimation together with the reference ECMWF model wind direction. **(f)** ASCAT scatterometer wind direction together with the ECMWF model data.

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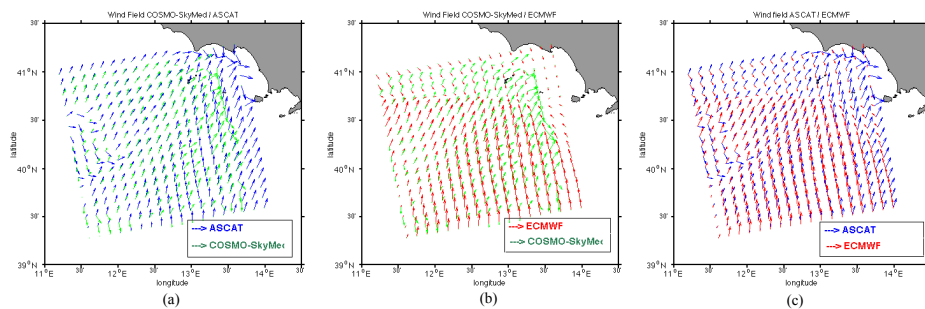


Fig. 3. Georeferenced maps of the comparisons of Fig. 2d, e, f in terms of the complete surface wind vector fields.

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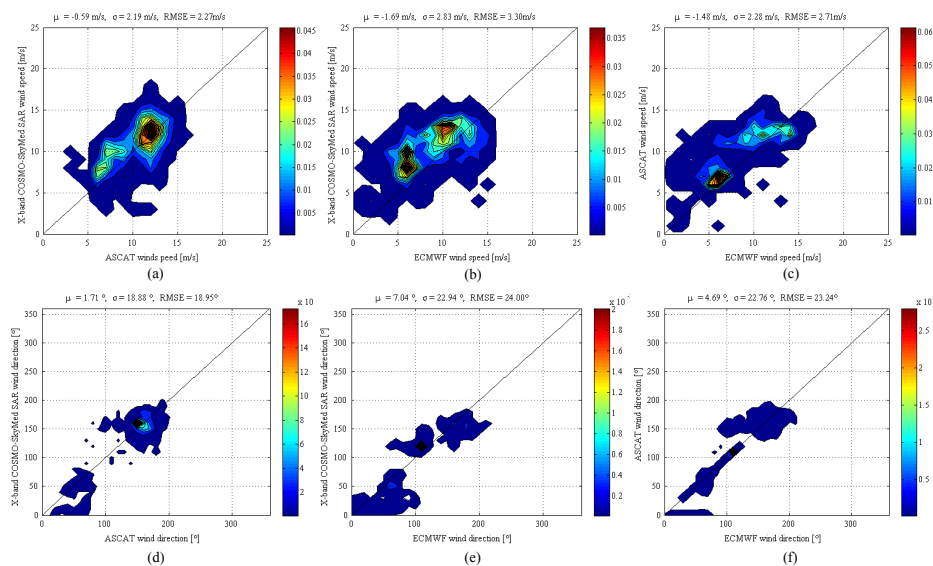


Fig. 4. Probability density scatter plots of the comparison of the X-band COSMO-SkyMed[®] SAR derived wind field with ASCAT scatterometer and ECMWF model reference ground truth, by considering a sub-image gridding scale of 12.5 km × 12.5 km. (a) Scatter plot of COSMO-SkyMed[®]-ASCAT wind speed inter-comparison. (b) Scatter plot of COSMO-SkyMed[®]-ECMWF wind speed inter-comparison. (c) Scatter plot of ASCAT-ECMWF wind speed inter-comparison. (d) Scatter plot of COSMO-SkyMed[®]-ASCAT wind direction inter-comparison. (e) Scatter plot of COSMO-SkyMed[®]-ECMWF wind direction inter-comparison. (f) Scatter plot of ASCAT-ECMWF wind direction inter-comparison.

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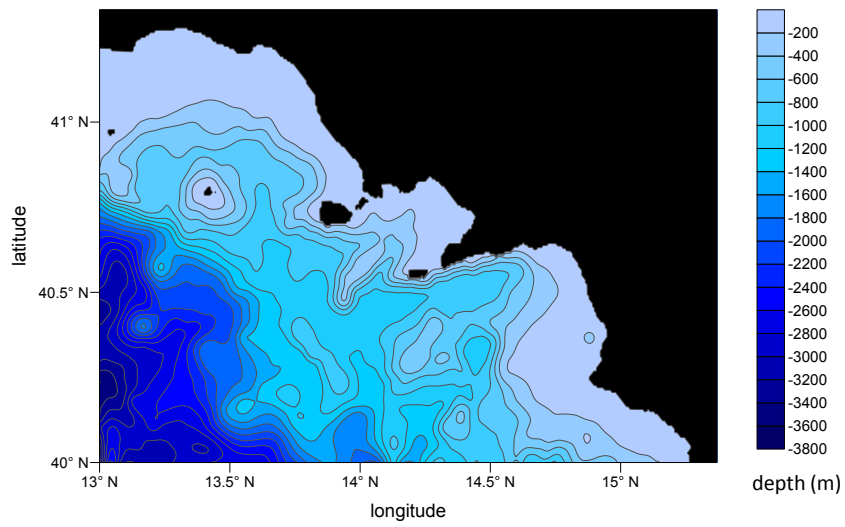


Fig. 5. Domain of integration of the coastal circulation model, with water depth.

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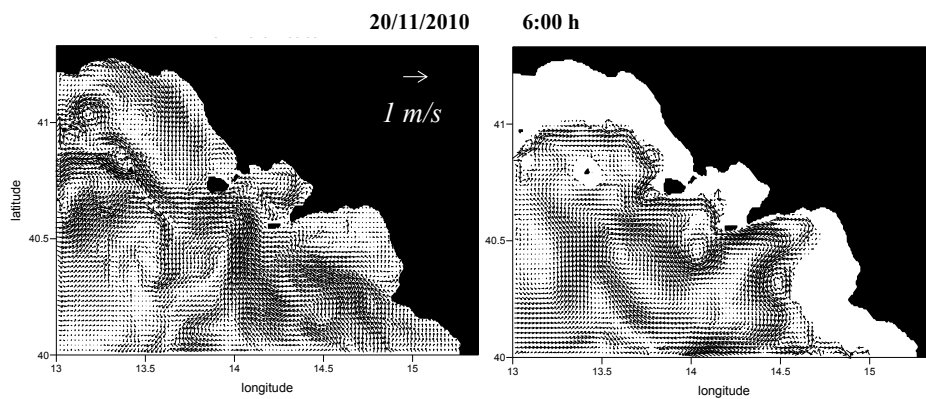


Fig. 6. Surface current velocity map (left panel) of 20 November 2010 at 06:00 UTC obtained in a simulation with ECMWF forcing and nesting with the TSM. Current velocity map at $z = 300$ m (right panel) for the same simulation at the same instant.

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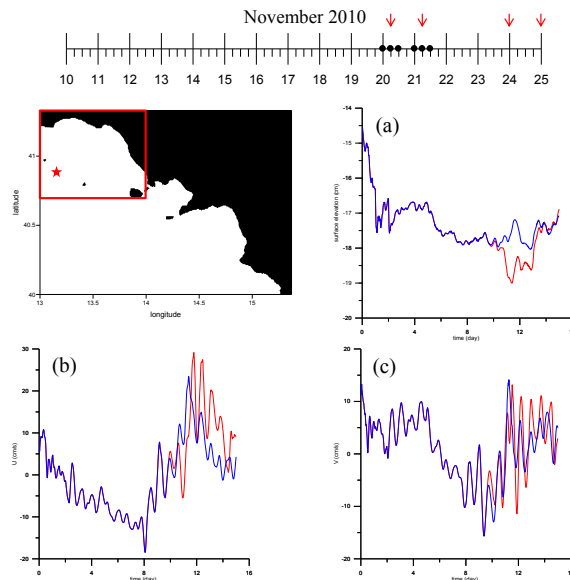


Fig. 7. Upper panel: representation of the 15-day November 2010 blended wind product (the ticks represent ECMWF winds, the dots show the instants at which COSMO-SkyMed wind data have been blended with ECMWF data, the red arrows show the time instants corresponding to the maps shown in the subsequent figures). The red rectangle inside the map represents the window in which the comparisons shown in the subsequent figures are performed. The graphs of panels (a), (b), and (c) show the time series of the sea surface elevation, of the zonal and meridional surface velocity components, respectively, sampled in the point identified by a star in the map; the blue lines refer to the simulation with the purely ECMWF forcing, the red lines to the simulation with the blended wind forcing ($t = 0$ corresponds to 10 November 2010, 00:00).

3277

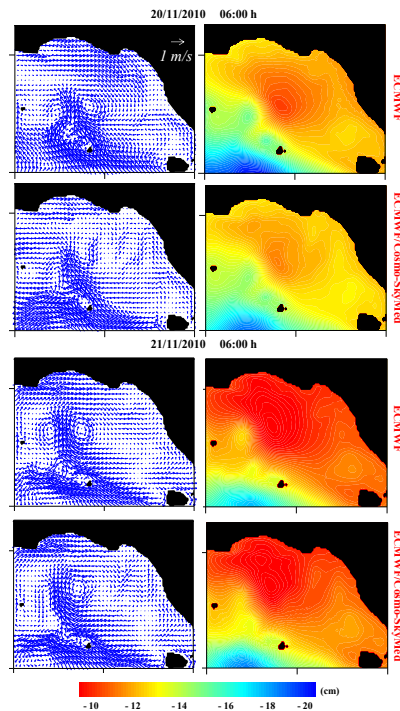


Fig. 8. First and third row: surface currents (left) and sea surface elevation (right) in the window shown in Fig. 7, respectively, at 06:00h of 20 November 2010 and at 06:00h of 21 November 2010 obtained in the simulation with ECMWF wind forcing. Second and fourth row: same, but obtained with blended ECMWF/COSMO-SkyMed wind forcing.

3278

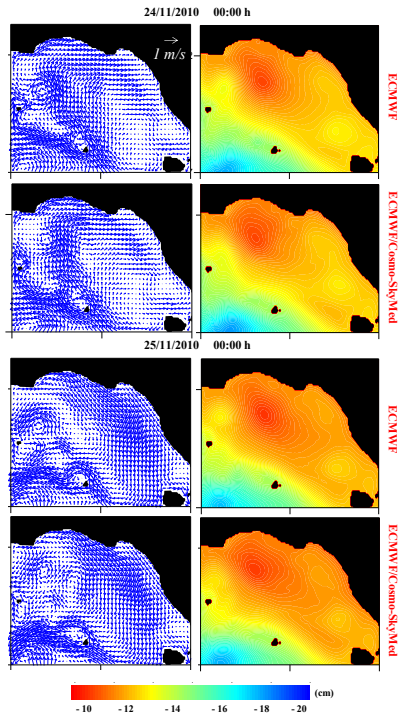


Fig. 9. First and third row: surface currents (left) and sea surface elevation (right) in the window shown in Fig. 7, respectively, at 00:00 h of 24 November 2010 and at 00:00 h of 25 November 2010 obtained in the simulation with ECMWF wind forcing. Second and fourth row: same, but obtained with blended ECMWF/COSMO-SkyMed wind forcing.